

# experimental $\nu$ -physics – I: neutrino oscillations

Graduiertentag Tübingen, 13.5.2011

Kepler Center for Astro and Particle Physics

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- neutrino properties
- neutrino oscillations – an introduction
- 3 flavour neutrino mixing
- solar neutrinos: Borexino
- atmospheric neutrinos: OPERA
- reactor neutrinos &  $\theta_{13}$ : Double Chooz





# introduction: neutrino properties



# neutrino properties

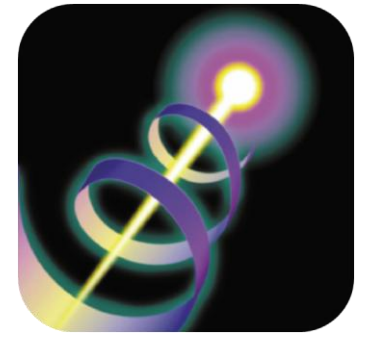
- parameters of the **three light SM neutrinos**  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$

## intrinsic neutrino properties

|                                                                        |                          |
|------------------------------------------------------------------------|--------------------------|
| CP properties: Majorana- or Dirac- $\nu$ ( $\Delta L = 0, 2$ )         | $\nu^M$ or $\nu^D$       |
| absolute masses, (inverted) hierarchy / quasi-degenerated              | $m_1, m_2, m_3$          |
| mixing angles of neutrino mixing matrix                                | $\theta_{ij}$            |
| (complex) Majorana phases [only for $0\nu\beta\beta$ ]                 | $\alpha_2, \alpha_3$     |
| (real) Dirac phase [for $\nu$ -oscillations]                           | $\delta$                 |
| life times                                                             | $\tau_1, \tau_2, \tau_3$ |
| decay modes (radiative, non-standard modes)                            | $\Gamma_i$               |
| magnetic dipole moment (static / transition moment)                    | $\mu_\nu$                |
| electric dipole moment                                                 | $D$                      |
| mean square charge radius                                              | $\langle r^2 \rangle$    |
| couplings to gauge bosons & Higgs ( $\gamma$ , $W^\pm$ , $Z^0$ , $H$ ) | $g_j$                    |

- existence of - sterile neutrinos (beyond the SM)  
- 4<sup>th</sup> neutrino family?

# CP properties



- intrinsic **particle-antiparticle symmetry** of SM neutrinos?

Dirac- $\nu$  and Majorana- $\nu$  only distinguishable if  $m_\nu \neq 0$

## Dirac neutrino

4  $\nu$  states

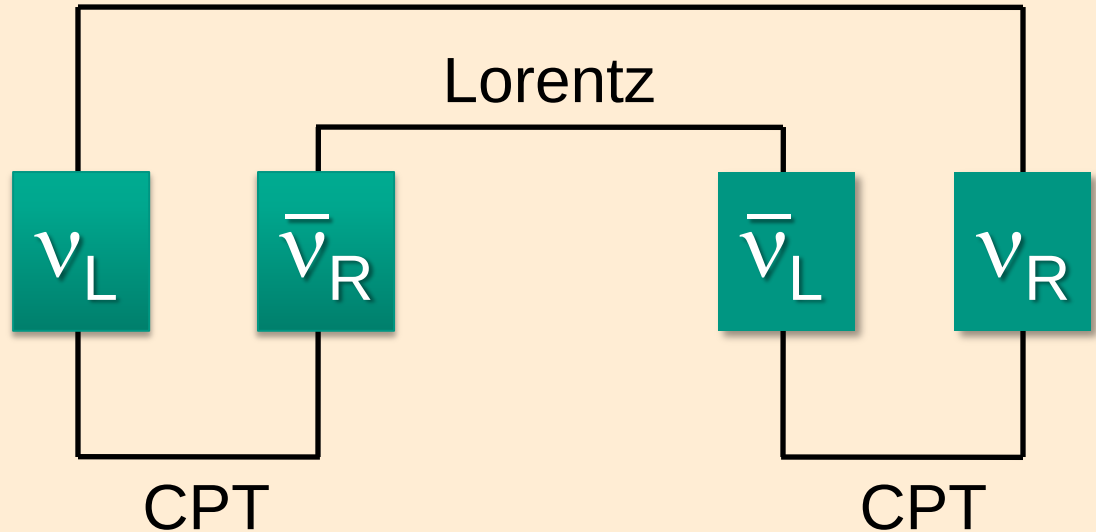
**Lepton number conservation**

$$\Delta L = 0$$

neutrino  $\neq$  antineutrino



$\nu^D$



## Majorana neutrino

2  $\nu$  states

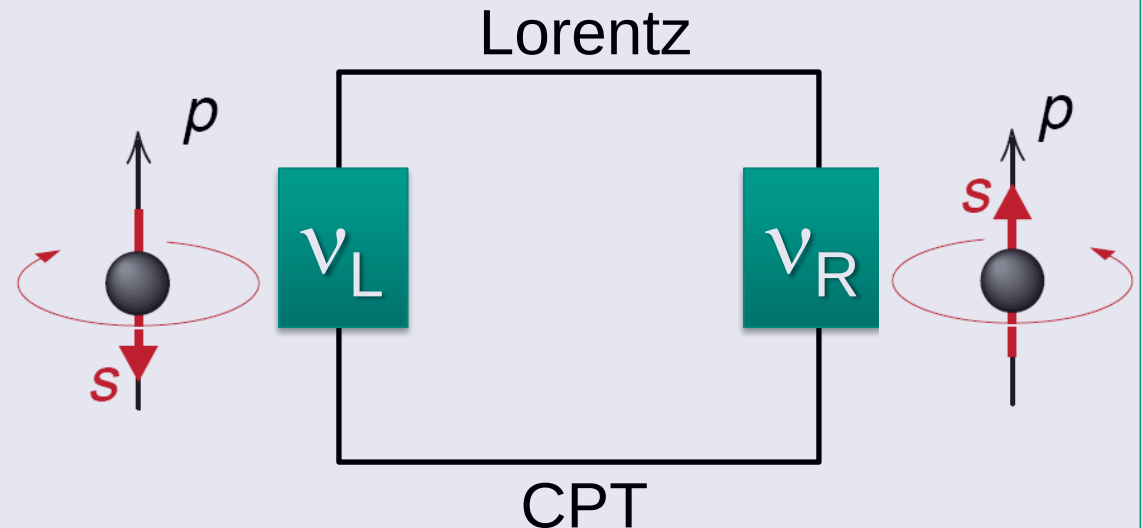
**Lepton number violation**

$$\Delta L = 2$$

„neutrino = antineutrino“

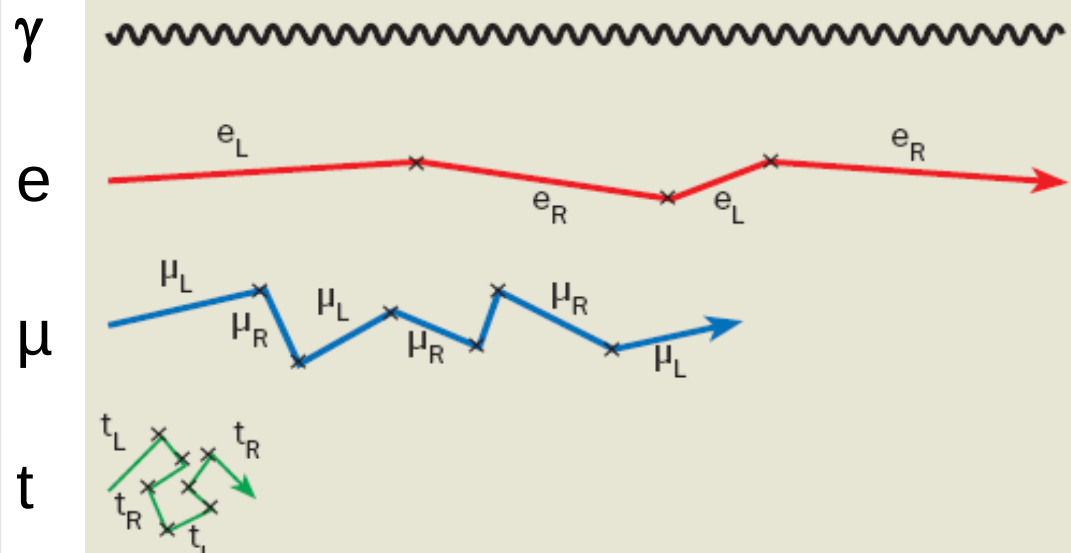


$\nu^M$



# neutrino mass – mechanism?

- **Higgs mechanism**: SM particles acquire mass via scalar Higgs boson, this results in a **change of handedness** (lefthanded  $\leftrightarrow$  righthanded),  $\nu_{L,R} \leftrightarrow \nu_{L,R}$
- **see-saw**: light  $\nu_L \leftrightarrow$  heavy  $\nu_R$



| particle        | scale    | m [MeV]           |
|-----------------|----------|-------------------|
| photon $\gamma$ | massless | 0                 |
| electron $e$    | light    | 0.511             |
| muon $\mu$      | medium   | 105.6             |
| top quark $t$   | heavy    | $1.71 \cdot 10^5$ |

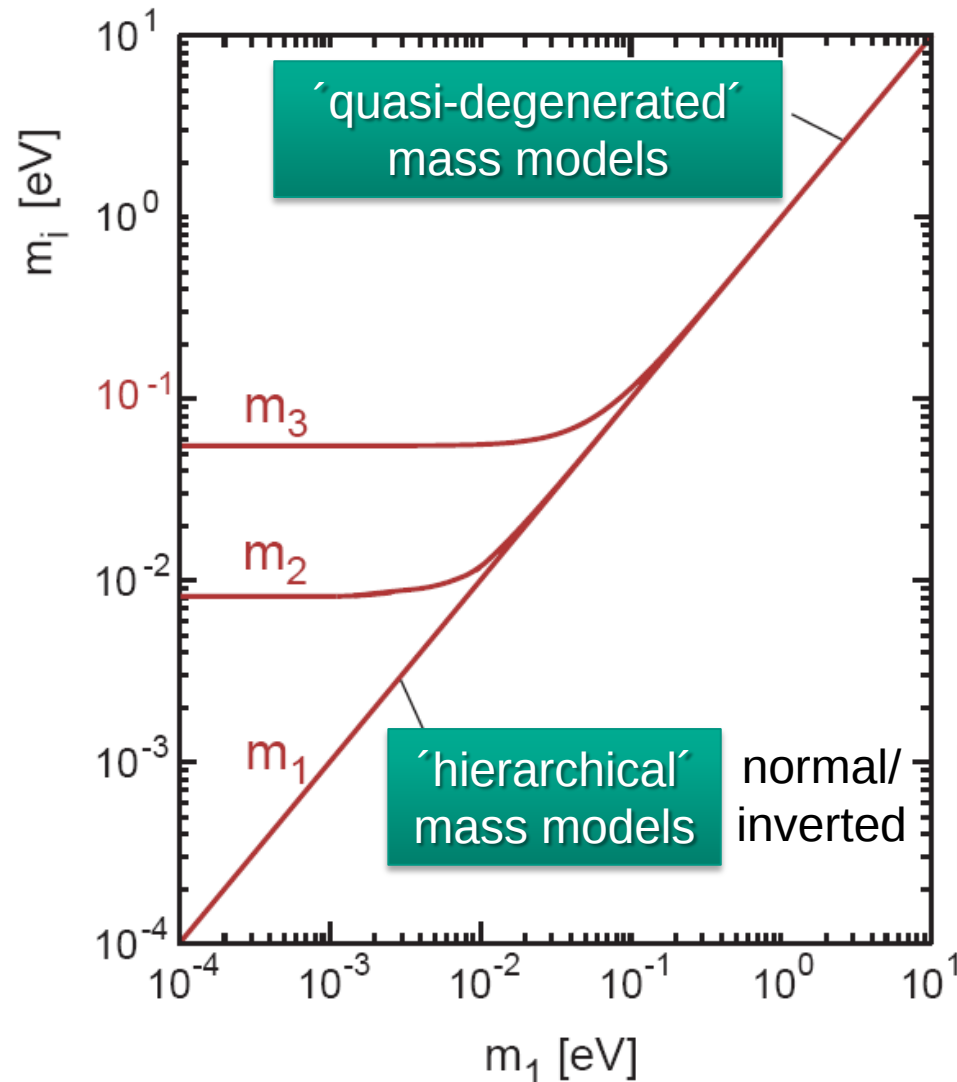
$\nu$

$\nu_D$

$\nu_M$

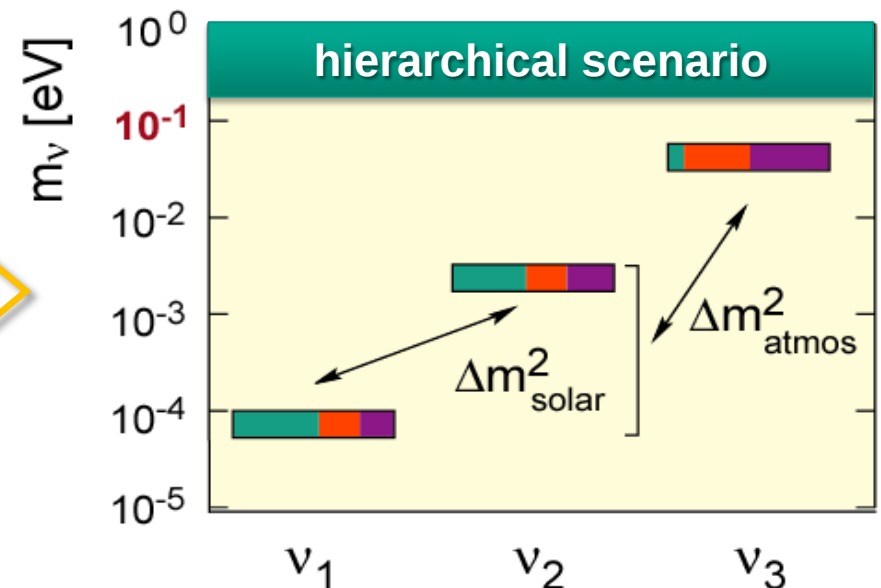
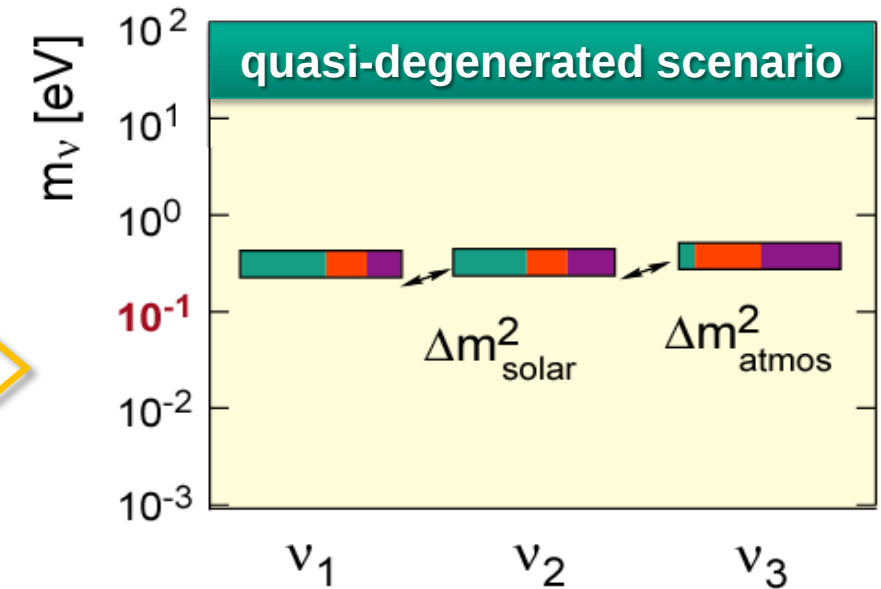
# neutrino mass – pattern?

- **hierachy** – normal case:  $m_1 < m_2 \ll m_3$  , inverted case:  $m_3 \ll m_{1,2}$
- **quasi-degenerated** –  $m_1 \sim m_2 \sim m_3$



see-saw  
 $\sqrt{\Delta m^2} \ll m_i$   
 type II

see-saw  
 $\sqrt{\Delta m^2} \sim m_i$   
 type I



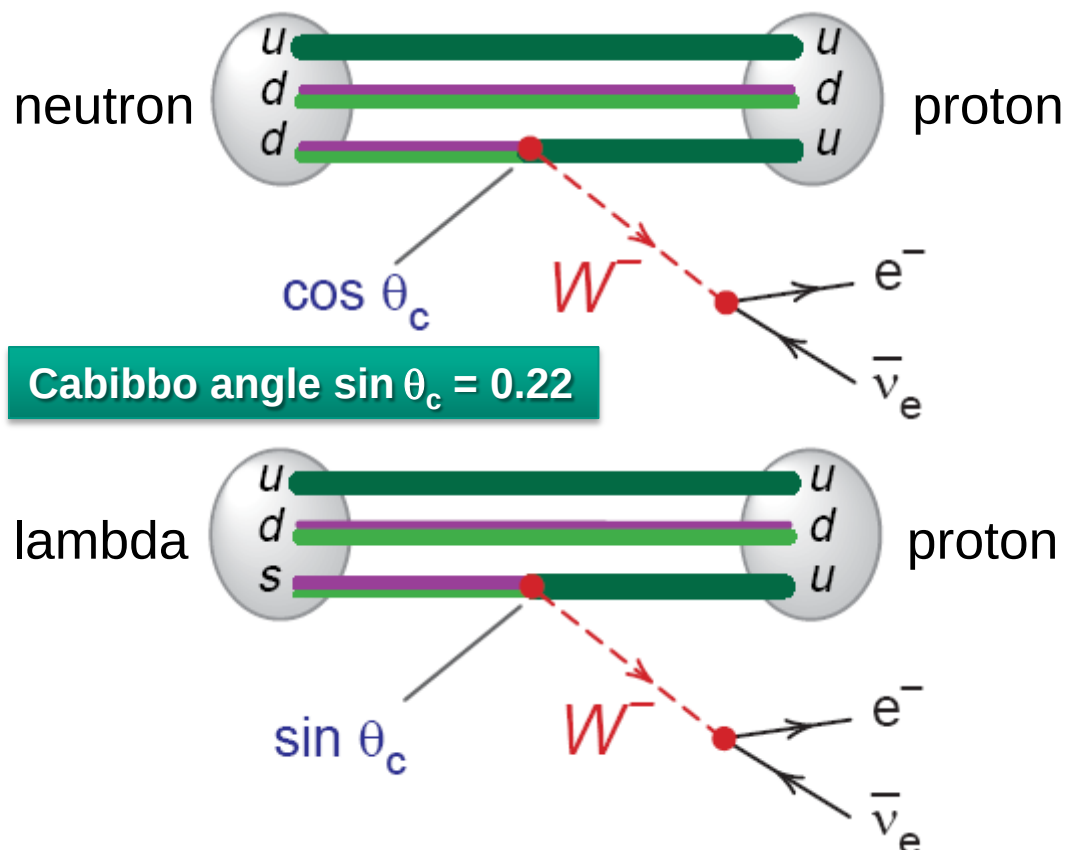
# neutrino oscillations: an experimentalist's view



# weak interaction – quark mixing

- quarks: mass eigenstates  $\neq$  weak flavour eigenstates (caused by interaction with Higgs-field)  $\Rightarrow$  **CKM matrix**: 3 mixing angles & 1 CP phase definition: mixing only in d,s,b – Sektor

- **left-handed quarks, leptons**
- right-handed anti-particles

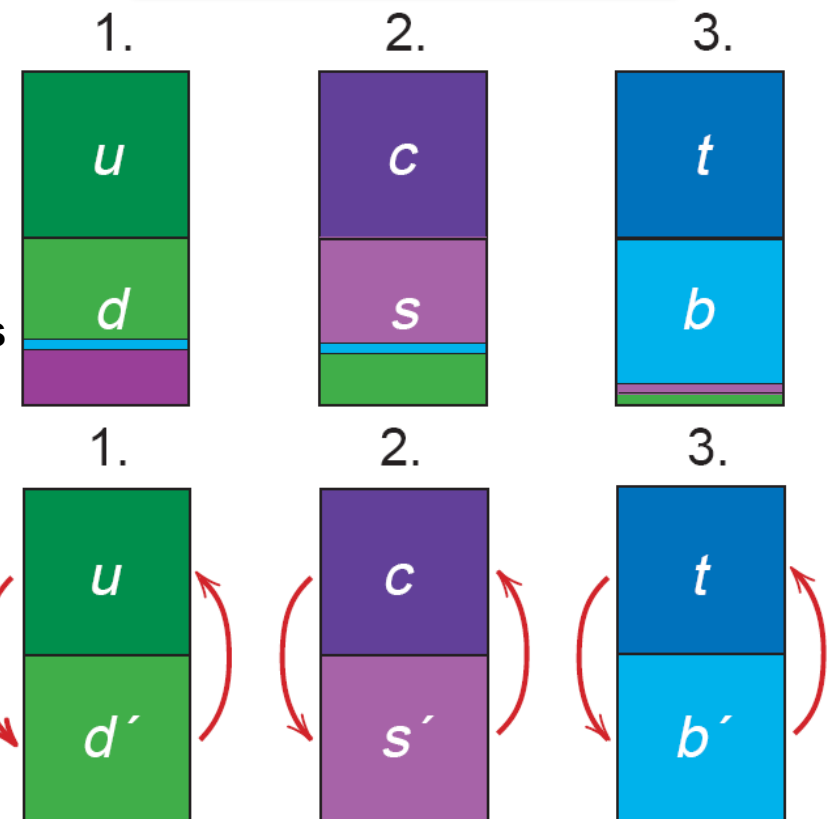


u,c,t  
no mixing

mixing of  
weak states  
 $d', s', b'$

SU(2):  
weak  
isospin  
doublets

mass eigenstates

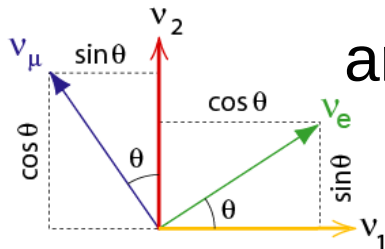


flavour eigenstates

# neutrino oscillations – formalism

- **probability P for flavour oscillation** of a  $\nu_\mu$  into  $\nu_e$  after time t:

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta \cdot \sin^2(1.27 \cdot \Delta m^2 \cdot L_\nu / E_\nu)$$



amplitude

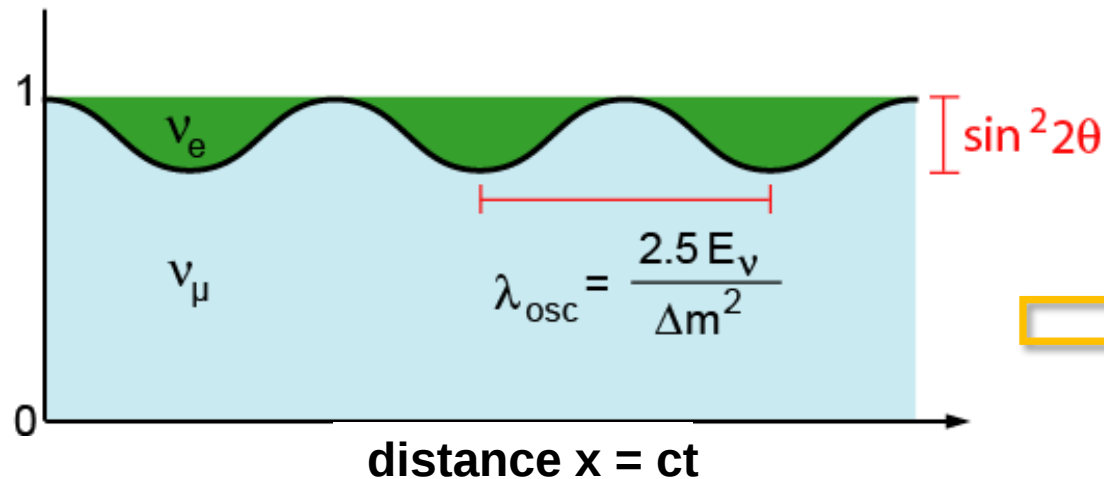
frequency

experimental parameters:

$$\Delta m_{12}^2 = |m_1^2 - m_2^2|$$

$L_\nu$  in units of m [ km ]  
 $E_\nu$  in units of MeV [ GeV ]

source



- periodic appearance of a **new** neutrino flavour state ( $\nu_e$ )
- periodic decrease / increase of original  $\nu$ -flavour fraction ( $\nu_\mu$ )
- **oscillation length  $\lambda \sim \nu$ -energy!**  
 $\lambda_{osc} \sim 2.5 E_\nu / \Delta m^2$

■ probability that  $\nu_\mu$  transforms to  $\nu_e$

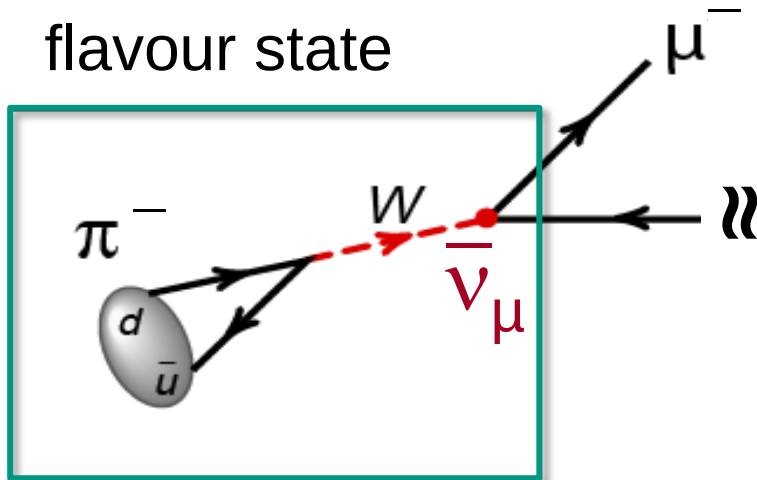
■ probability that  $\nu_\mu$  remains  $\nu_\mu$

# neutrino oscillations – concept

- neutrino oscillations result from the **propagation of mass eigenstates**

**$\nu$ -source**

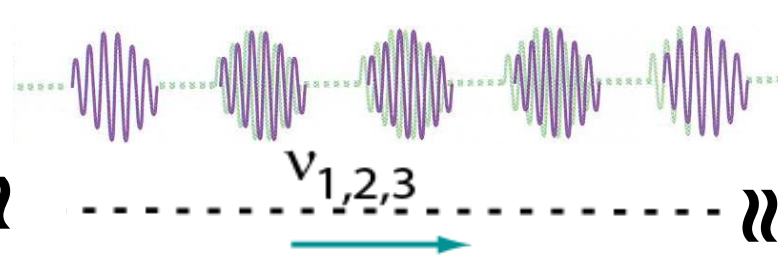
flavour state



source parameters  
to be determined:

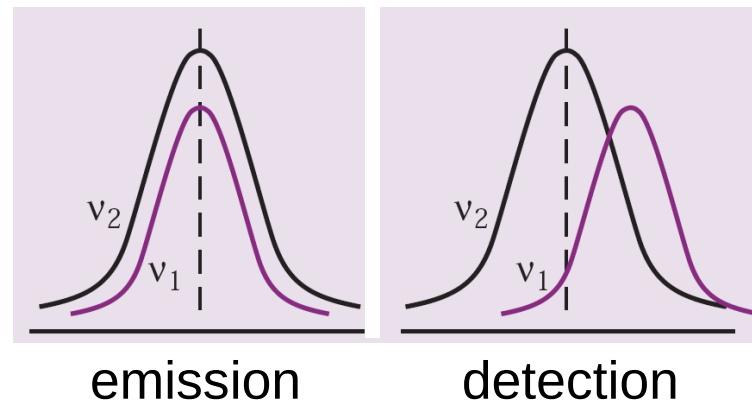
$\nu$ -energies  
 $\nu$ -fluxes  
 $\nu$ -flavours

**$\nu$ -oscillations**



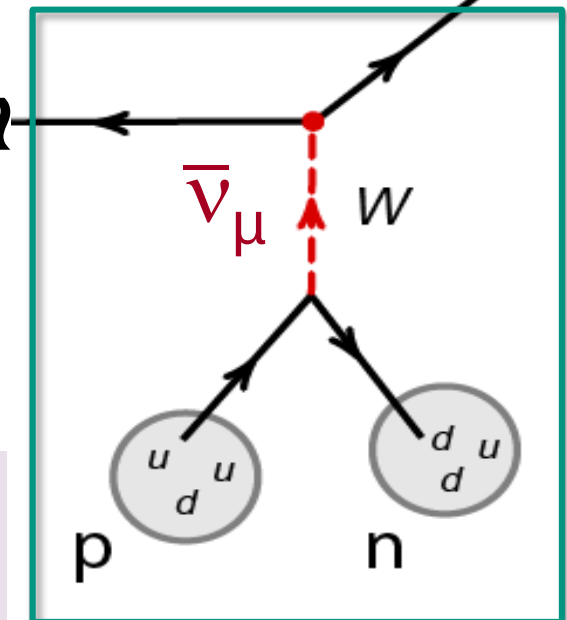
**propagation of  
 $\nu$ -mass eigenstates**

$L = 10 \text{ m} \dots 10.000 \text{ km}$



**$\nu$ -detection**

flavour state  $\mu^+$



$\nu$ -detection efficiency  
 $\nu$ -energy resolution

# appearance & disappearance channel

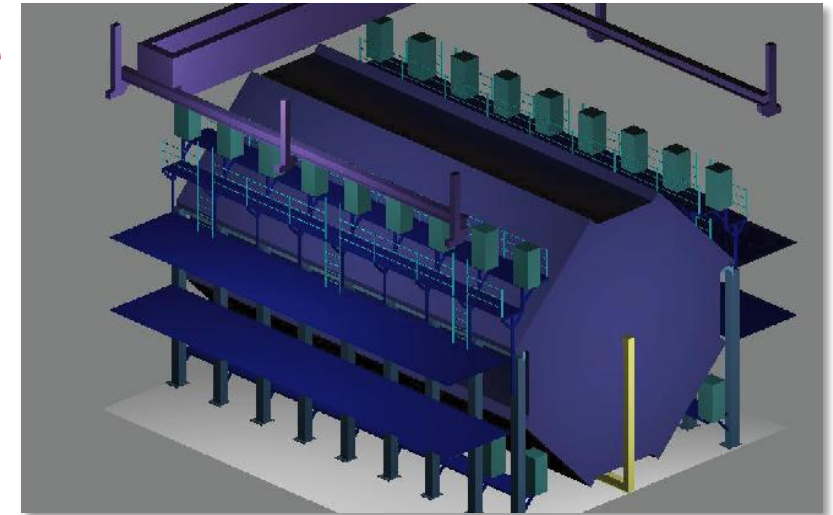
disappearance channel  $\nu_\mu \rightarrow \nu_\mu$  disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \cdot \sin^2 \left( 1.27 \cdot \Delta m^2 \cdot \frac{L_\nu}{E_\nu} \right)$$

**statistics:** large event ensemble ( $N > 10^4$ )

**systematics:**  $\nu$ -flux &  $\nu$ -energies?

preferred for **large** mixing amplitudes



example: NuMI beam - MINOS

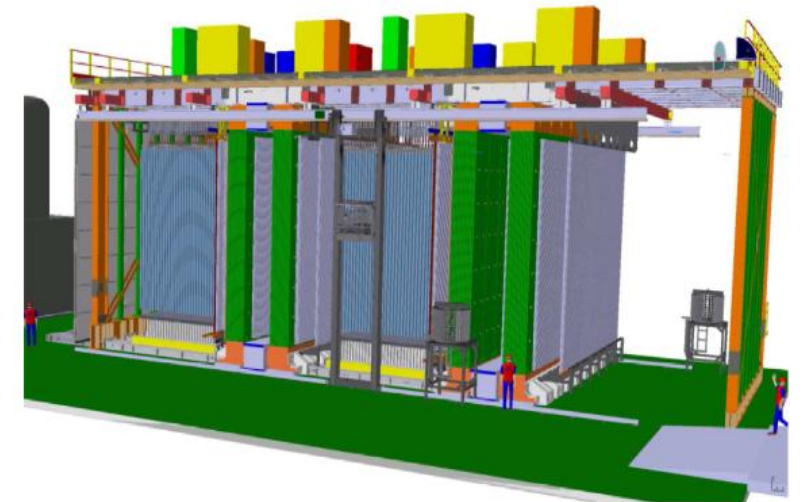
appearance Kanal  $\nu_\mu \rightarrow \nu_\tau$  appearance

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \cdot \sin^2 \left( 1.27 \cdot \Delta m^2 \cdot \frac{L_\nu}{E_\nu} \right)$$

**statistics:** small event ensembles ( $N < 100$ )

**systematics:**  $\nu$ -flavour composition?

preferred for **small** mixing amplitude



example: CNGS beam - OPERA

# appearance & disappearance channel

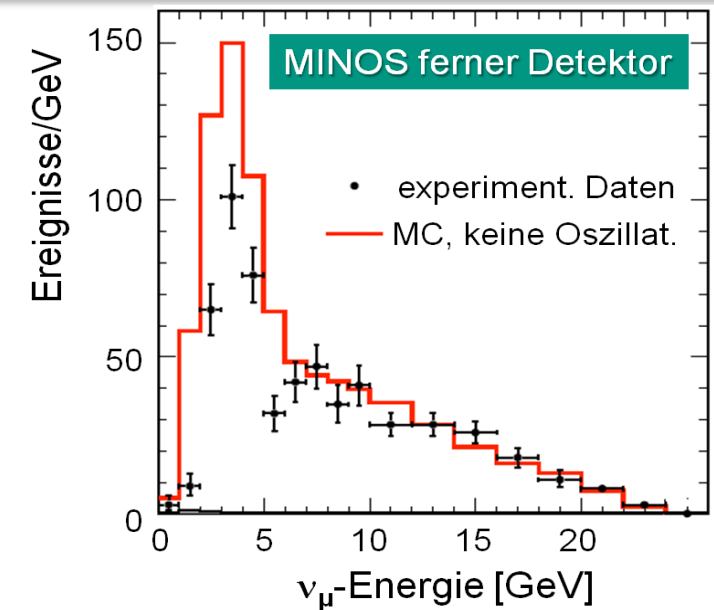
disappearance channel  $\nu_\mu \rightarrow \nu_\mu$  disappearance

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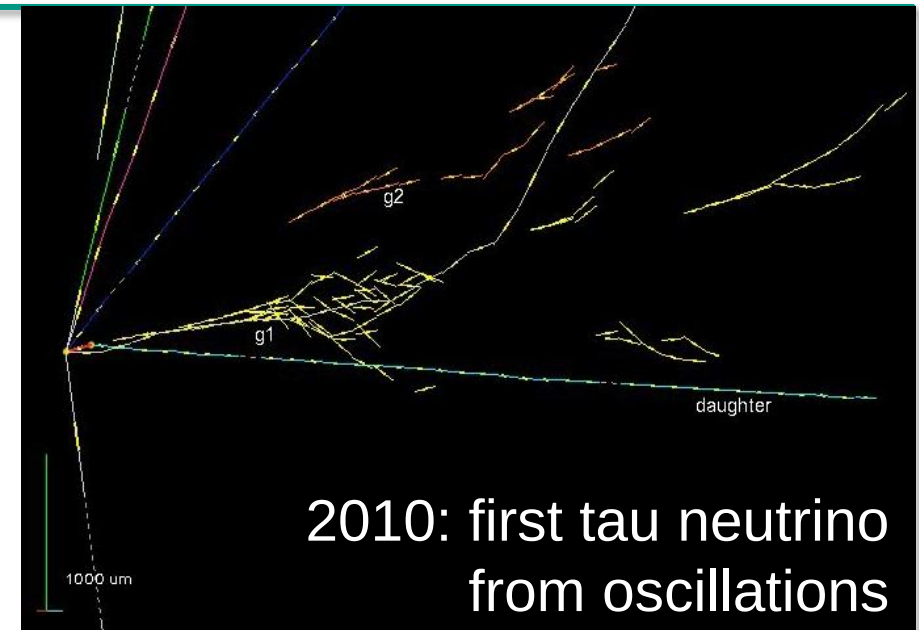
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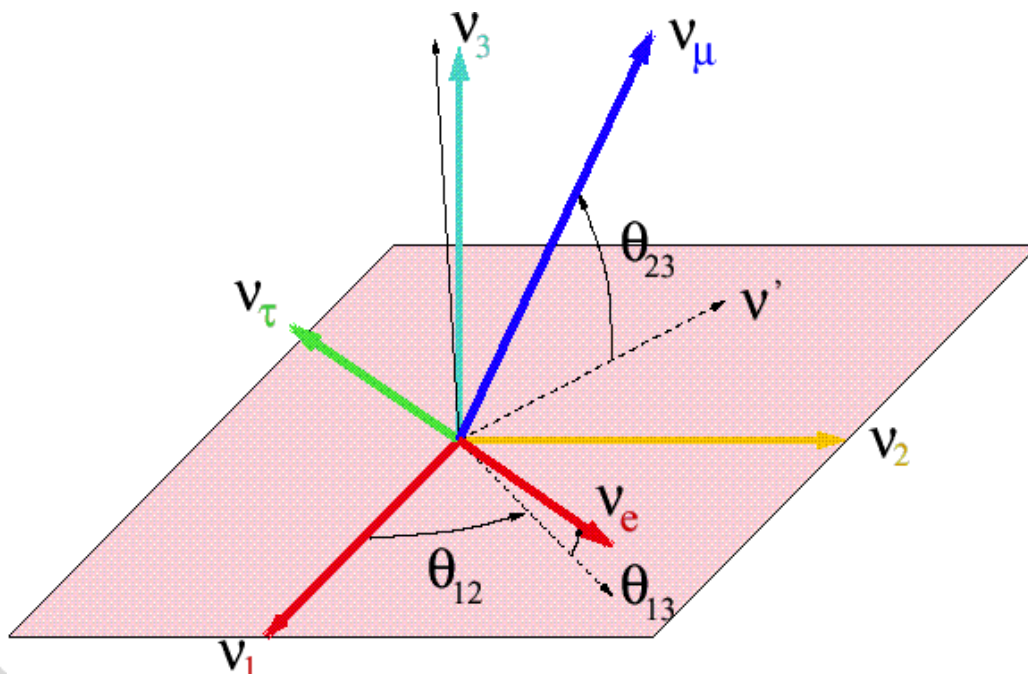
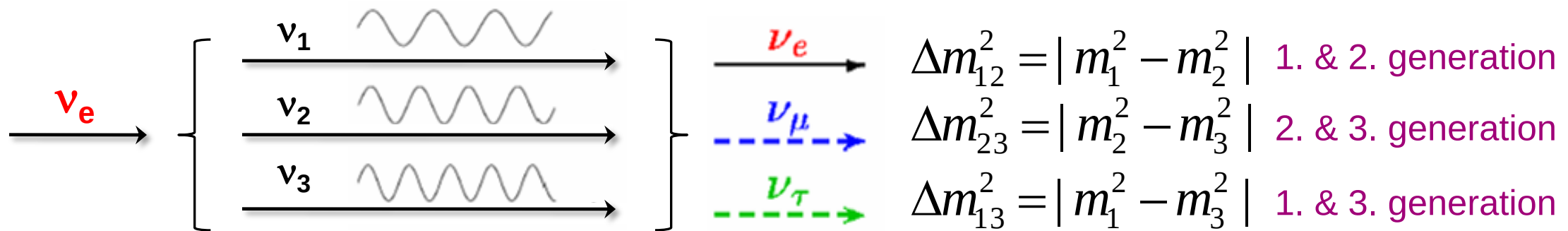


# 3-flavour mixing

## ■ extension of 2-flavour oscillations to **3 flavour oscillationen**:

- three mixing angles:  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$
- two independent  $\Delta m^2$  scales with relation:

$$\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$$



leptonic mixing matrix:  
**P**ontecorvo-**M**aki-**N**akagawa-**S**akata

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

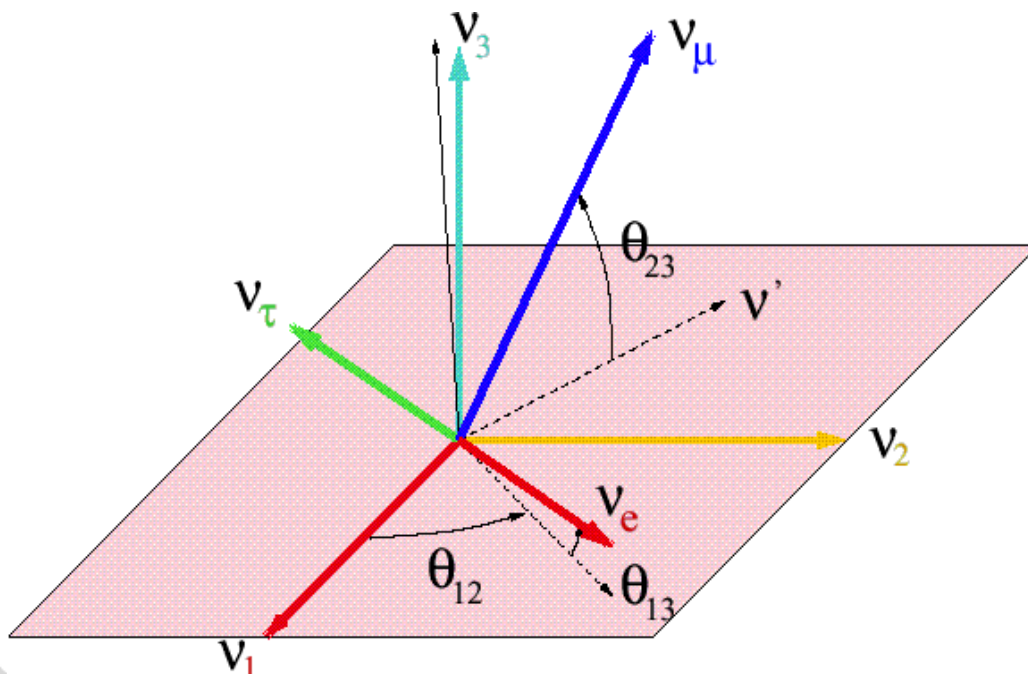
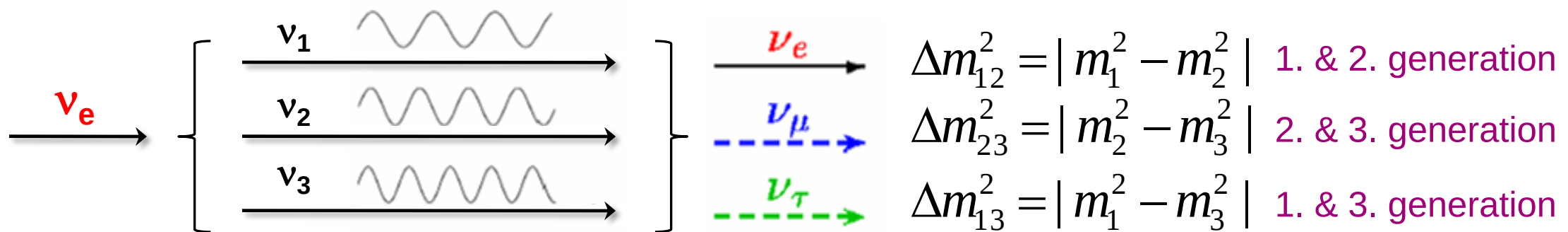
U = unitarity  $3 \times 3$  mixing matrix

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**P**ontecorvo-**M**aki-**N**akagawa-**S**akata

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 0.81-0.85 & 0.53-0.58 & 0.00-0.12 \\ 0.32-0.49 & 0.52-0.69 & 0.60-0.76 \\ 0.27-0.46 & 0.47-0.64 & 0.65-0.80 \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

U = unitarity  $3 \times 3$  mixing matrix

# neutrino oscillations: 3-flavour mixing

- 3-flavour oscillations 'decouple' into three separate mixing terms:

$\delta$ : CP-phase

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

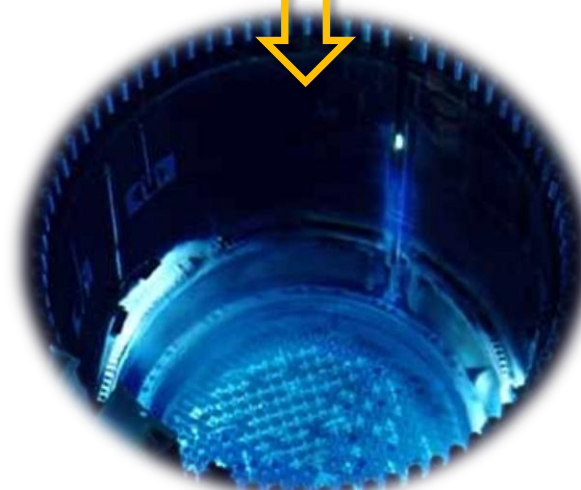
| 2. & 3. generation         | 1. & 3. generation                 | 1. & 2. generation  |
|----------------------------|------------------------------------|---------------------|
| atmospheric $\nu$ 's       | solar / reactor neutrinos          | solar neutrinos     |
| long baseline accelerators | long baseline reactor/accelerators | reactor experiments |

$\nu_\mu - X$        $\nu_\mu - \nu_\tau$

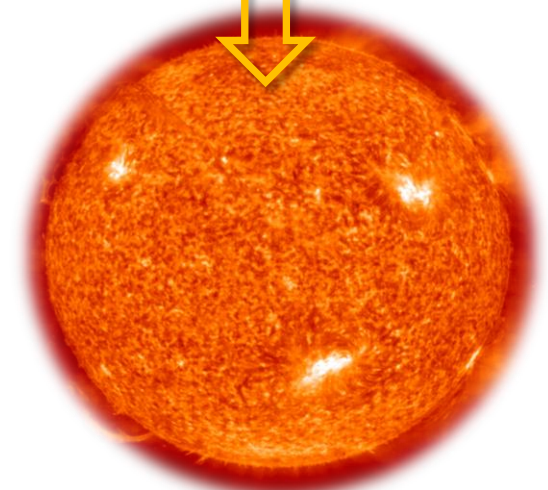


$\bar{\nu}_e - X$

$\nu_\mu - \nu_e$

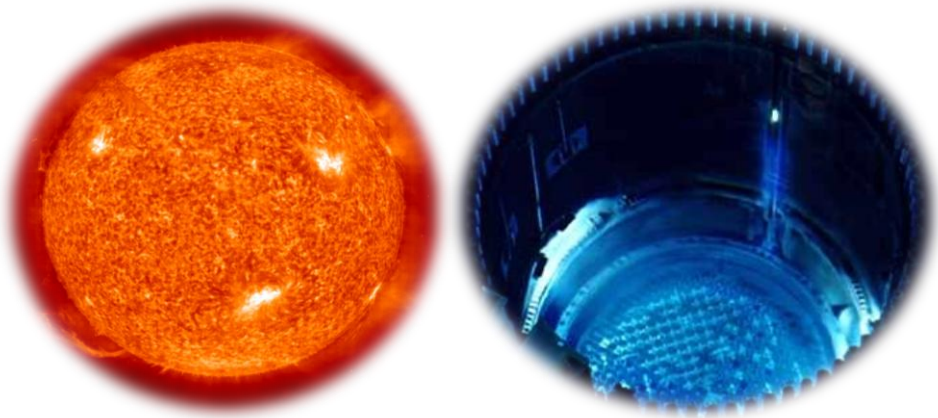


$\nu_e - X$        $\bar{\nu}_e - X$

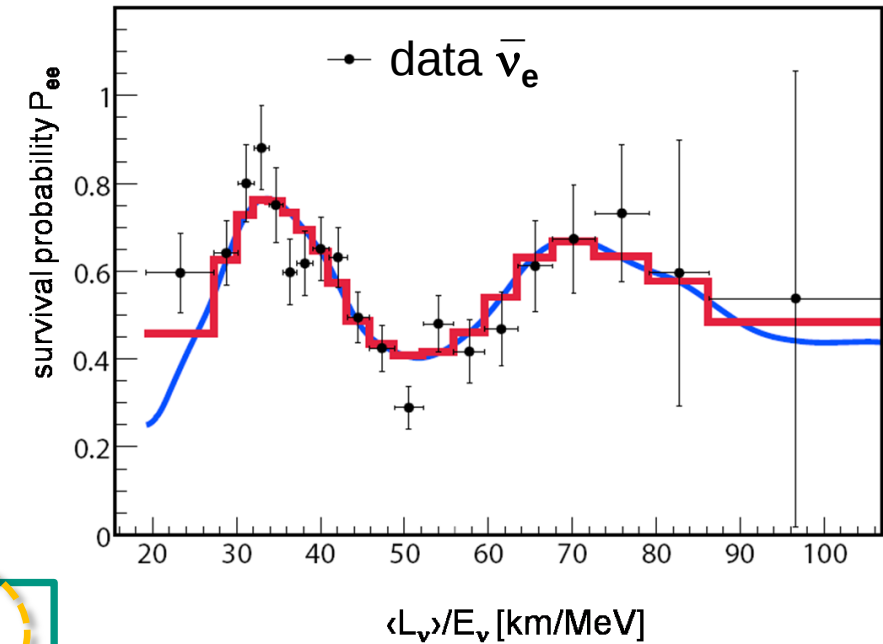


# $\nu$ -oscillations

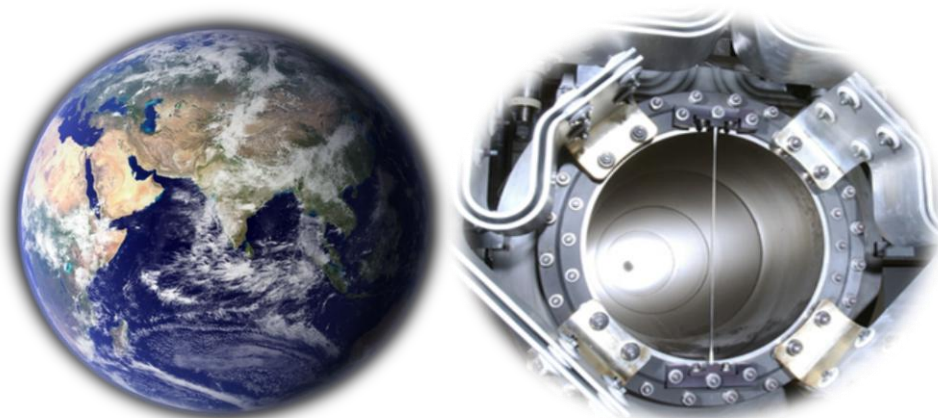
MeV: solar & LBL reactor  $\nu$ -experiments



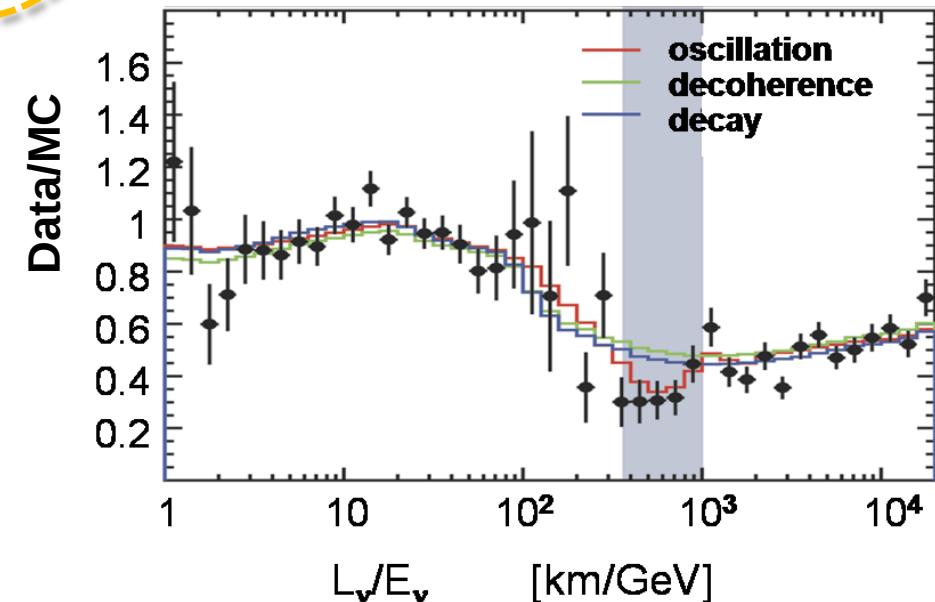
12-mixing



$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{ij} \cdot \sin^2 (1.27 \Delta m_{ij}^2 \cdot L_\nu / E_\nu)$$



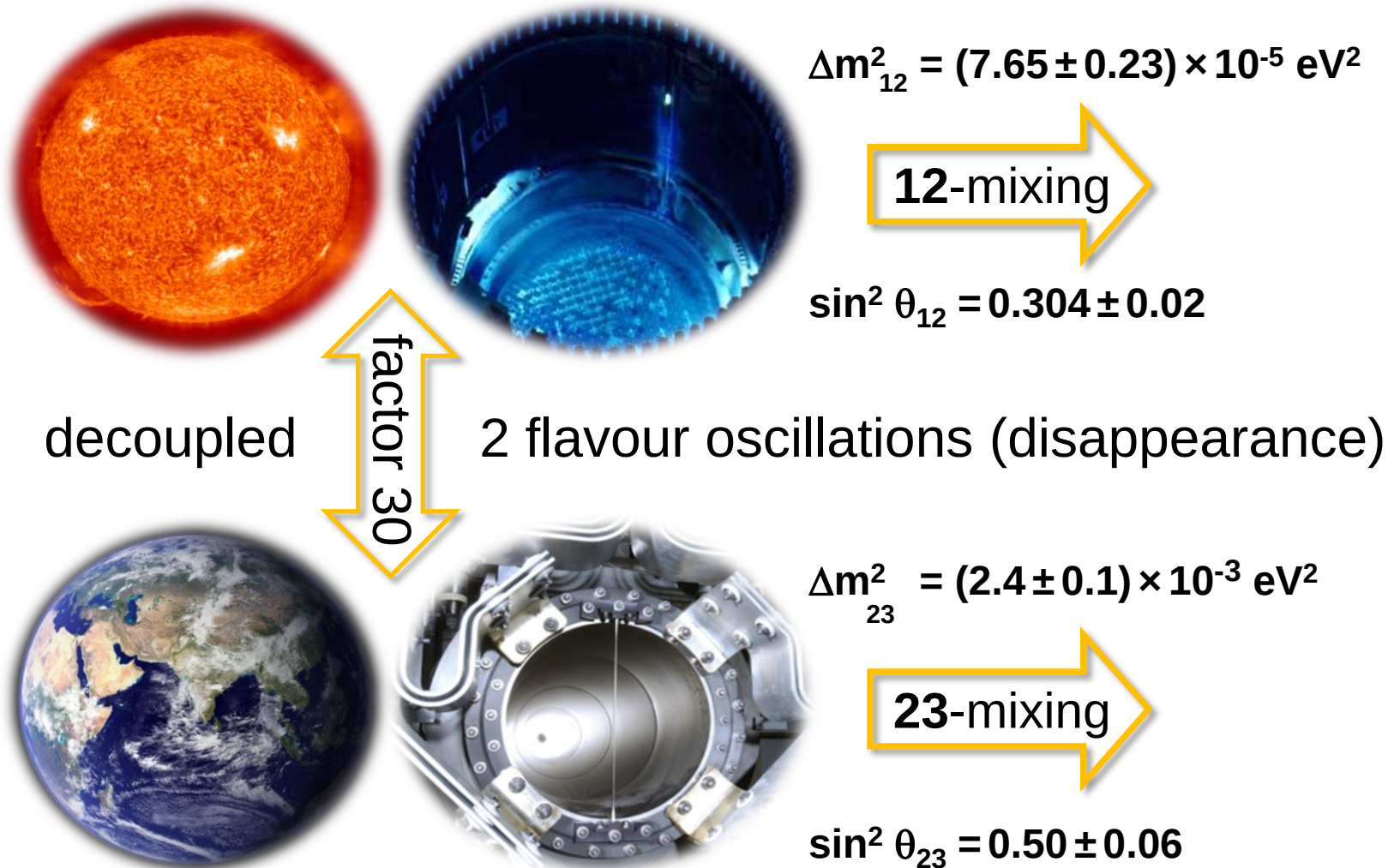
23-mixing



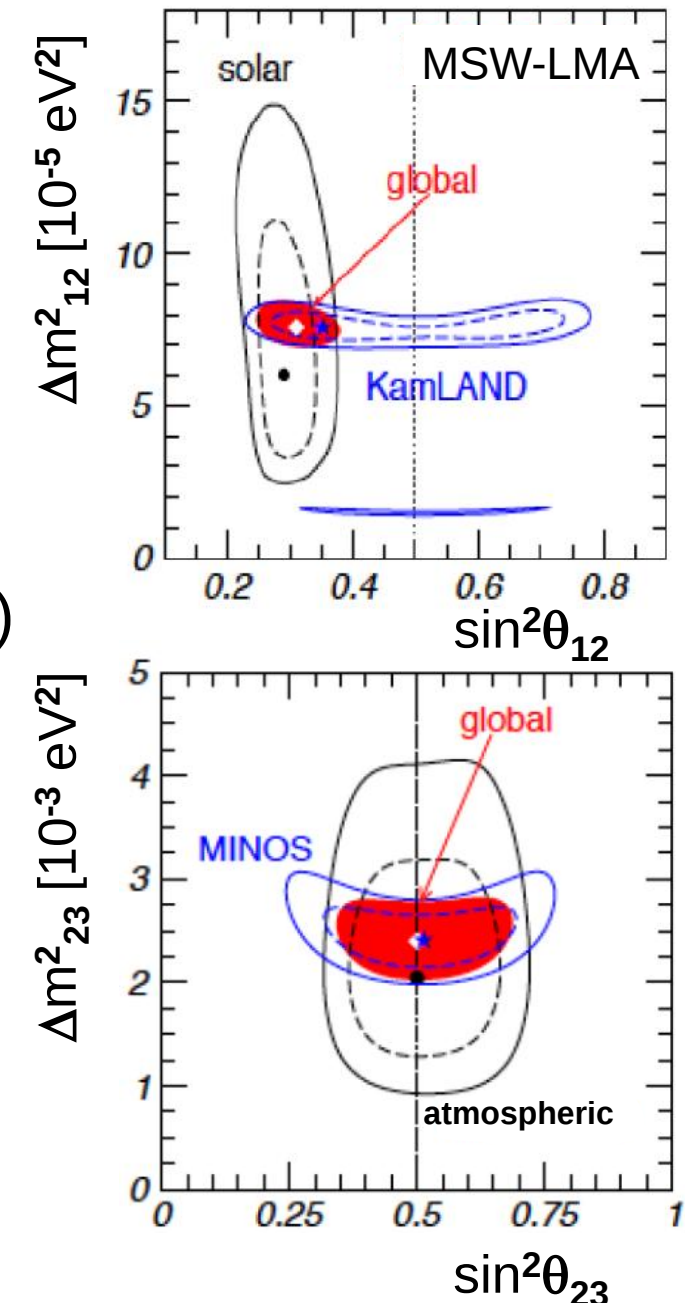
GeV: atmospheric & LBL accelerator  $\nu$ 's

# $\nu$ -oscillations: mixing parameters

## MeV: solar & LBL reactor $\nu$ -experiments



## GeV: atmospheric & LBL accelerator $\nu$ 's

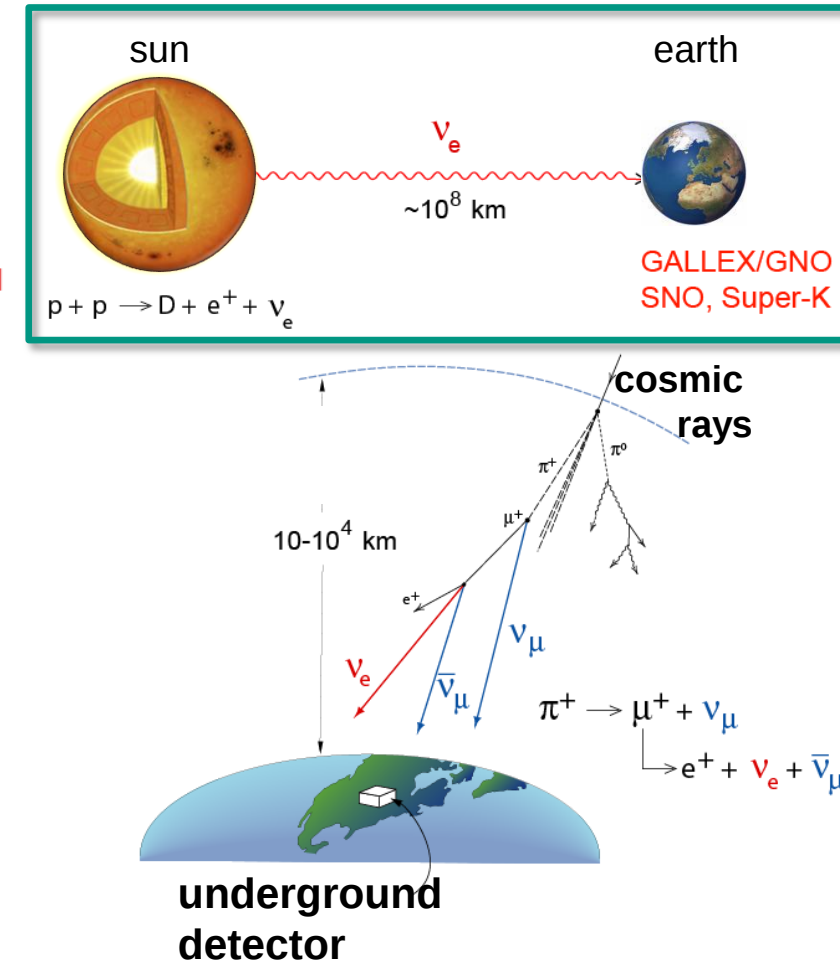
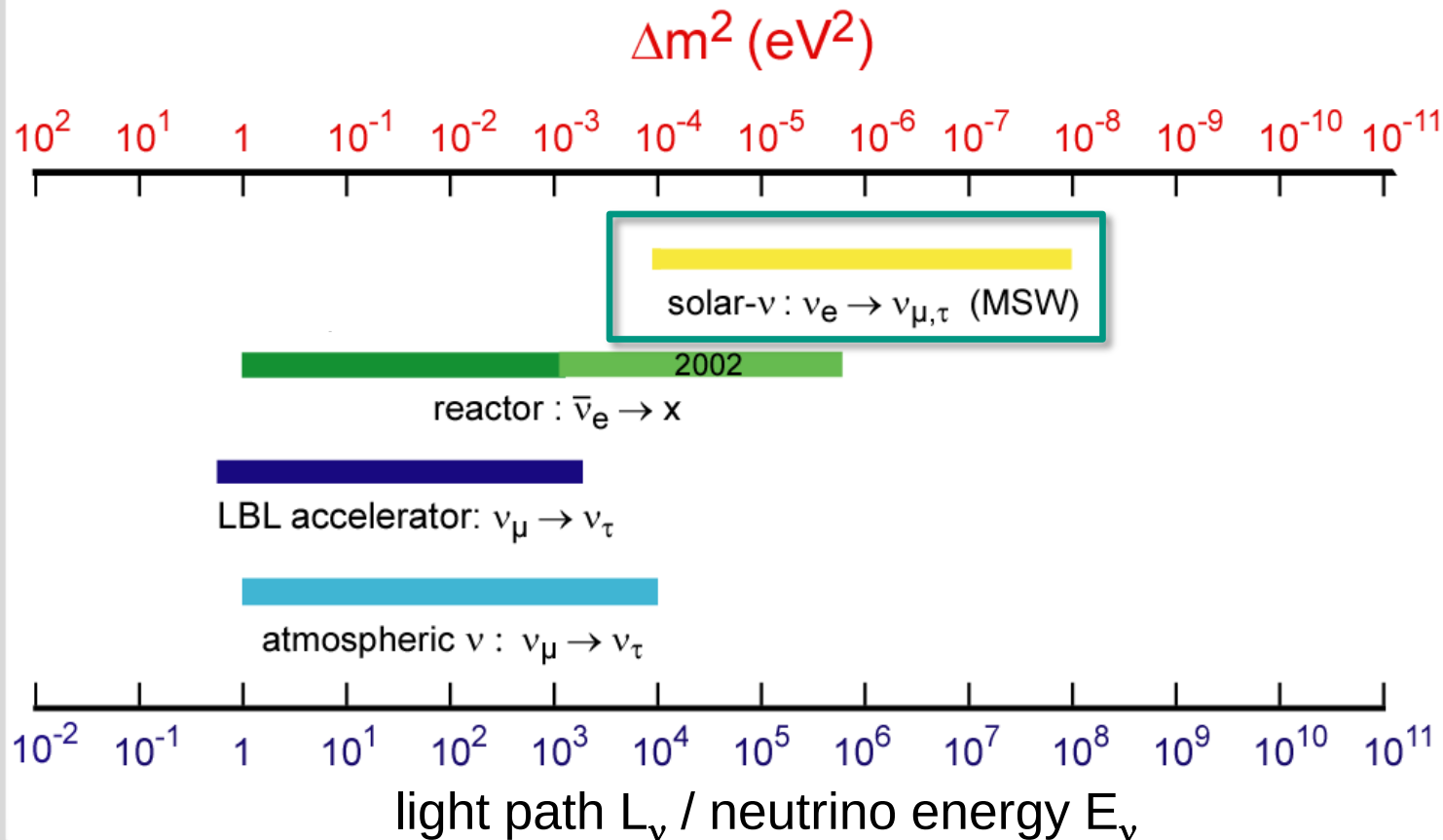


# neutrino oscillations: $L/E$ and $\Delta m^2$

- coverage of the entire  $\Delta m^2$ -parameter region requires different experiments

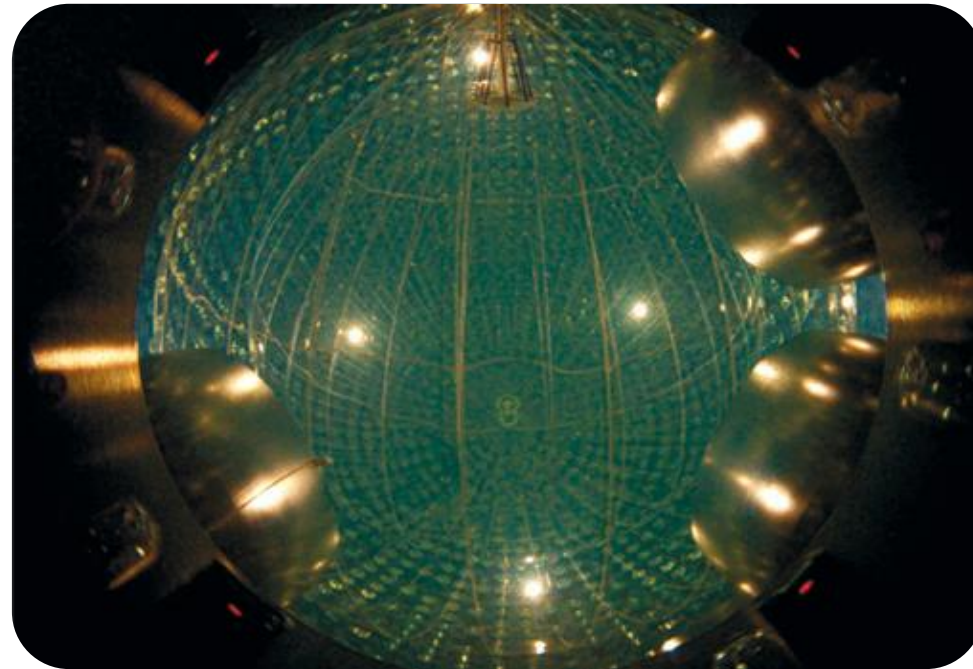
**goals:** - determination of parameters  $\Delta m_{ij}^2$ ,  $\sin^2 2\theta_{ij}$

- observation of  $L_\nu/E_\nu$  oscillation pattern
- identification of flavour channels
- matter effects, leptonic CP-violation?





# solar neutrinos: $\theta_{12}$ oscillations



# solar neutrinos – energy spectra & fluxes

- theoretical calculations for solar  $\nu$ -spectrum, pioneered by J. Bahcall

## Standard Solar Model -

- input parameters:  $L_{\odot}$ , ...

### metallicity $Z/X$ :

high  $Z$ : 1.8%  $\Leftrightarrow$  low  $Z$ : 1.65%

- low  $Z$ : problems with  
helioseismology / (SSM)

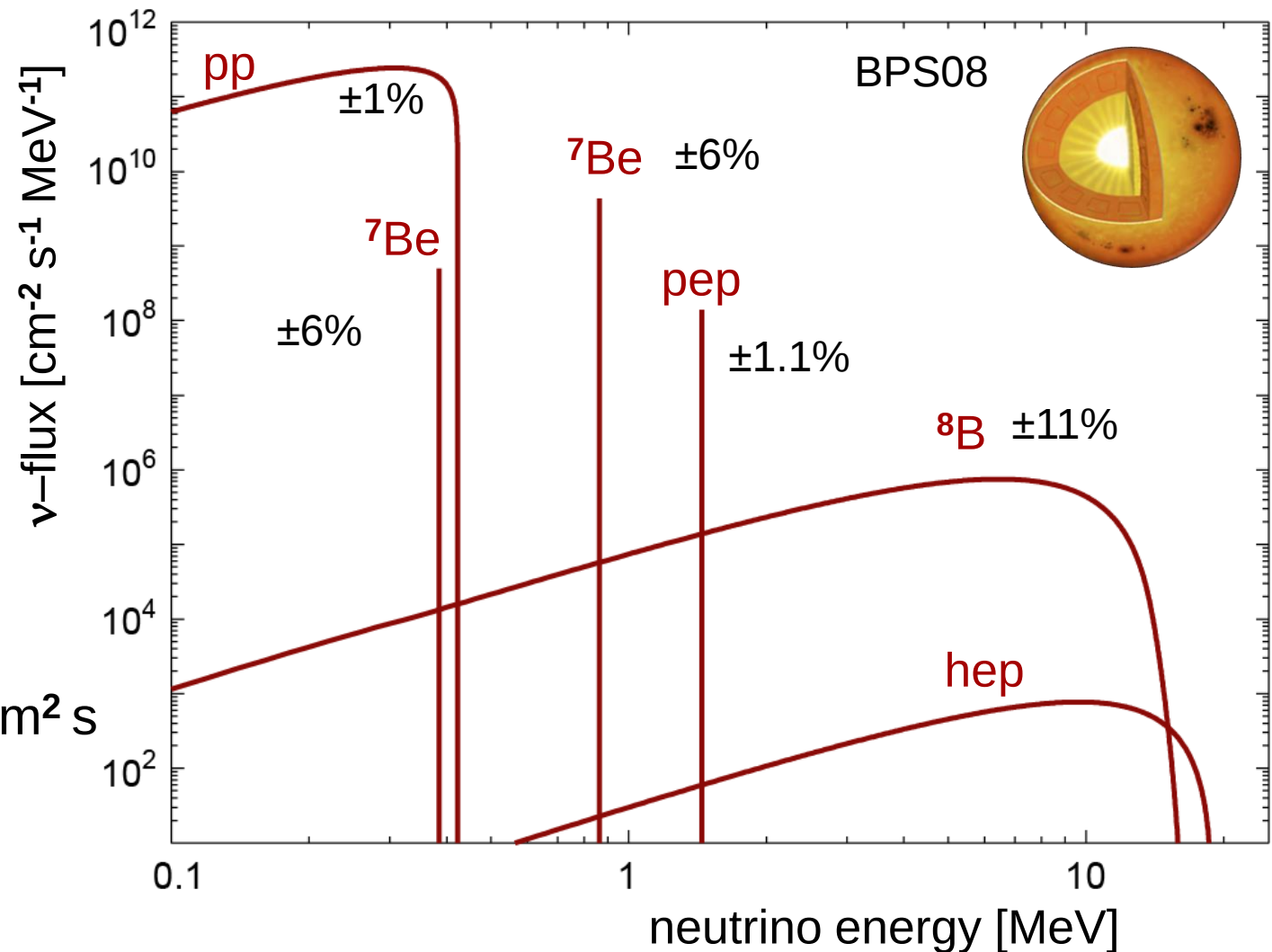
- lower solar metallicity  $Z$

decreases  $\left\{ \begin{array}{l} {}^7\text{Be} \text{ by } \sim 10\% \\ \text{CNO, ...} \end{array} \right.$

low  $Z$ :  $\Phi({}^7\text{Be}) = 4.55 \times 10^9 / \text{cm}^2 \text{ s}$   
high  $Z$ :  $\Phi({}^7\text{Be}) = 5.07 \times 10^9 / \text{cm}^2 \text{ s}$

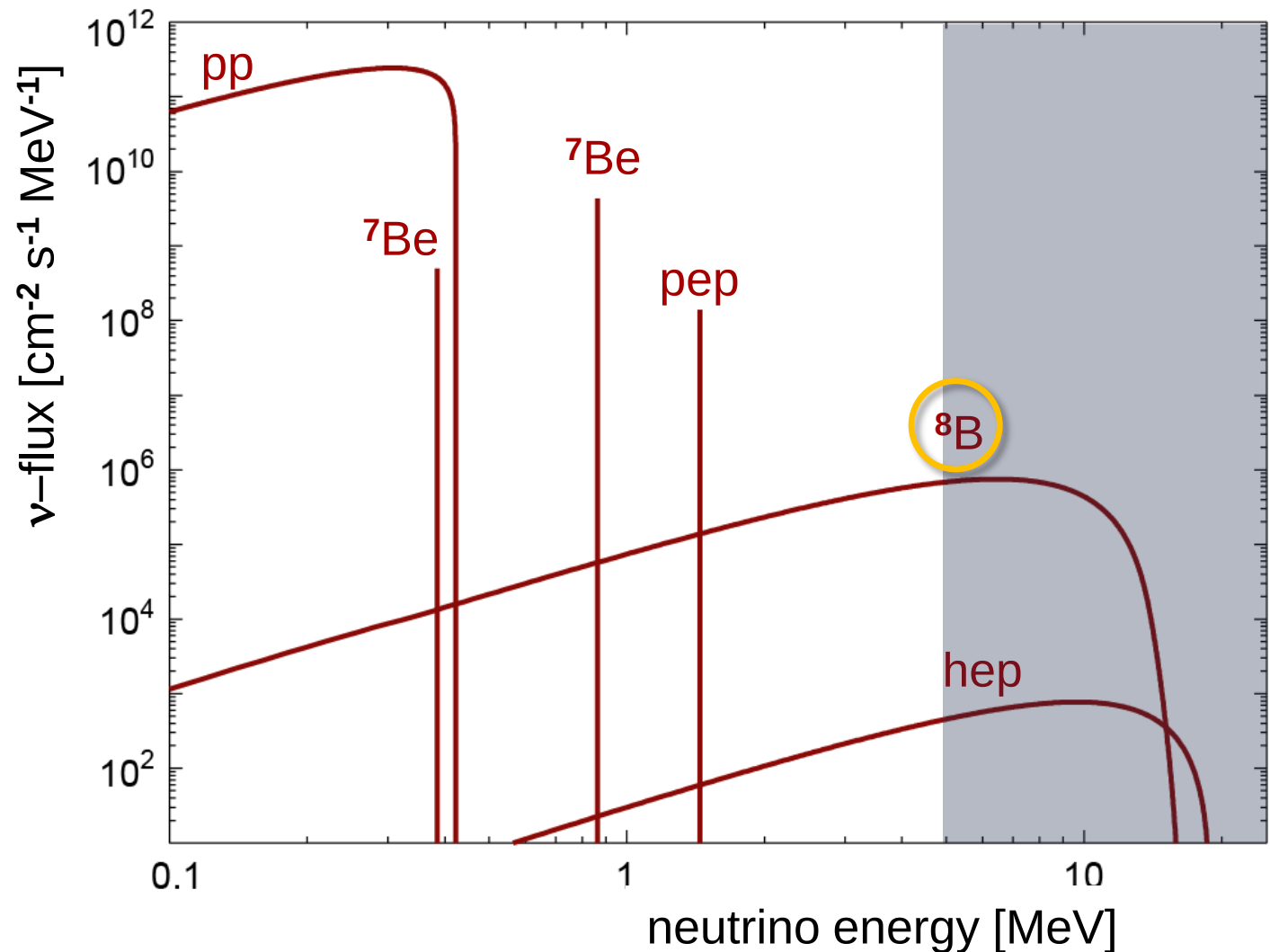
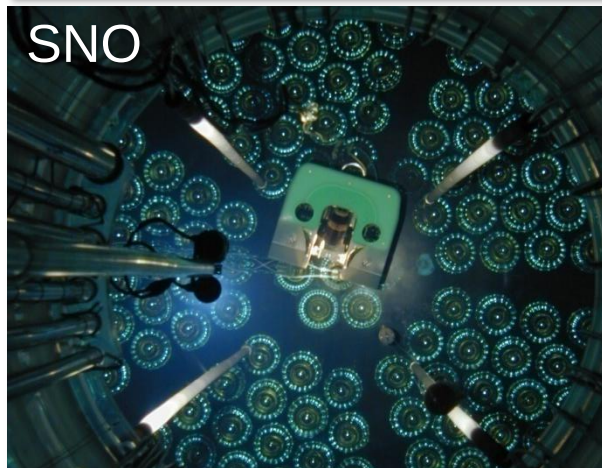
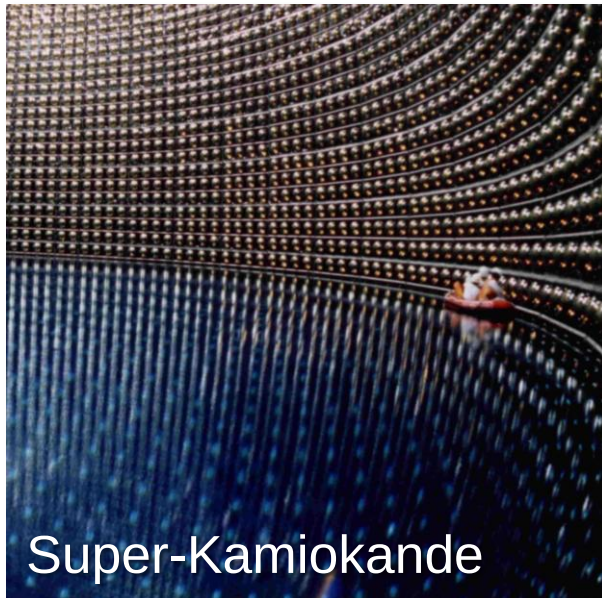
(J. Bahcall), C. Pena-Garay &  
A. Serenelli, 2008, arXiv:0811.2424

integral solar  $\nu$ -flux:  $\Phi_{\nu} = 6.6 \times 10^{10} / \text{cm}^2 \text{ s}$



# solar neutrinos – $^8\text{B}$

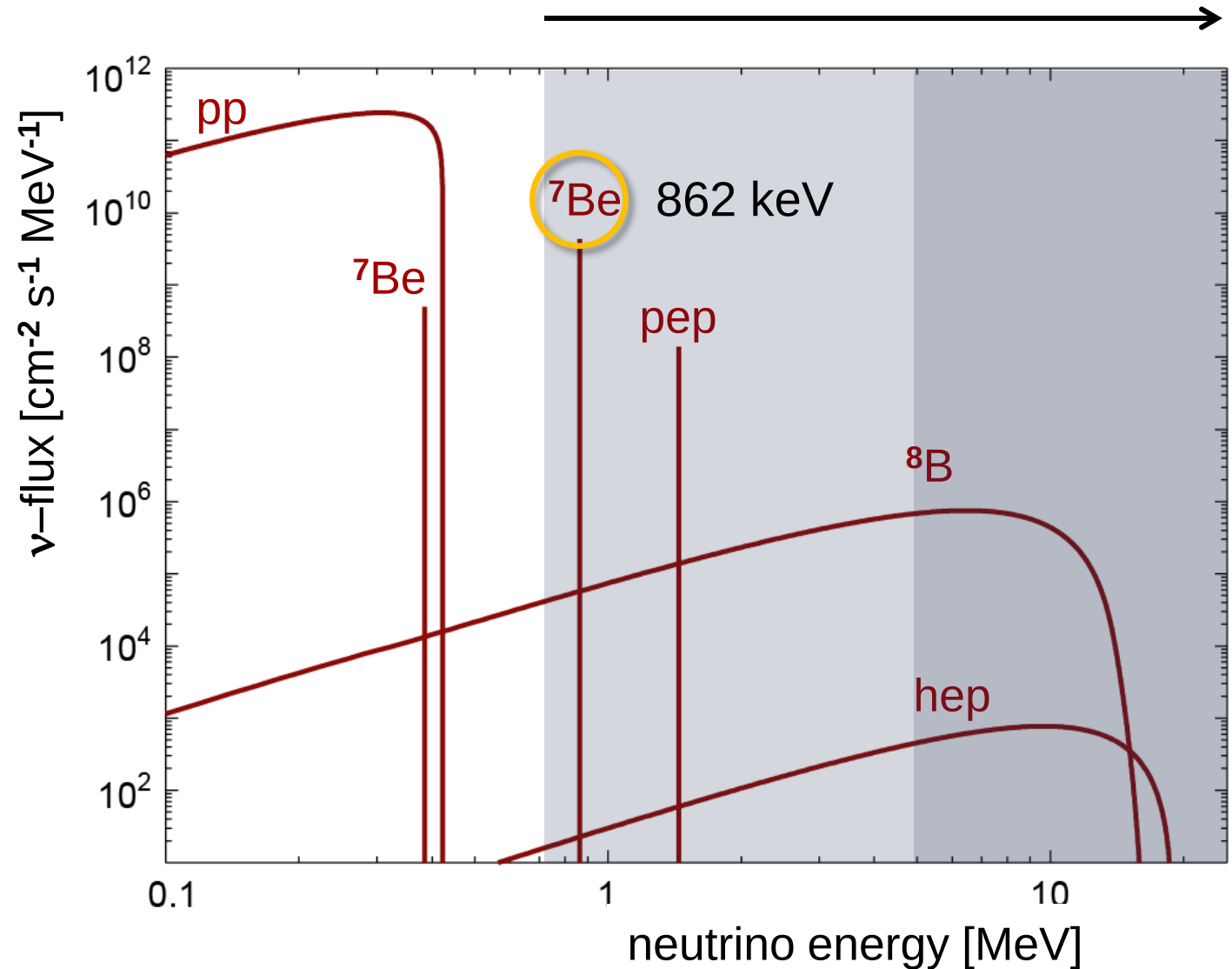
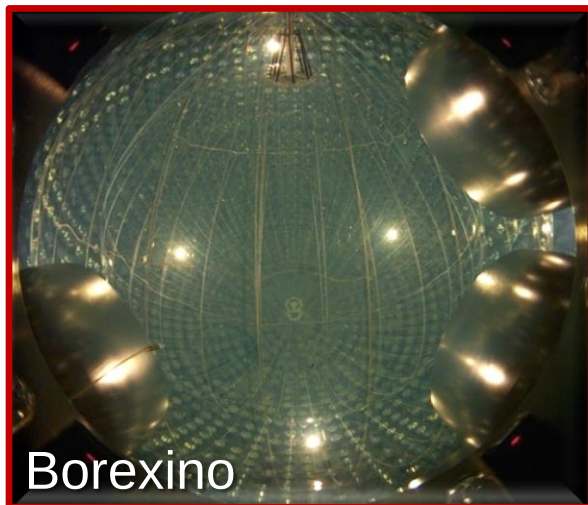
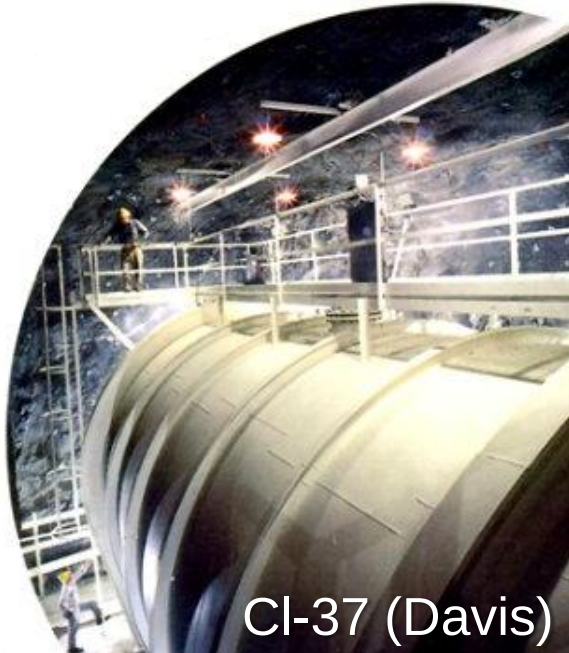
- **water Cherenkov detectors:** 'real-time' detection of  $^8\text{B}$ - $\nu$ 's
- measurements of : spectral shape, CC rate, NC rate, day-night effects, earth orbit effects, conversion into  $\bar{\nu}_e$ ? (Super-K, SNO)



# solar neutrinos – ${}^7\text{Be}$

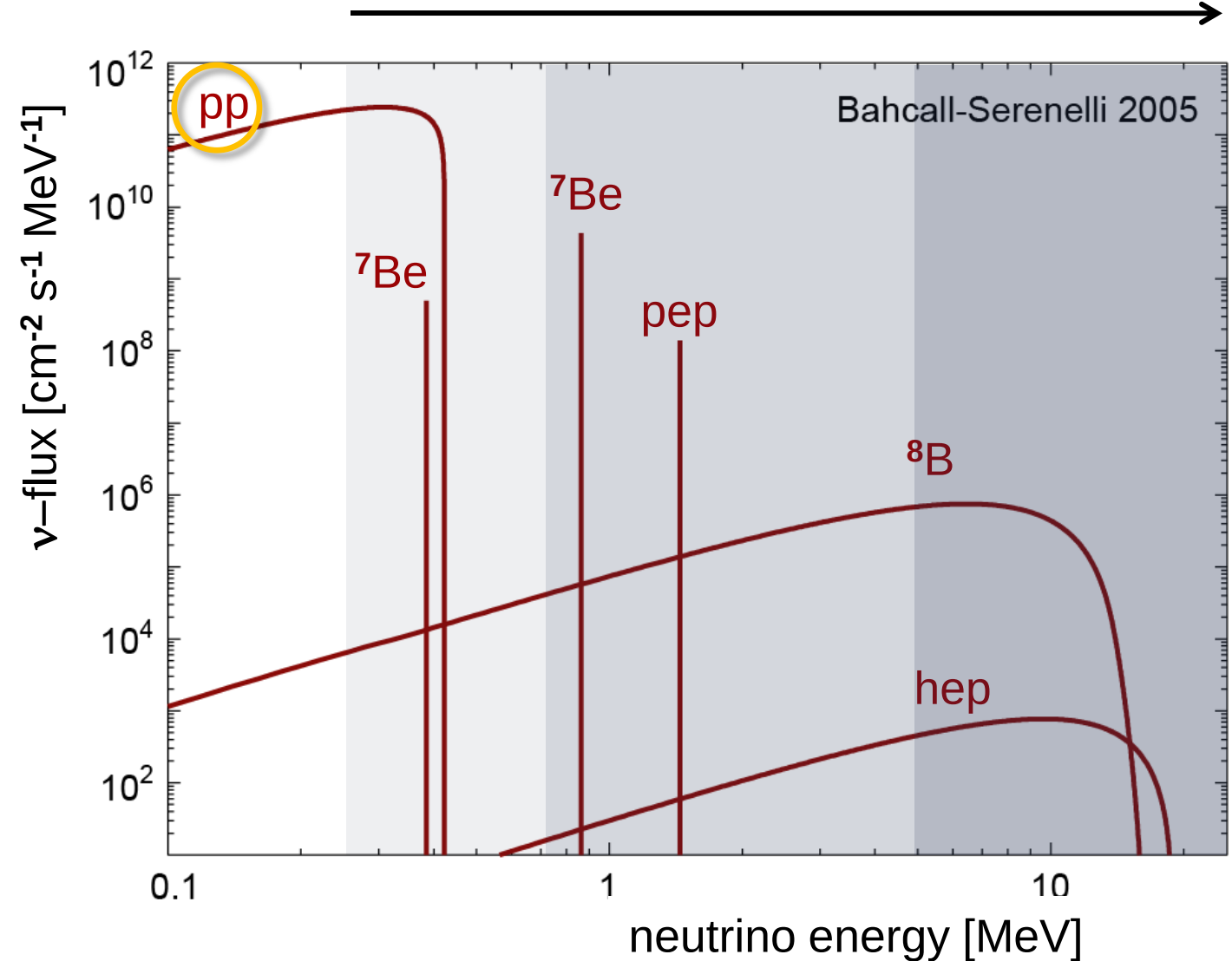
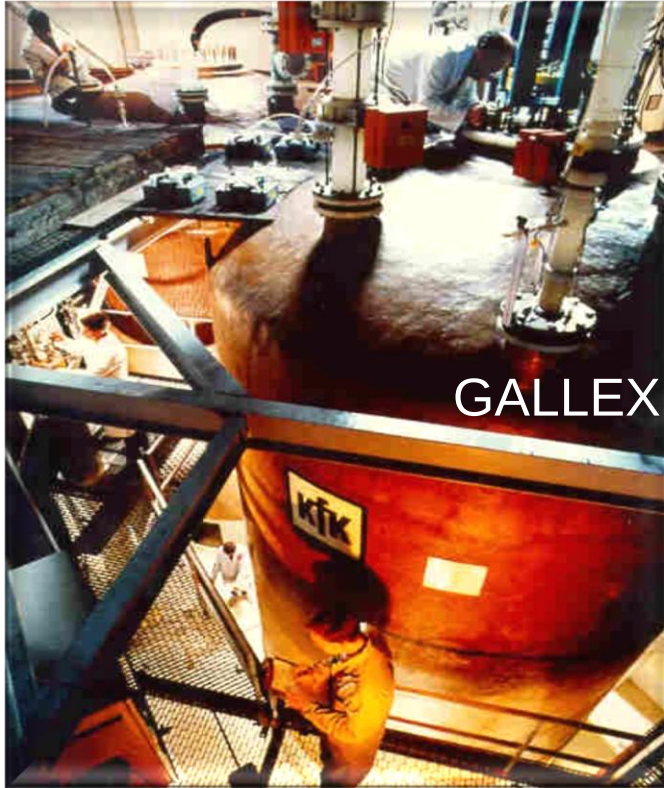
■ **radiochemical detectors:** Cl-37 experiment  $\rightarrow$  solar  $\nu$ -problem

**ultra-clean scintillators:** Borexino  $\nu e$ -scattering (real-time!)

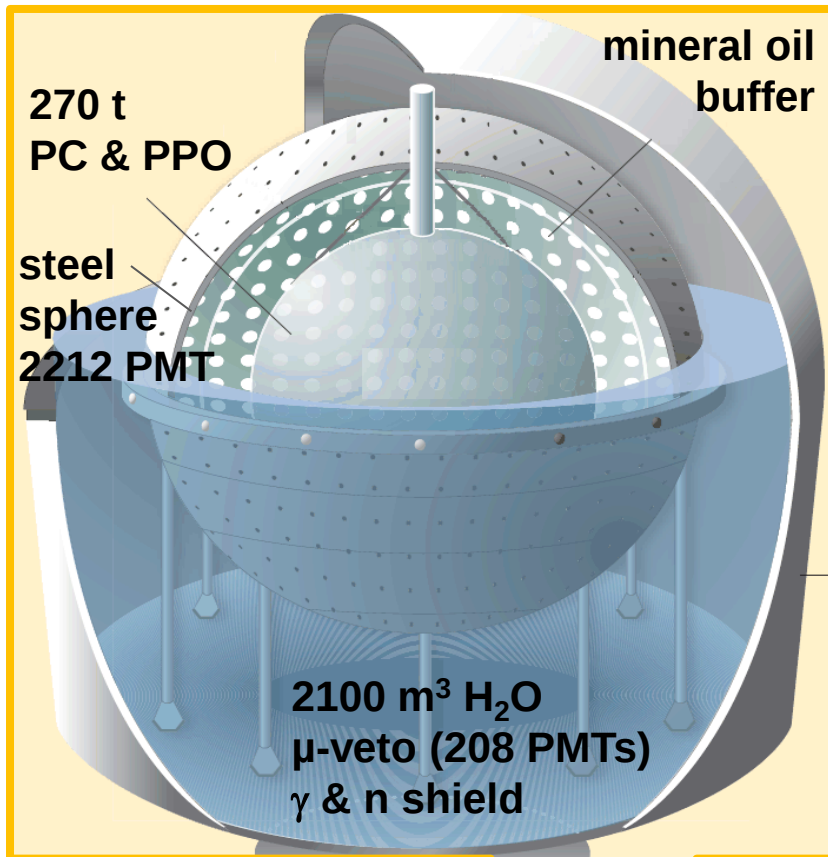


# solar neutrinos – pp

**radiochemical detectors:** Gallex & SAGE measure low-energy pp- $\nu$ 's  
successful calibration with  $^{51}\text{Cr}$ - $\nu$ -source



# Borexino experiment



at LNGS,  
hall C  
3500 mwe



## ■ objectives: detection of low energy- $\nu$ 's via elastic $\nu$ -e scattering

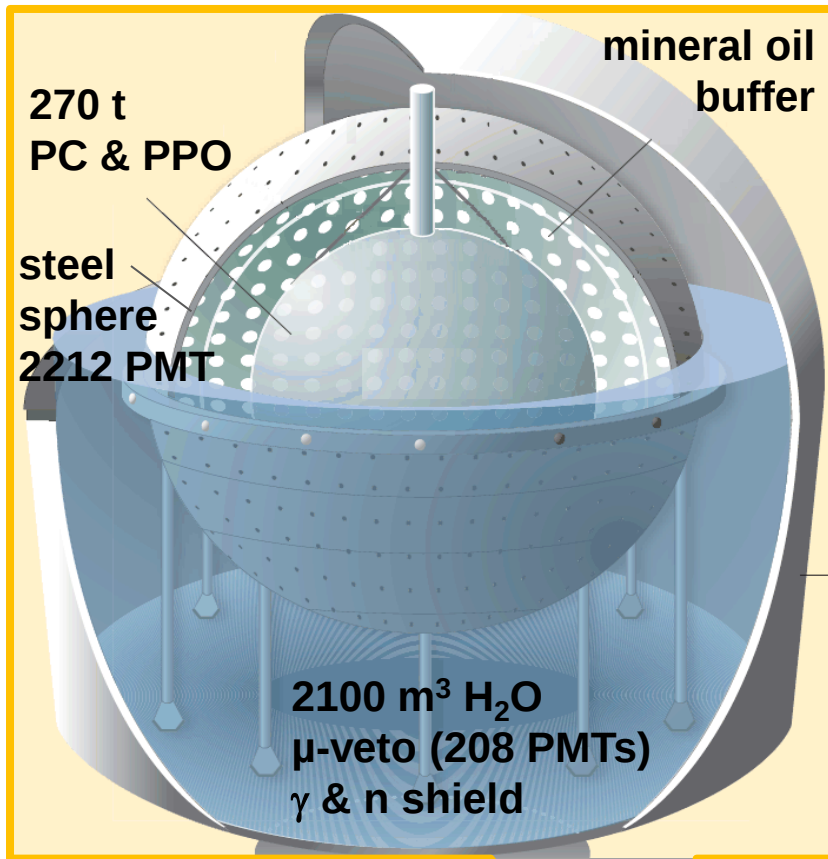
### solar neutrinos:

- real-time observation of the  **$^7\text{Be}$ -line**
  - ↳ improved knowledge  $\phi(^7\text{Be})$  40%  $\rightarrow$  <5%
  - ↳ astrophysics of the sun (metallicity)
  - ↳ improved precision  $\phi(\text{pp})$ ,  $\phi(\text{CNO})$
  - ↳ search for non-standard interactions (NSI)
- measure  **$^8\text{B}$ - $\nu$ 's, pep- $\nu$ 's**
  - ↳ investigation of transition between vacuum oscillations & MSW effect

### geo-neutrinos:

- analysis of energy spectrum at low energies
    - ↳ improved understanding of heat production
- [2010:  $\sim 10$  candidates observed,  $4.2\sigma$  effect!]

# Borexino experiment



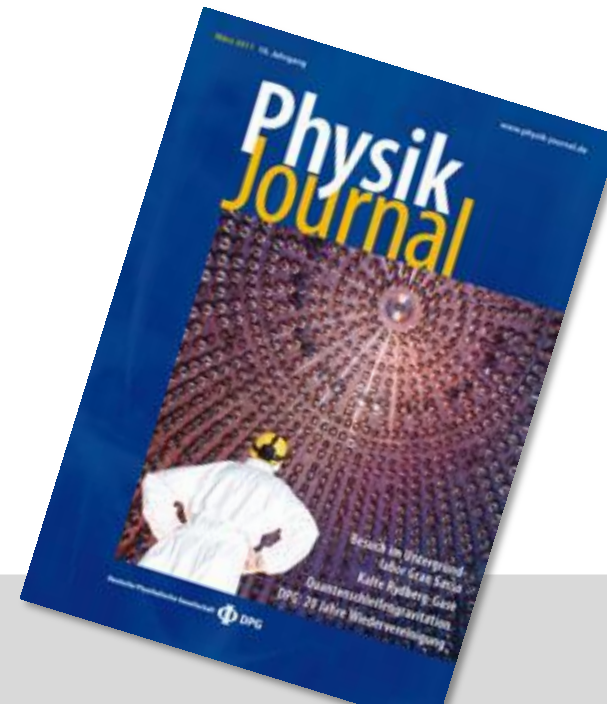
## detector: graded shielding principle

ultra-clean liquid scintillator (PC) surrounded by mineral oil buffer and water μ-veto

### detector parameters

|             |               |
|-------------|---------------|
| light yield | 500 p.e./ MeV |
| resolution  | 5% @ 1 MeV    |

at LNGS,  
hall C  
3500 mwe



# Borexino experiment

## detector: graded shielding principle

ultra-clean liquid scintillator (PC) surrounded by mineral oil buffer and water  $\mu$ -veto

### detector parameters

|             |               |
|-------------|---------------|
| light yield | 500 p.e./ MeV |
| resolution  | 5% @ 1 MeV    |

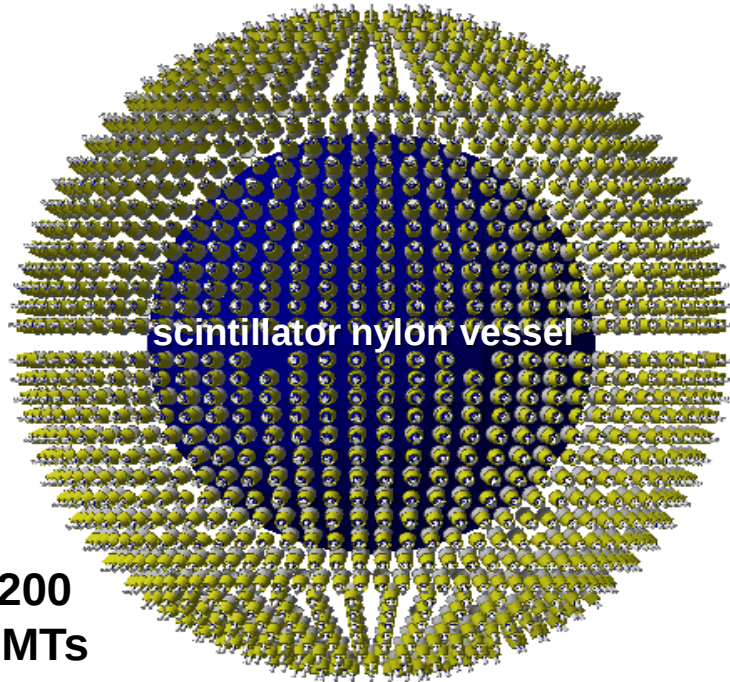
## challenge: extremely small isotope impurities

| isotope           | purity-design    | purity-realised           |
|-------------------|------------------|---------------------------|
| $^{238}\text{U}$  | $< 10^{-16}$ g/g | $1.9 \times 10^{-17}$ g/g |
| $^{232}\text{Th}$ | $< 10^{-16}$ g/g | $6.8 \times 10^{-18}$ g/g |

$\rightarrow ^8\text{B } \nu$ 's

$^{210}\text{Po}$ :  $\alpha$ -decay to  $^{206}\text{Pb}$ ,  $E_\alpha = 5.3$  MeV, non-eq.  
 $A(t_0) \sim 6000$  cts/day/100t ( $t_{1/2} = 138$  d)

$^{85}\text{Kr}$ :  $\beta$ -decay to  $^{85}\text{Rb}$ ,  $E_0 = 687$  keV  
 $A \sim (30 \pm 5)$  cts/day/100t ( $t_{1/2} = 10.7$  a)



2200  
PMTs



# Borexino – initial results for $^7\text{Be}$

## ■ initial $^7\text{Be}$ -analysis

data taking 5/07 - 4/08  
192 days = 41.3 t years

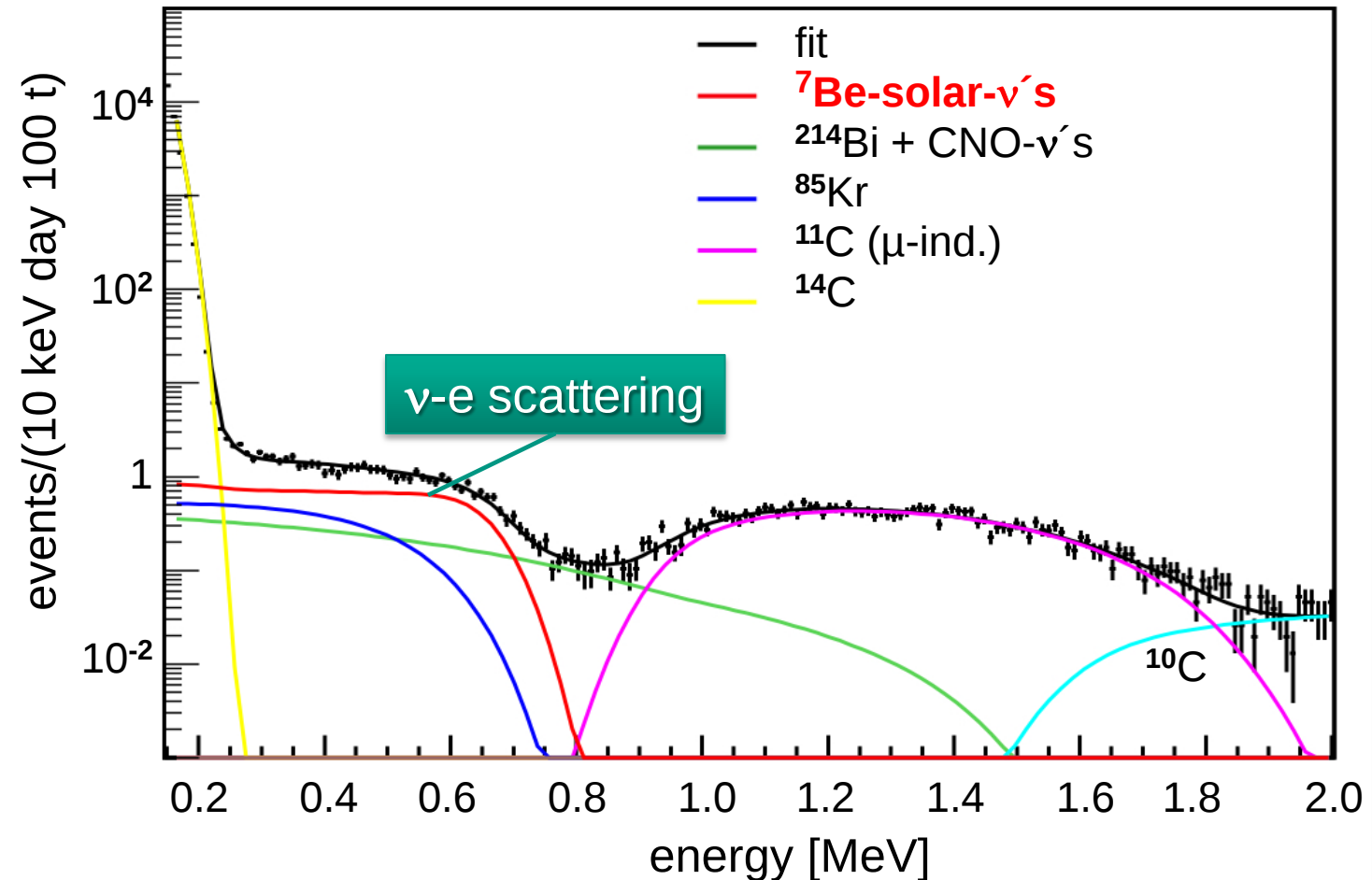
## ■ systematics

- total 8.5%
- detector resp. 6%
- fiducial mass 6%
- cut efficiency 0.3%
- scintillator mass 0.2%

## ■ initial results

parameters  
of MSW –  
LMA ☒

Phys. Rev. Lett.  
101 (2008) 09132



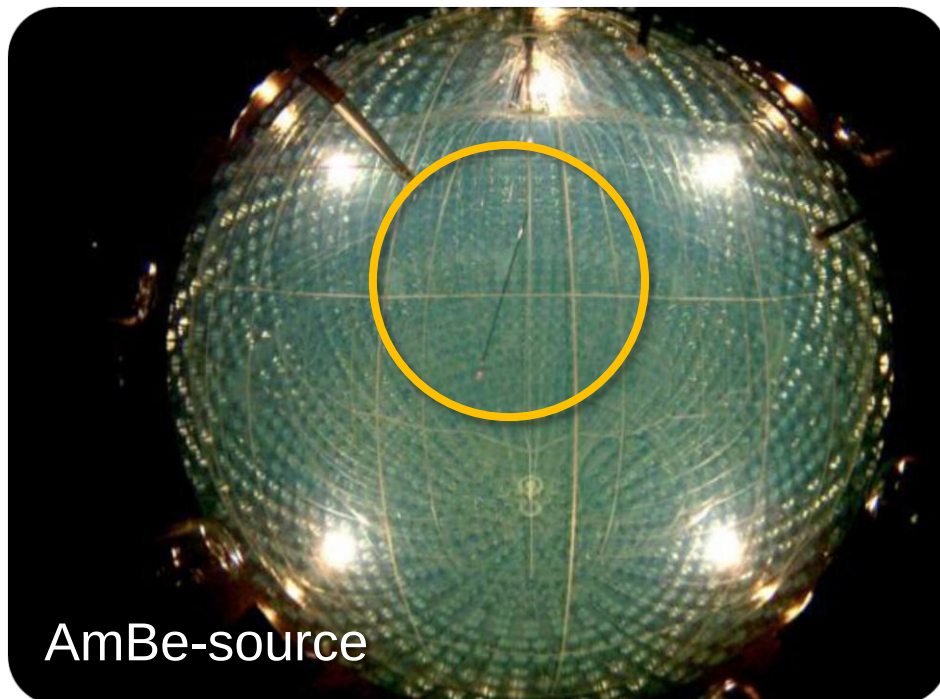
| parameter                                                                   | Borexino result                                  | LMA               |
|-----------------------------------------------------------------------------|--------------------------------------------------|-------------------|
| $^7\text{Be}$ - $\nu$ rate (per day per 100 t)                              | $49 \pm 3 \text{ (stat.)} \pm 4 \text{ (syst.)}$ | $48 \pm 4$        |
| $^7\text{Be}$ - $\nu$ flux ( $\times 10^9 \text{ cm}^{-2} \text{ s}^{-1}$ ) | $5.18 \pm 0.52$                                  | 5.1               |
| $\nu_e$ -survival probability $P_{ee}$                                      | $0.56 \pm 0.10$                                  | $0.541 \pm 0.017$ |

# Borexino – calibration

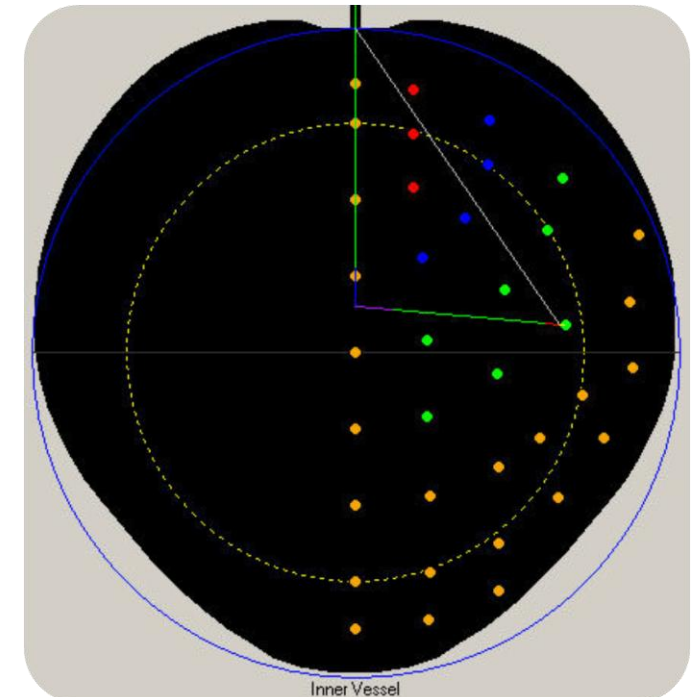
■ **2009:** calibration of detector with  $\alpha, \beta, \gamma$  and neutron sources:

- position reconstruction
  - position resolution
  - energy scale (in particular  $E < 2$  MeV)
- }  $\Rightarrow$  fiducial volume
- }  $\Rightarrow$  detector response

■ **results:** reduced systematic error for **fiducial volume** 6 %  $\rightarrow$  1.3 %



$\gamma$ :  $^{57}\text{Co}$ ,  $^{139}\text{Ce}$ ,  $^{209}\text{Hg}$ , ...  
 $\beta$ :  $^{14}\text{C}$ ,  $^{214}\text{Bi}$ ,  $\alpha$ :  $^{214}\text{Po}$



# Borexino – preliminary new results for $^7\text{Be}$

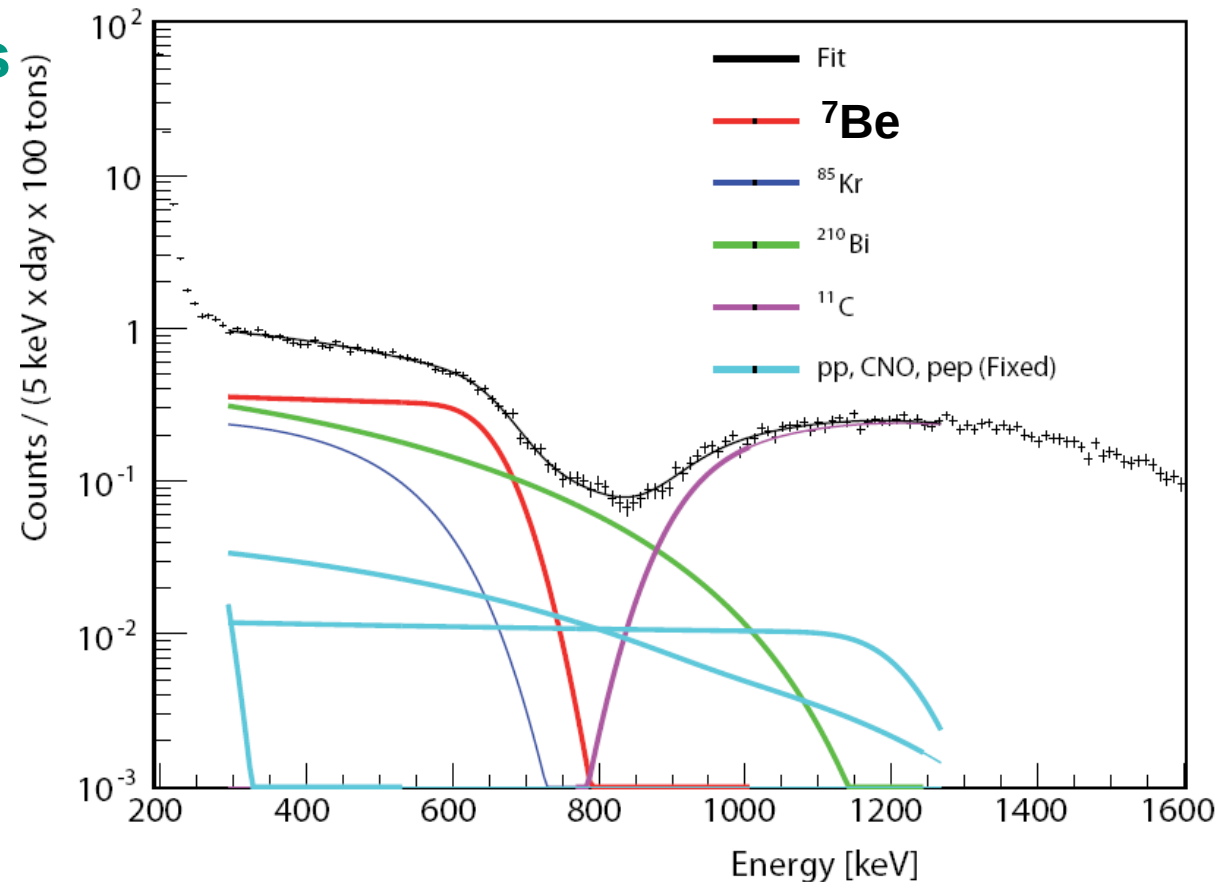
## ■ updated, improved $^7\text{Be}$ -analysis

~ 750 days of data  
statistical subtraction of  $^{210}\text{Po}$

## ■ systematics (after calibration)

- total **2.7%**
- energy scale 1.3%
- fiducial mass 1.3%
- fit method 1.0%
- fit assumption 1.7%

## ■ preliminary results



| Parameter                               | Borexino result                                                       | LMA, high Z                       | LMA, low Z                   |
|-----------------------------------------|-----------------------------------------------------------------------|-----------------------------------|------------------------------|
| $^7\text{Be}$ - $\nu$ rate (/day/100 t) | <b><math>46.0 \pm 1.5</math> (stat.) <math>\pm 1.3</math> (syst.)</b> | <b><math>48 \pm 4</math></b>      | <b><math>44 \pm 4</math></b> |
| $\nu_e$ -survival $P_{ee}$              | $0.51 \pm 0.05$                                                       | E. Meroni, $\nu$ -telescopes 2011 |                              |

- no discrimination (yet) between high Z / low Z solar metallicity possible

# Borexino – $^7\text{Be}$ day-night asymmetry

## ■ $^7\text{Be}$ -analysis to search for potential day - night asymmetry A

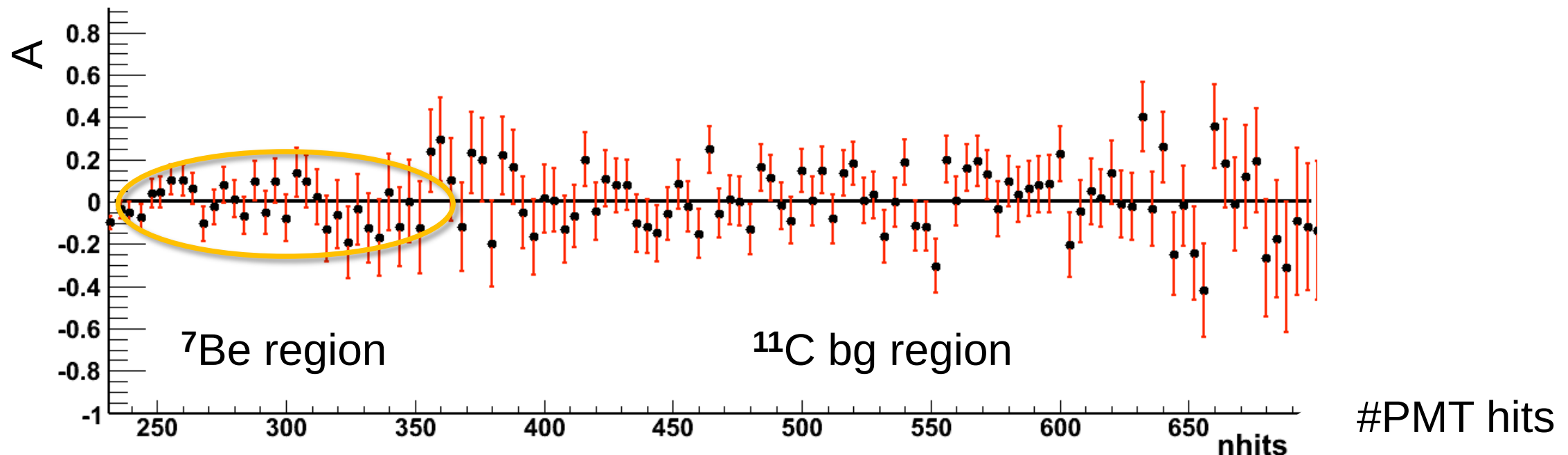
- LOW solution (excluded previously by reactor anti- $\nu$ 's 'only')
- **MaVaN** (Mass Varying Neutrino) models, predicting  $A = -0.23$

## ■ $^7\text{Be}$ -analysis: day 387.46 days – night 401.57 days

$$A = \frac{N - D}{\frac{1}{2} \cdot (N + D)} = 0.007 \pm 0.0073_{\text{stat}}$$

MaVaN models ruled out by  $3\sigma$

LMA confirmed by solar  $\nu$  data also



# Borexino – $^8\text{B}$ neutrinos with $E_{\text{thres}} = 3 \text{ MeV}$

## ■ $^8\text{B}$ -analysis ( $E > 3 \text{ MeV}$ ) to search for transition MSW $\Leftrightarrow$ vacuum osc.

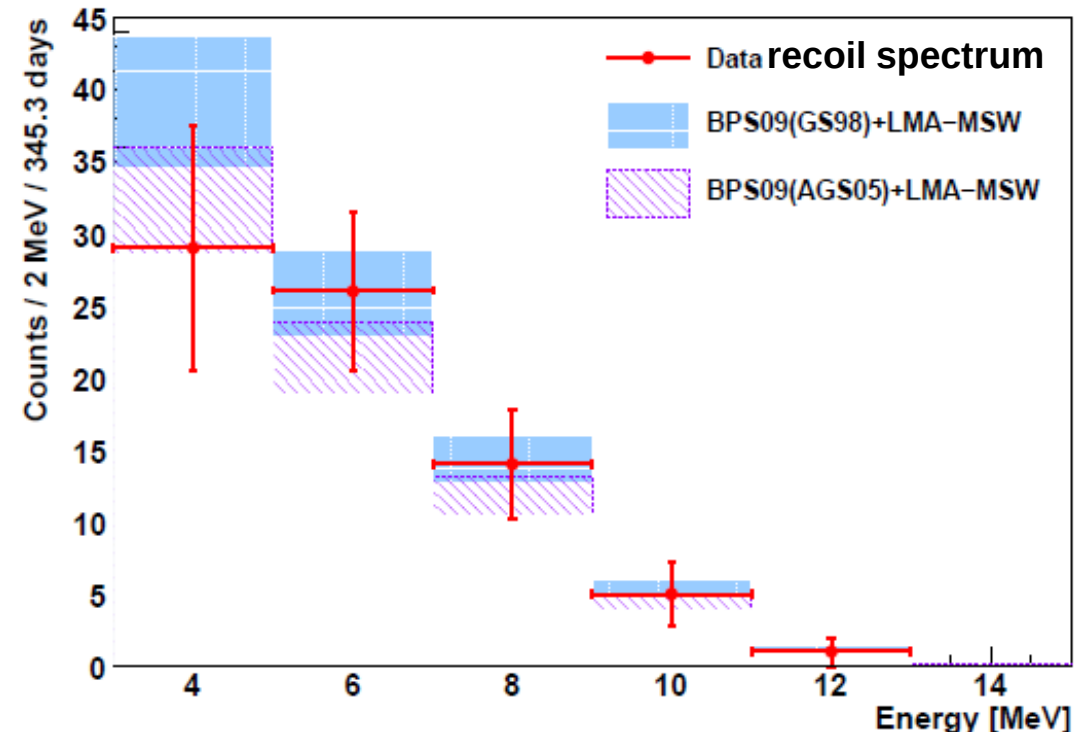
live time: 345.3 days, expected signal rate  $R \sim 0.25 \text{ cpd/ 100 t } (> 3 \text{ MeV})$

■ backgrounds: muons,  $^{232}\text{Th}$ , ...

■ final  $^8\text{B}$ -sample:  **$(75 \pm 13)$  events**  
in energy interval 3 – 16 MeV

■ results in agreement with

- LMA solution of MSW effect
- solar models



PRD 82 (2010) 033006

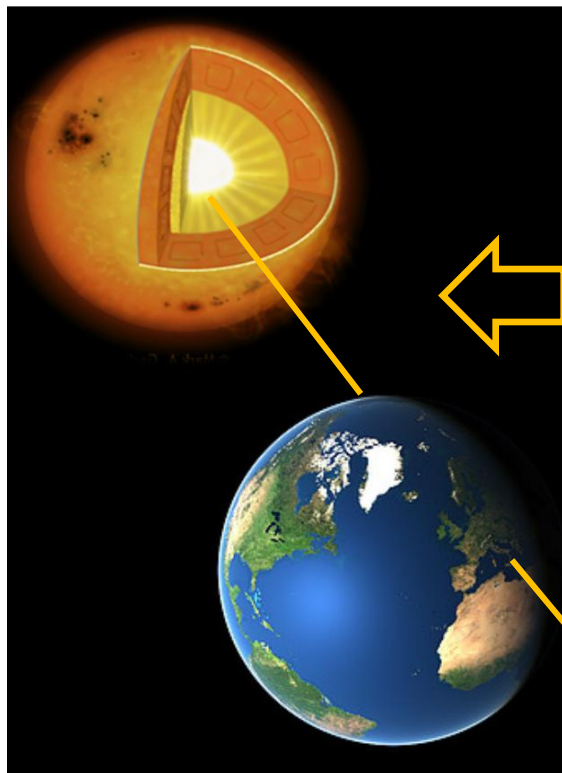
| parameter                                                                  | 3.0 – 16.3 MeV                                            | 5.0 – 16.3 MeV                                           |
|----------------------------------------------------------------------------|-----------------------------------------------------------|----------------------------------------------------------|
| $^8\text{B}$ - $\nu$ rate (per day per 100 t)                              | $0.22 \pm 0.04 \text{ (stat.)} \pm 0.01 \text{ (syst.)}$  | $0.13 \pm 0.02 \text{ (stat.)} \pm 0.01 \text{ (syst.)}$ |
| $^8\text{B}$ - $\nu$ flux ( $\times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$ ) | $2.4 \pm 0.4 \pm 0.1 \text{ [BP: } 5.94 \pm 0.6 \text{]}$ | $2.7 \pm 0.4 \pm 0.2$                                    |
| exp. signal / SSM + MSW eff.                                               | $0.88 \pm 0.19$                                           | $1.08 \pm 0.23$                                          |

# solar $\nu$ – transition from MSW to vacuum

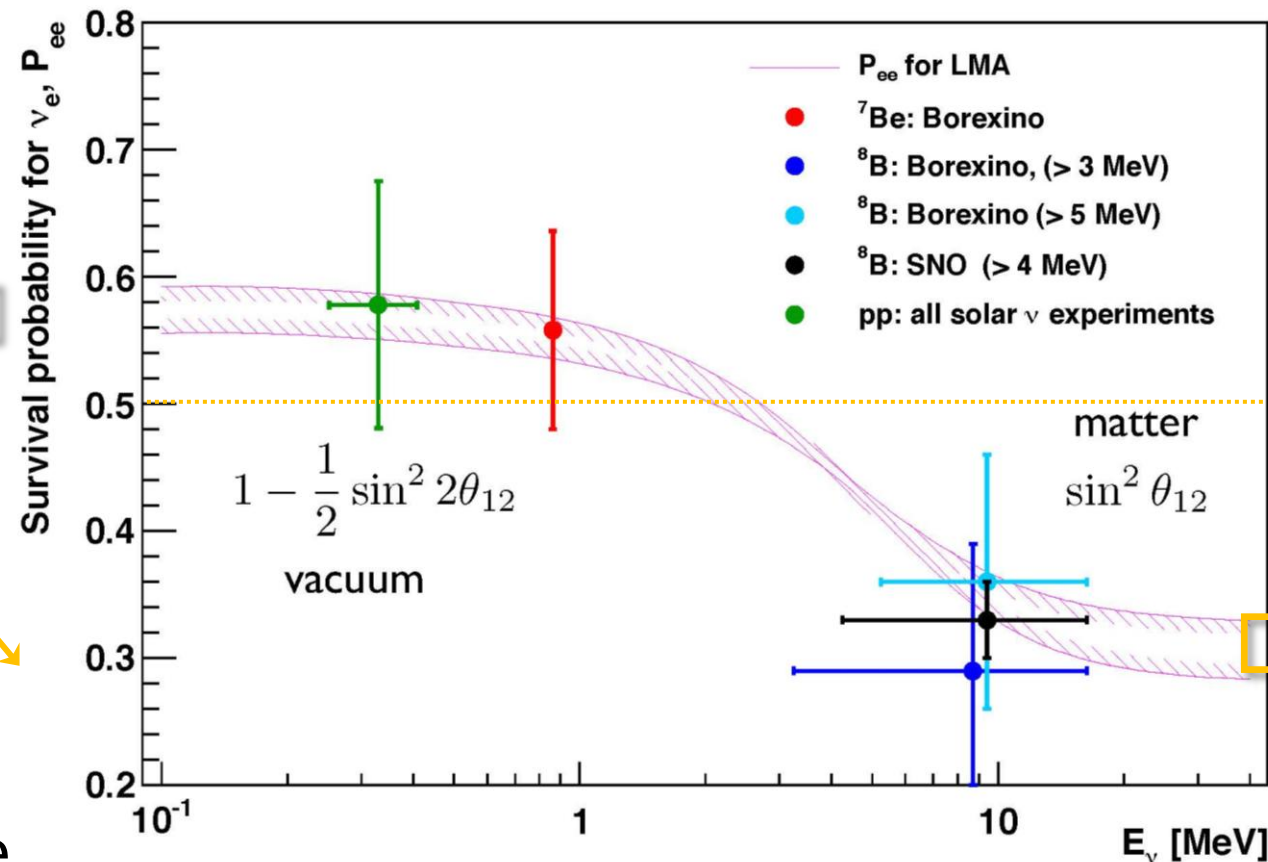
## ■ Borexino has provided important new information for ${}^7\text{Be}$ , ${}^8\text{B}$

- restricts possible sub-dominant contributions from:  
mass varying neutrinos, FCNC, low-mass sterile  $\nu$ 's

### vacuum oscillation

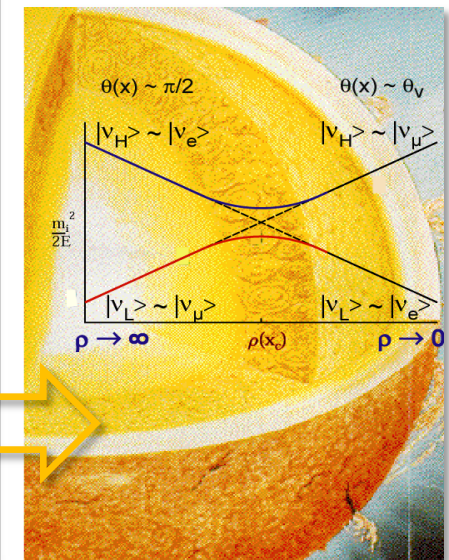


no MSW resonance



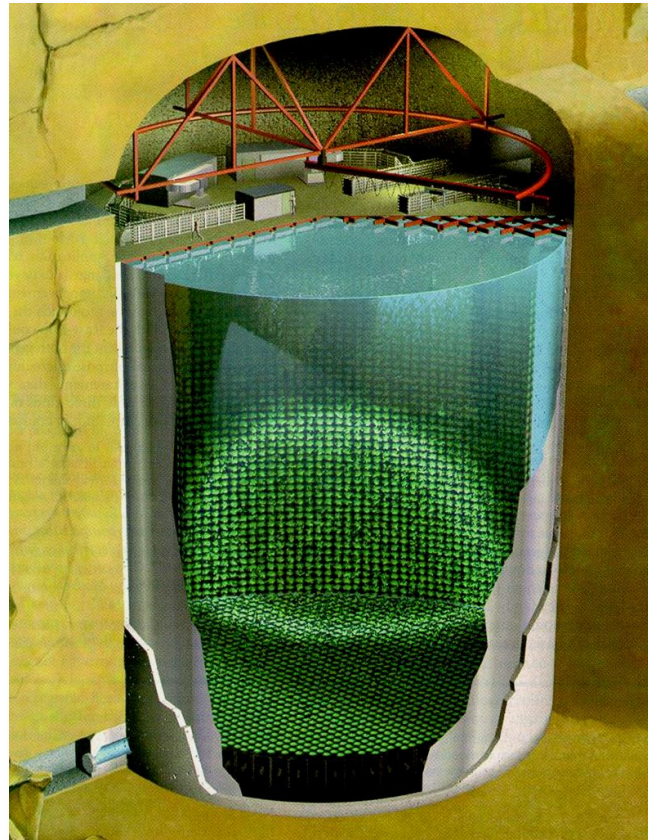
### MSW effect

**matter effects influence  $\nu$ -propagation**



$$V = \sqrt{2} G_F \cdot N_e$$

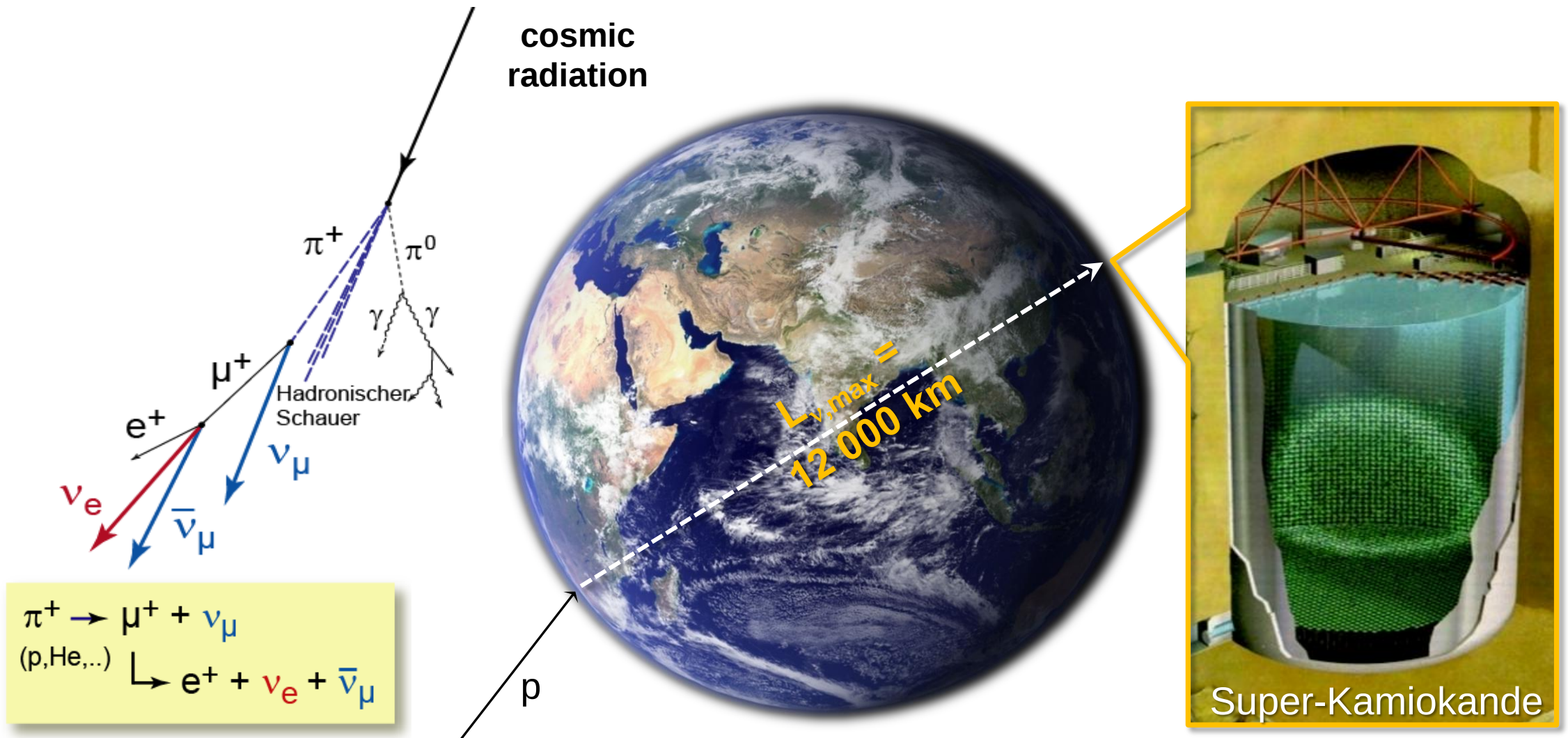
# atmospheric neutrinos: $\theta_{23}$ oscillations



# atmospheric neutrinos

- CR generate extensive air showers in upper atmosphere ( $h \sim 20$  km)

**energies:**  $\Phi_{\max}$  at  $E_\nu = 0.25$  GeV,  $\Phi_\nu \sim E^{-2.7}$  for higher energies  
**flux:**  $\Phi_\nu \sim 1 \text{ cm}^{-2} \text{ s}^{-1}$  at sea level

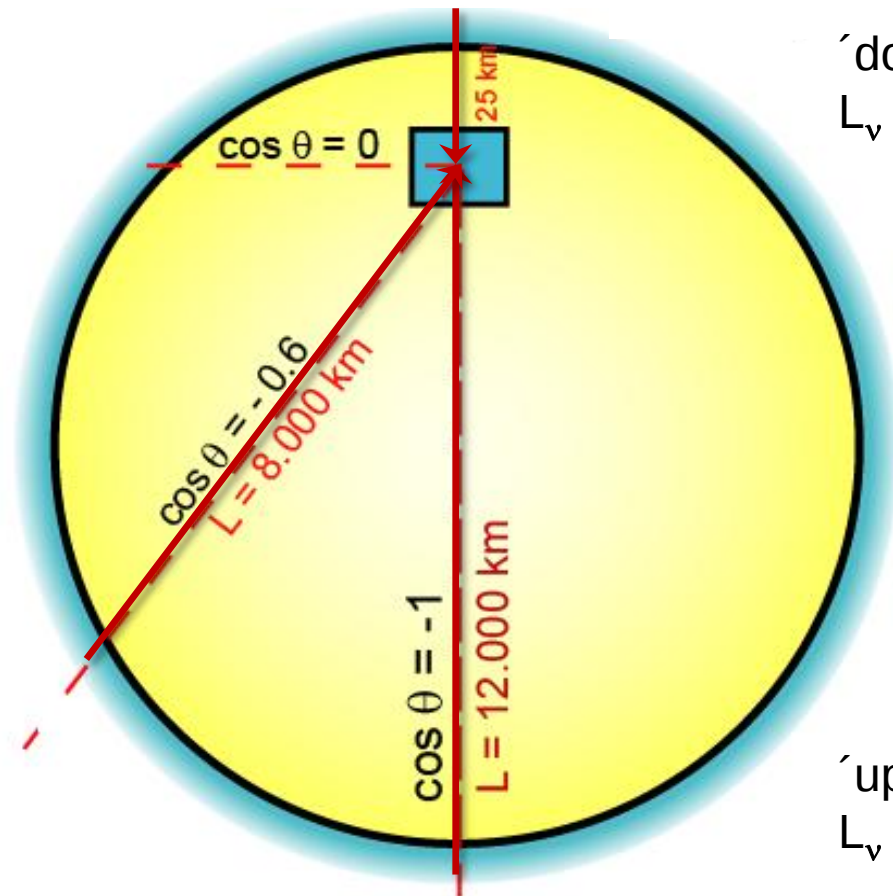


# atmospheric neutrinos – pathlength $L_\nu$

## ■ atmospheric neutrinos: an ideal source for $\nu$ -oscillations

- detector is fully enclosed in a  $4\pi$  geometry
- zenith angle  $\theta$  of neutrino defines  $\nu$ -pathlength  $L_\nu$  (20-12.000 km)

azimuth angle  $\theta$  & pathlength  $L_\nu$



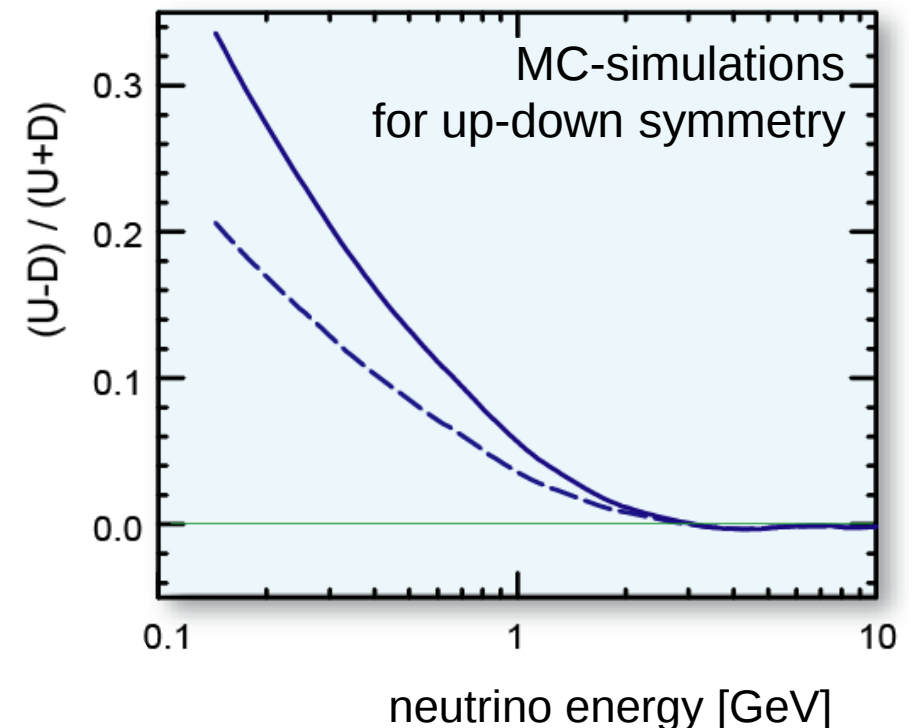
‘down-going’ (D)  
 $L_\nu = 20\text{-}25 \text{ km}$

$L_\nu \sim 20 - 12\,000 \text{ km}$

‘up-going’ (U)  
 $L_\nu = 12000 \text{ km}$

up/down symmetry

expectation:  $N(\text{up}) = N(\text{down})$

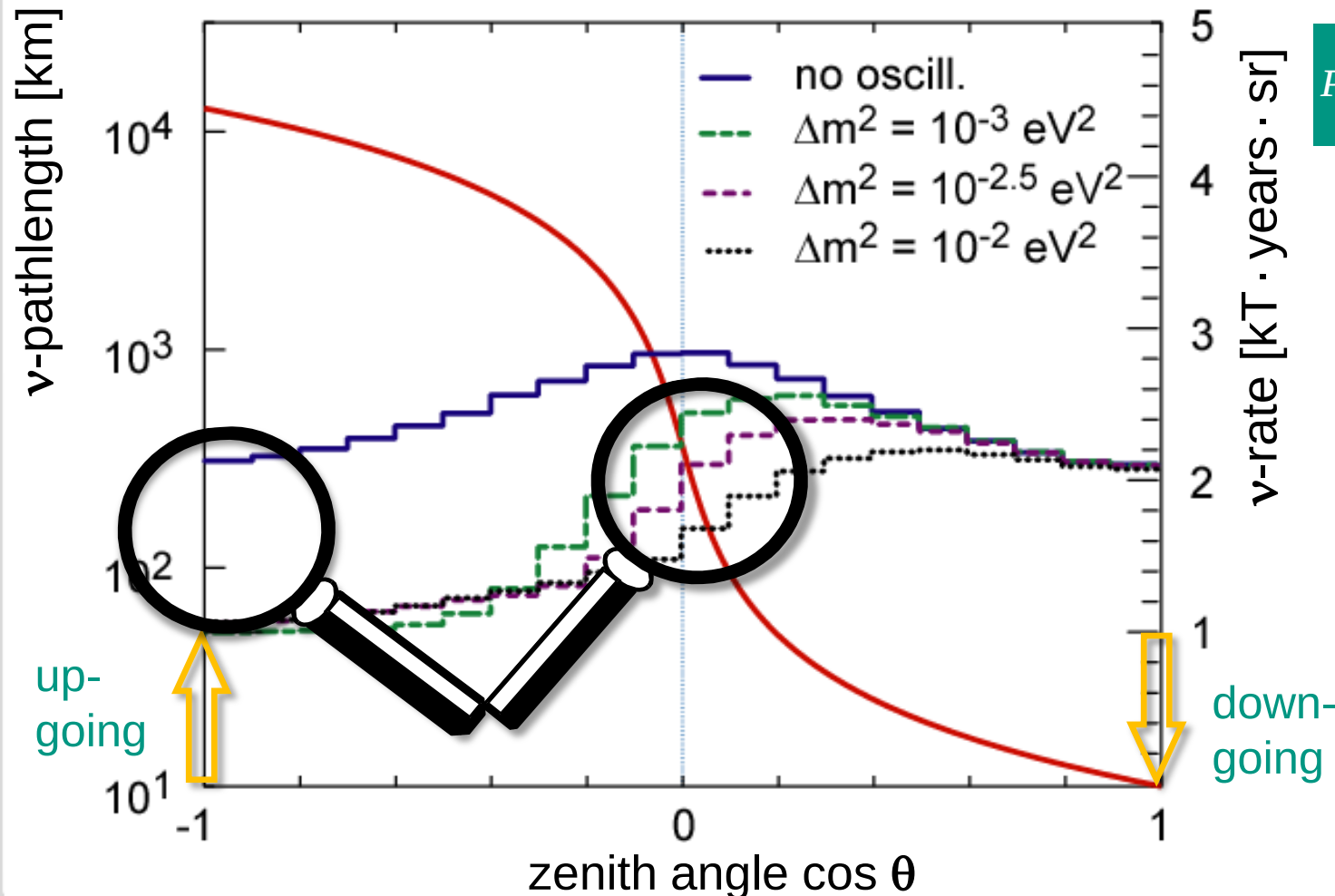


# atmospheric neutrinos – zenith angle $\theta$

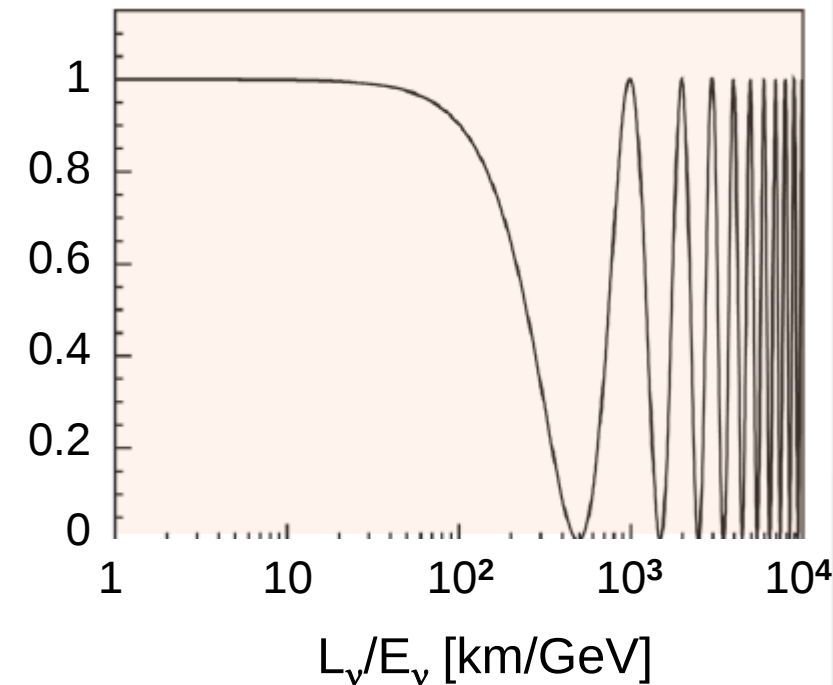
- high-energy multi-GeV  $\nu_{\text{atm}}$ : **close correlation of the angle  $\nu$ -lepton**

zenith angles around  $\cos \theta = 0$  (horizontal  $\nu_\mu$ )  $\Rightarrow$  define scale  $\Delta m^2$

zenith angles around  $\cos \theta = -1$  (up-going  $\nu_\mu$ )  $\Rightarrow$  define scale  $\sin^2 2\theta$

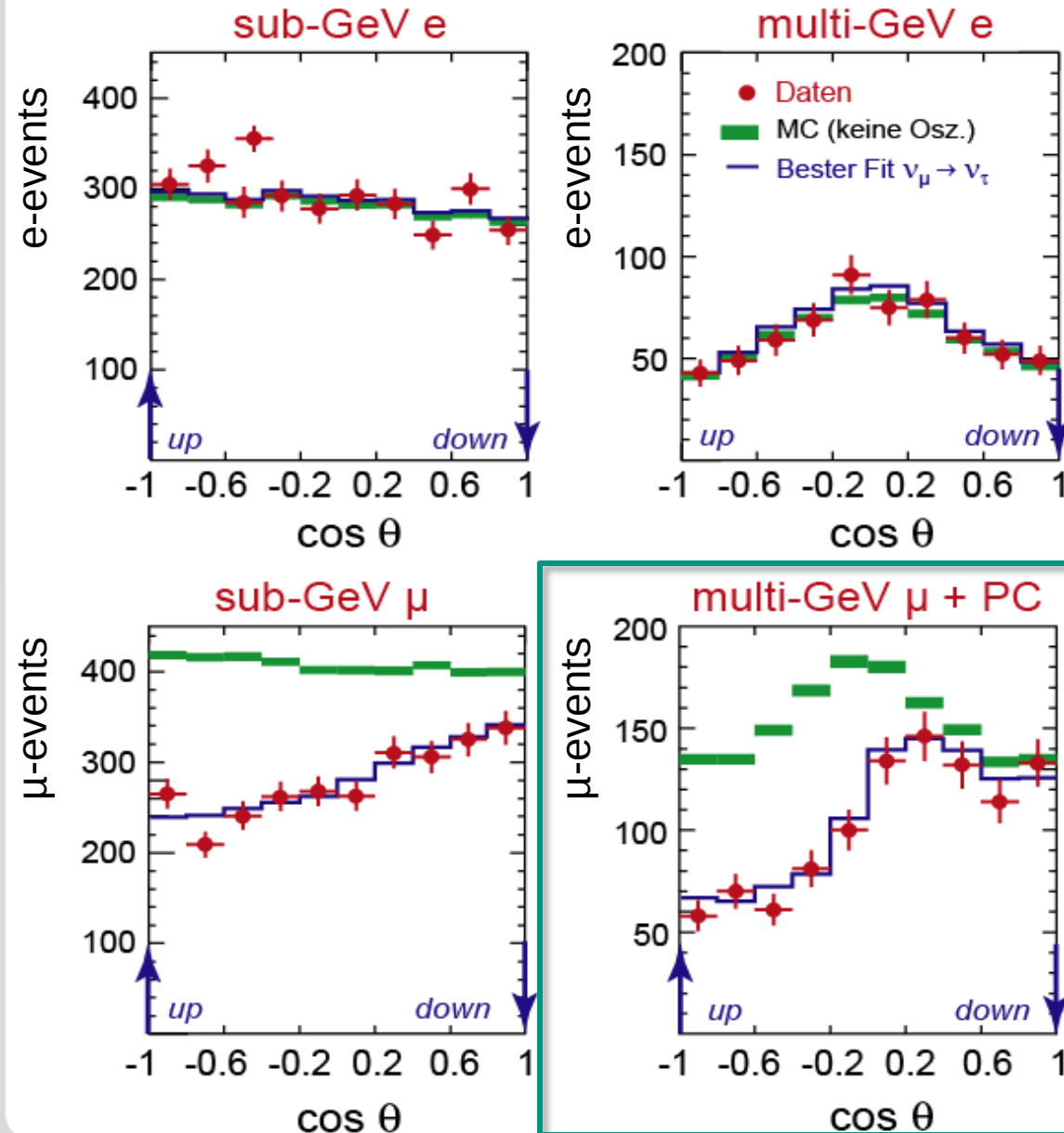


$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \cdot \sin^2 \left( 1.27 \cdot \Delta m^2 \cdot \frac{L_\nu}{E_\nu} \right)$$

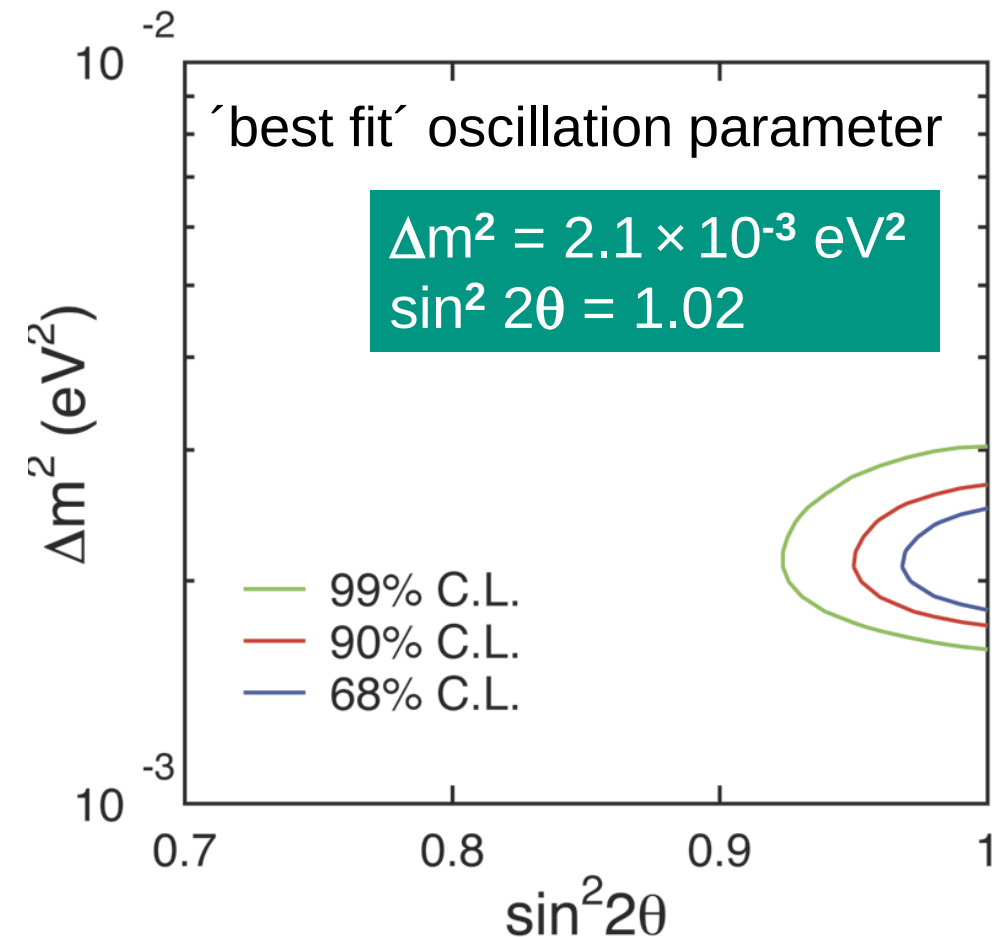


# Super-Kamiokande: $\cos\theta$ distributions

## ■ analysis of Super-Kamiokande-I azimuth angle distributions

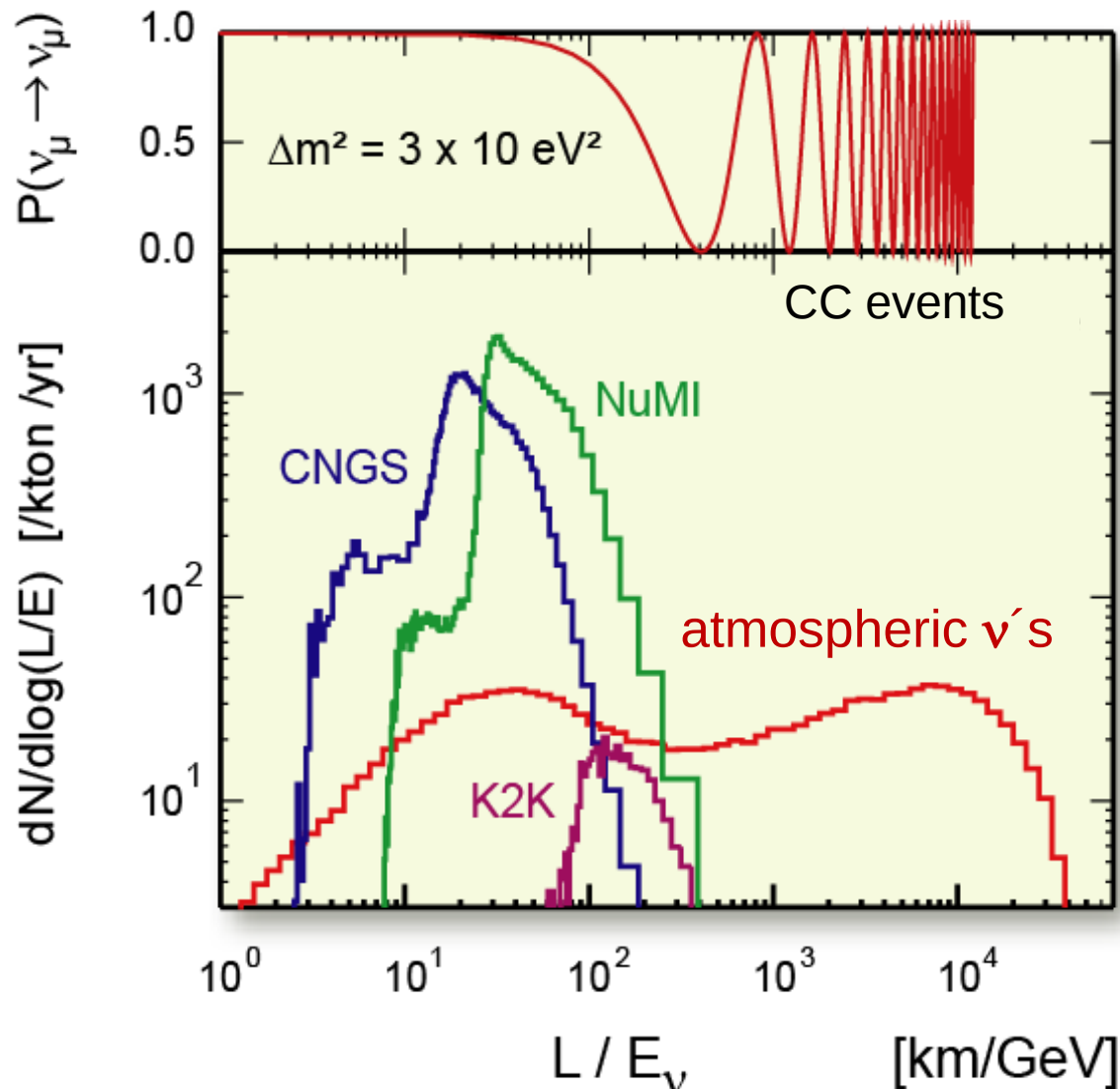


6.6.1998: evidence for oscillations of atmospheric neutrinos!



# atmospheric $\nu$ 's & accelerators

- cross-checking the results of atmospheric  $\nu$ 's with longbaseline accelerator- $\nu$ -experiments



atmospheric  $\nu$ 's:

large  $\Delta m^2$  ( $L_\nu/E_\nu$ ) space

CNGS :

CERN SPS  $\rightarrow$  Gran Sasso

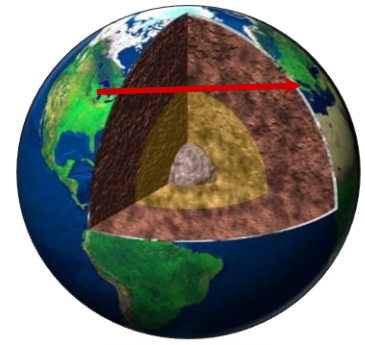
NuMI :

FermiLab  $\rightarrow$  Minos

K2K :



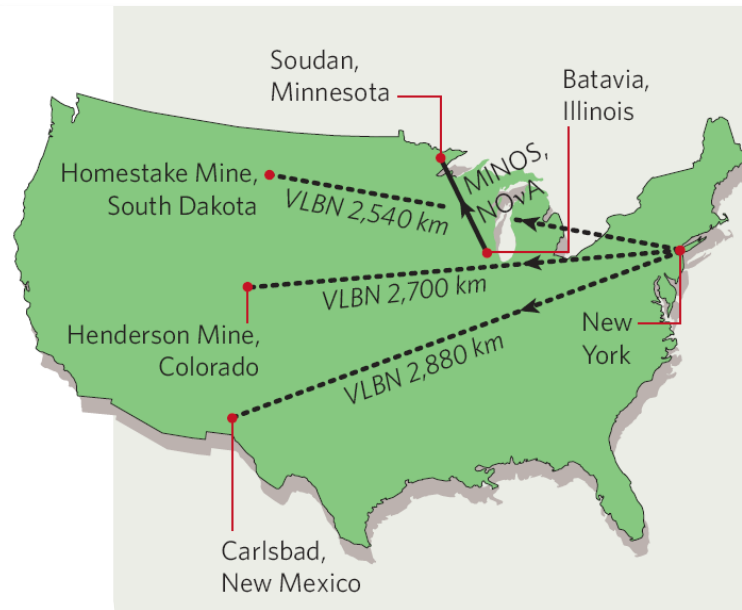
# long baseline oscillation experiments



- operating & planned (very) long-baseline accelerator-based  $\nu$ -oscillation experiments in Japan, US & Europe

## long baseline accelerator experiments: overview

| LB- $\nu$ -beam | place     | distance      | energy        | L/E           | start       | detector         | channel              |
|-----------------|-----------|---------------|---------------|---------------|-------------|------------------|----------------------|
| K2K             | J         | 235 km        | 1.4 GeV       | $\sim 150$    | 1999        | Super-Kamiokande | $\nu_\mu - \chi$     |
| NuMI            | US        | 735 km        | 1-30 GeV      | 50-350        | 2005        | MINOS            | $\nu_\mu - \chi$     |
| <b>CNGS</b>     | <b>EU</b> | <b>732 km</b> | <b>30 GeV</b> | <b>50-350</b> | <b>2007</b> | <b>OPERA</b>     | $\nu_\mu - \nu_\tau$ |
| T2K             | J         | 295 km        | $\sim 2$ GeV  | $\sim 150$    | 2010        | Super-Kamiokande | $\nu_\mu - \nu_e$    |



# OPERA & CNGS: $\nu_\mu \rightarrow \nu_\tau$ appearance

Oscillation Project with Emulsion TRacking Apparatus

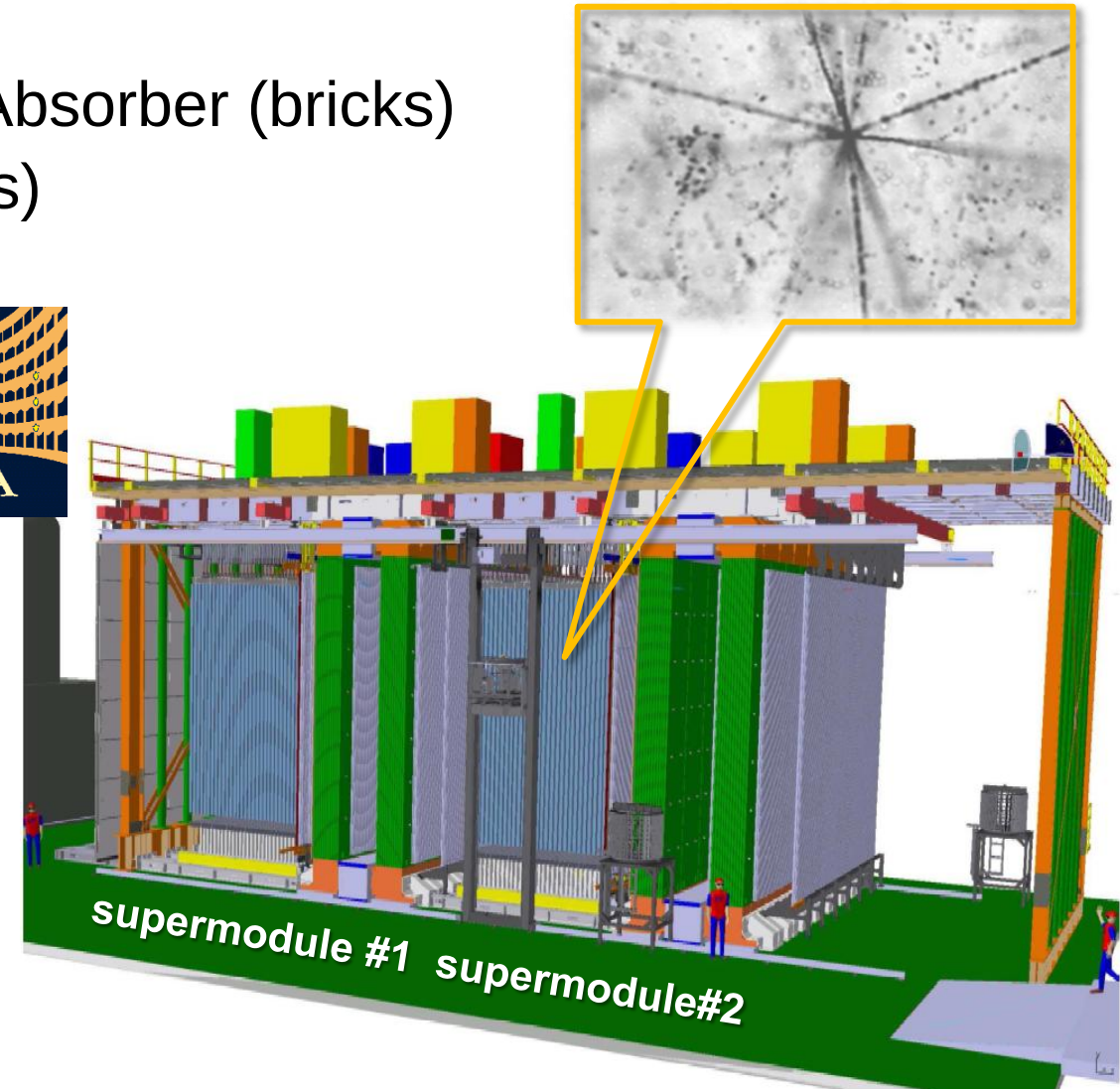
**beam:** -  $\nu_\mu$  beam CERN – LNGS ( $L_\nu/E_\nu \sim \Delta m^2_{23}$  scale)

- end of 2010:  $\sim 10^{20}$  p.o.t.

**detector:** - foto emulsions with Pb-Absorber (bricks)

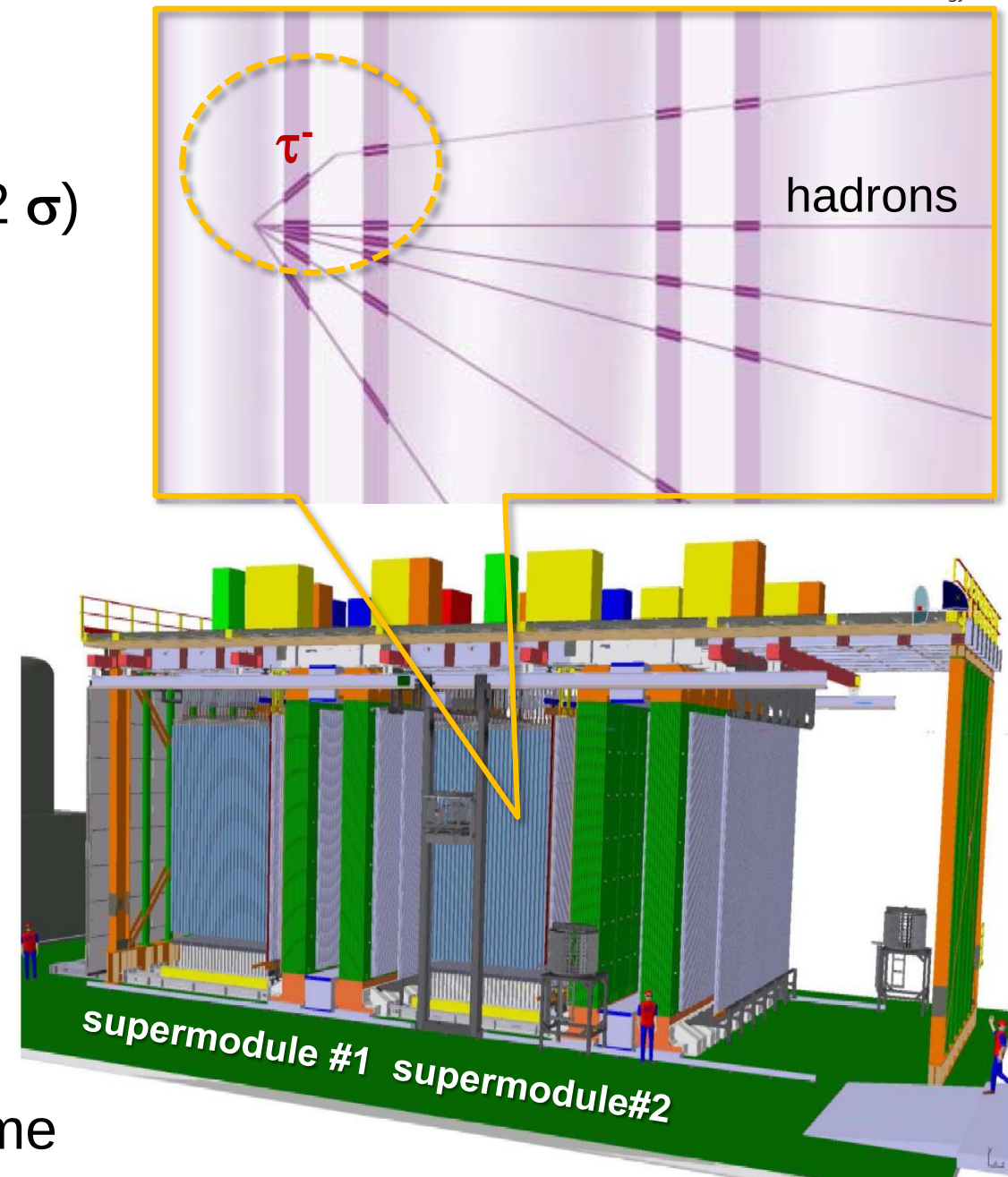
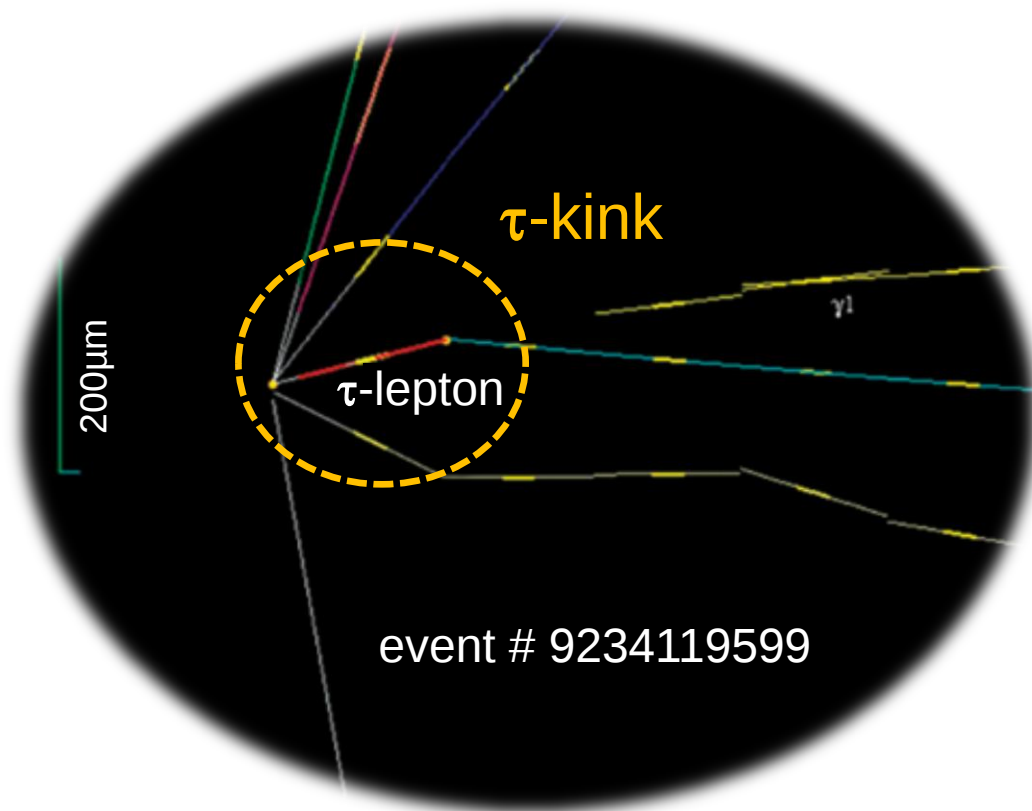
- tracking detectors (RPCs)

- target mass 1.3 kt



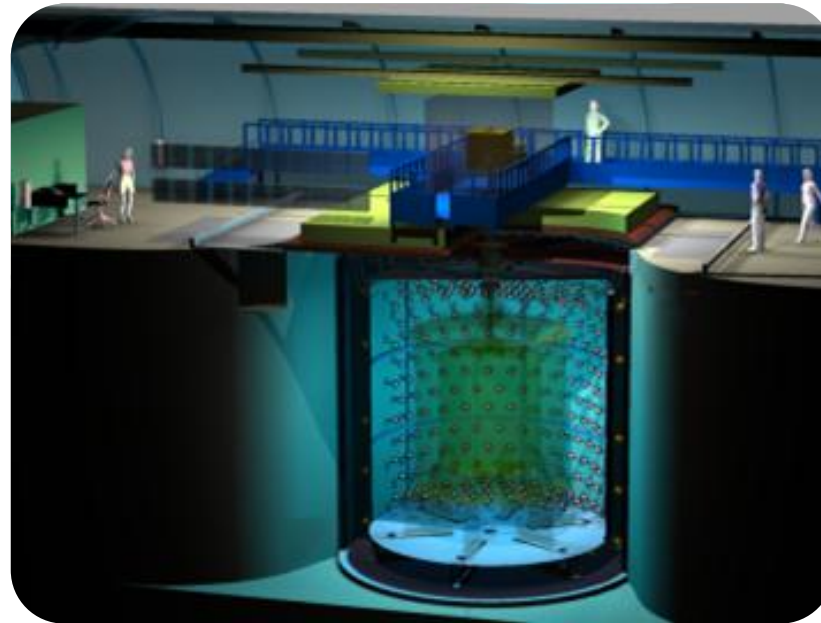
# OPERA – first $\nu_\tau$ candidate

- 2010: detection of **first  $\nu_\tau$  candidate**  
in an OPERA lead brick  
4.5% probability of a bg-fluctuation ( $2\sigma$ )



- $\sim 2$  events/y expected, 5 y running time

# 3-flavour oscillations – mixing angle $\theta_{13}$



# 3-flavour neutrino oscillations: $\theta_{13}$

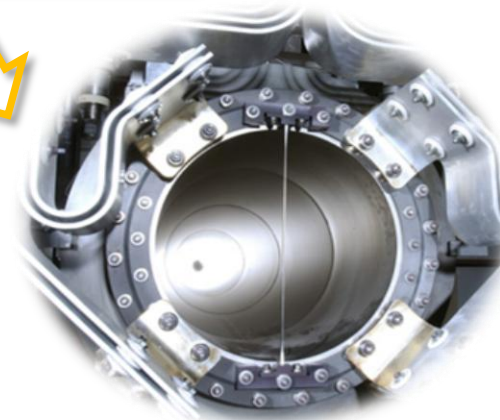
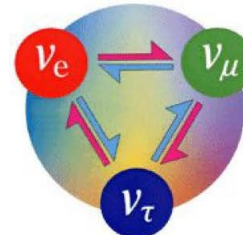
- genuine 3 flavour oscillations  $\Leftrightarrow$  mixing angle  $\theta_{13}$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

reactor  
experiments



complementary



accelerator  
experiments

**disappearance channel:  $P(\bar{\nu}_e \Rightarrow \bar{\nu}_e)$**

- only  $\theta_{13}$ , no other parameters
- no flavour-‘contamination’
- absolute  $\nu$ -flux (2-/multi- detectors)

**appearance Kanal:  $P(\nu_\mu \Rightarrow \nu_e)$**

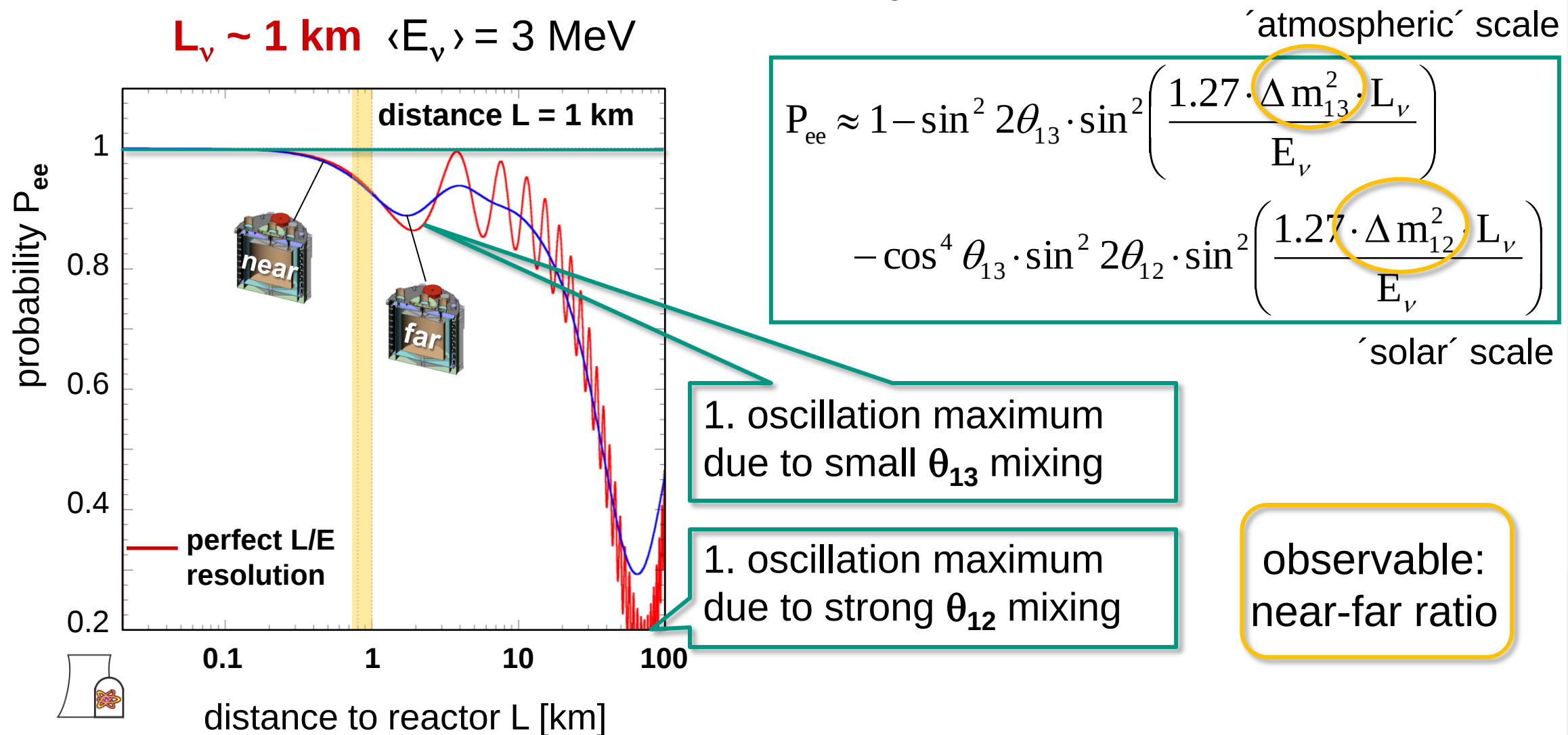
- $\sin^2 2\theta_{13}$ ,  $\sin^2 \theta_{23}$ ,  $\pm \Delta m_{31}^2$ ,  $\delta_{CP}$  (phase)
- parameters are correlated etc.
- sensitive to matter effects

# reactor $\nu$ -experiments for $\theta_{13}$

■ **aim:** measurement of mixing angle  $\theta_{13}$  via  $\bar{\nu}_e$ -disappearance

$\Delta m^2$  scale of atmospheric  $\nu$ 's at  $\Delta m^2_{13} \sim 2.4 \times 10^{-3} \text{ eV}^2$

$L_\nu \sim 1 \text{ km}$   $\langle E_\nu \rangle = 3 \text{ MeV}$



# reactor $\nu$ -experiments for $\theta_{13}$

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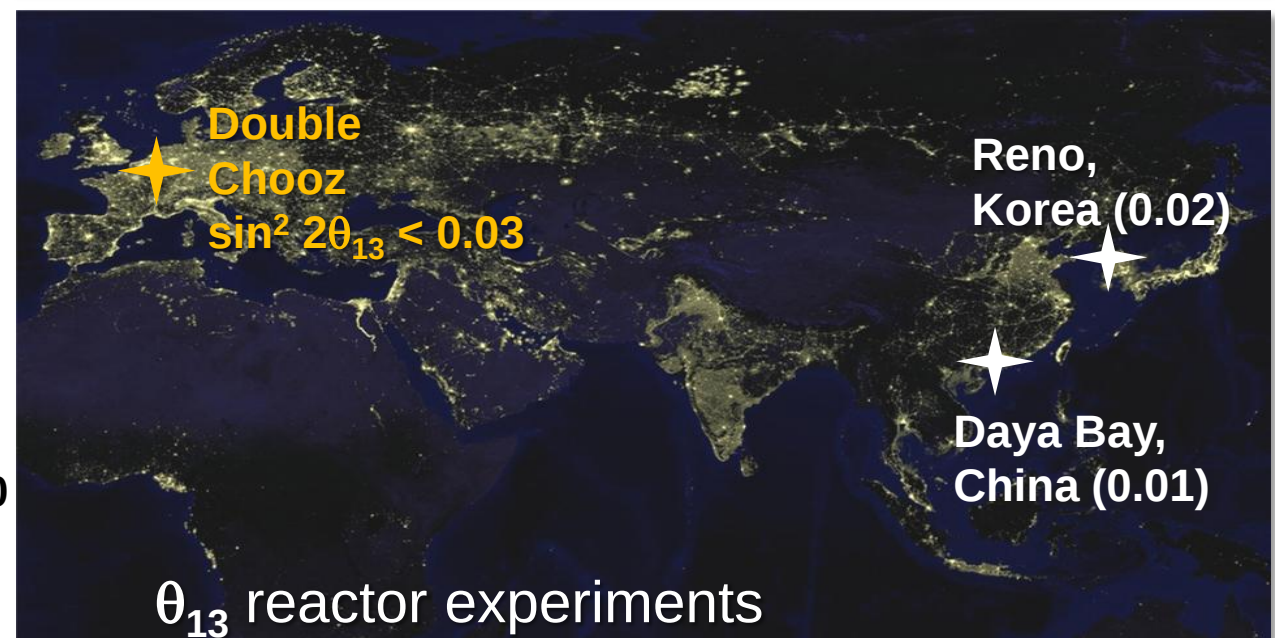
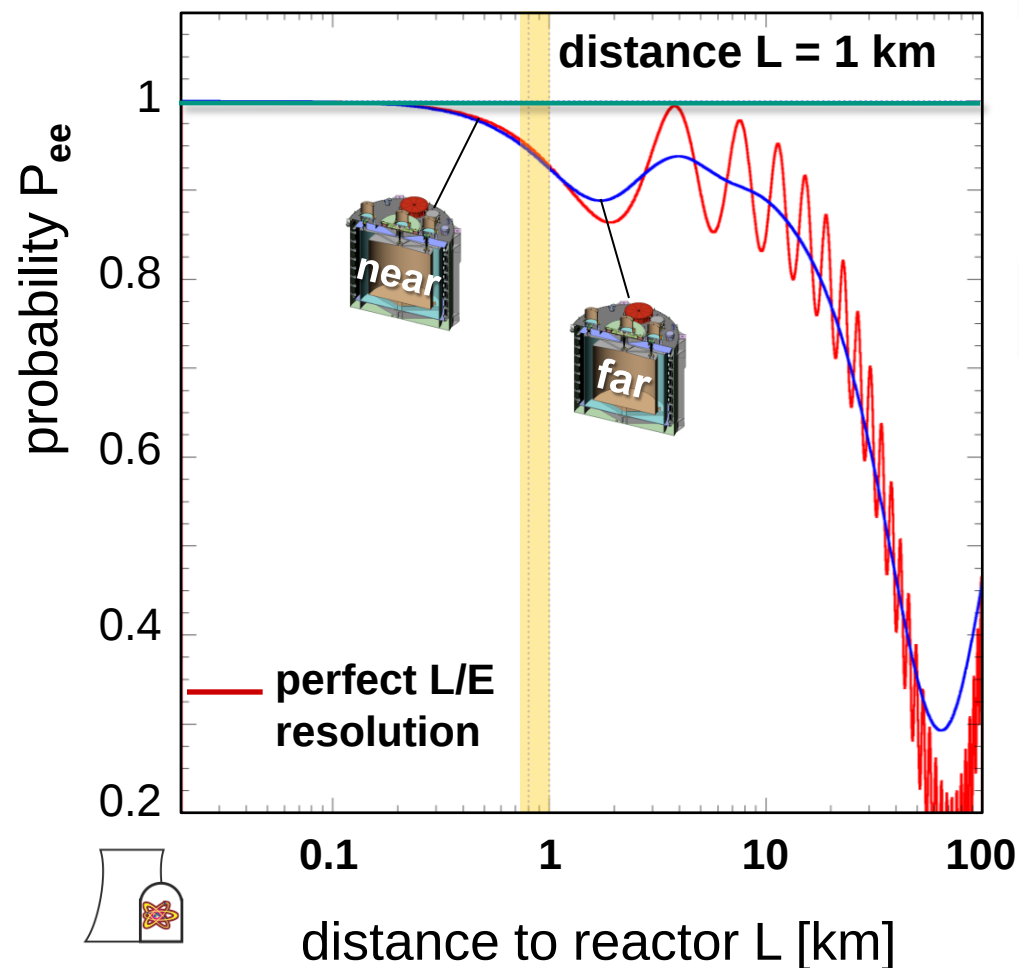
CHOOZ

$$\theta_{13} < 12^\circ \quad \sin^2 2\theta_{13} < 0.17 \text{ (90\% CL)}$$

global analysis:  $\sin^2 \theta_{13} \sim 0.02$  ( $\theta_{13} \sim 7^\circ$ )  $\rightarrow 2\sigma$

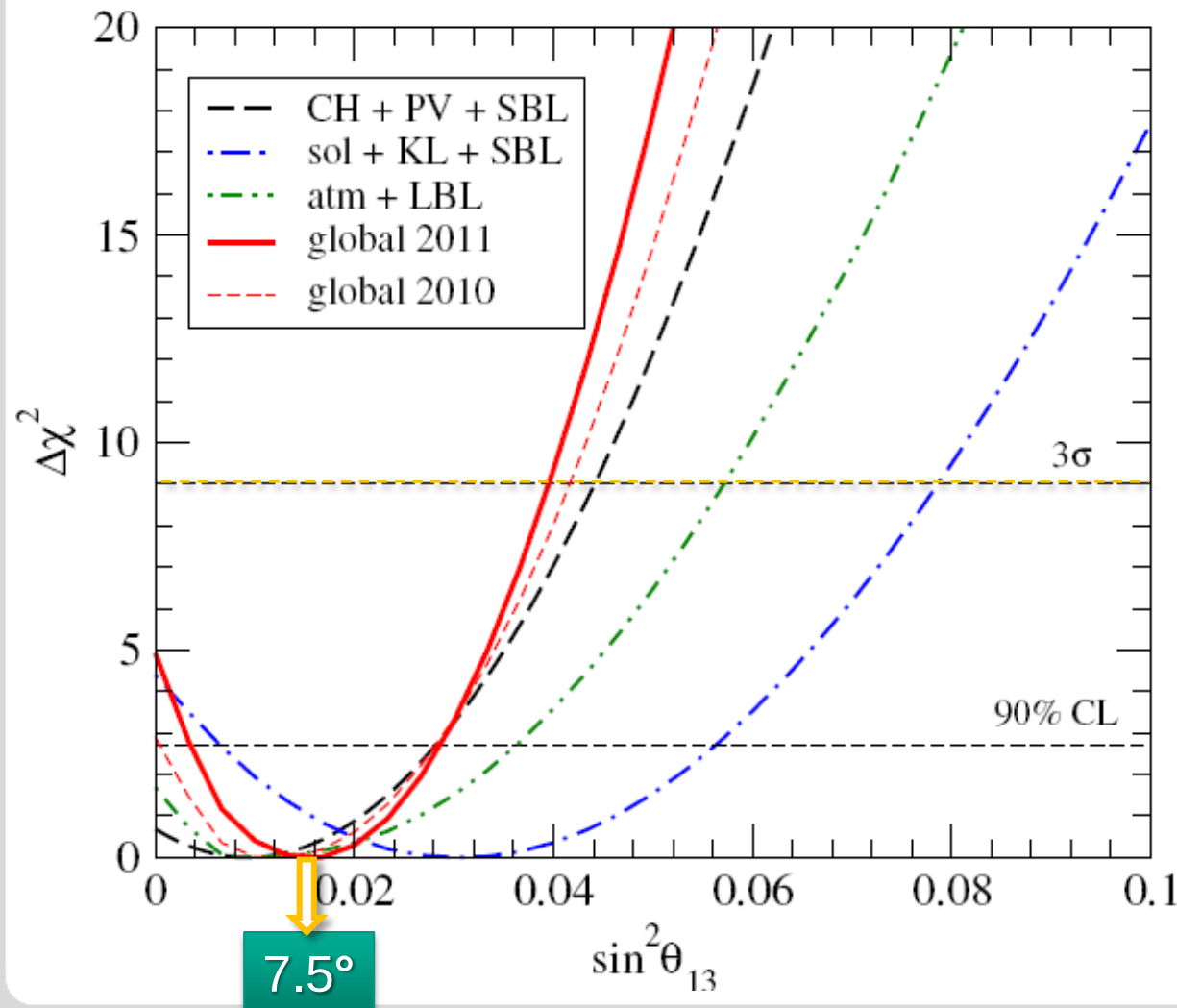
two-/multi-detectors  red. systematics

$$\theta_{13} < 3\text{-}5^\circ \quad \sin^2 2\theta_{13} < 0.01\text{-}0.03 \text{ (90\% CL)}$$



# global analysis: first hints for $\theta_{13} \neq 0$ ?

- **global analysis** of solar  $\nu$ -data, KamLAND results, MINOS  $\nu_\mu$ - $\nu_e$  data, CHOOZ/Palo Verde & other short-baseline reactor data, SK data, including updated 2011 reactor fluxes



## ■ most recent results:

- combination of different data sets:  
 $\sin^2 \theta_{13} = 0.01 - 0.03$   
with hints for  $\theta_{13} > 0$  (1.8 - 3.2  $\sigma$ )

- *normal hierarchy*:

$$\sin^2 \theta_{13} = 0.017 \pm \begin{matrix} 0.007 \\ 0.009 \end{matrix} \quad (2.2 \sigma)$$

$$\sin^2 \theta_{13} < 0.040 \quad (3 \sigma \text{ bound})$$

- *inverted hierarchy*:

$$\sin^2 \theta_{13} = 0.020 \pm \begin{matrix} 0.008 \\ 0.009 \end{matrix} \quad (2.3 \sigma)$$

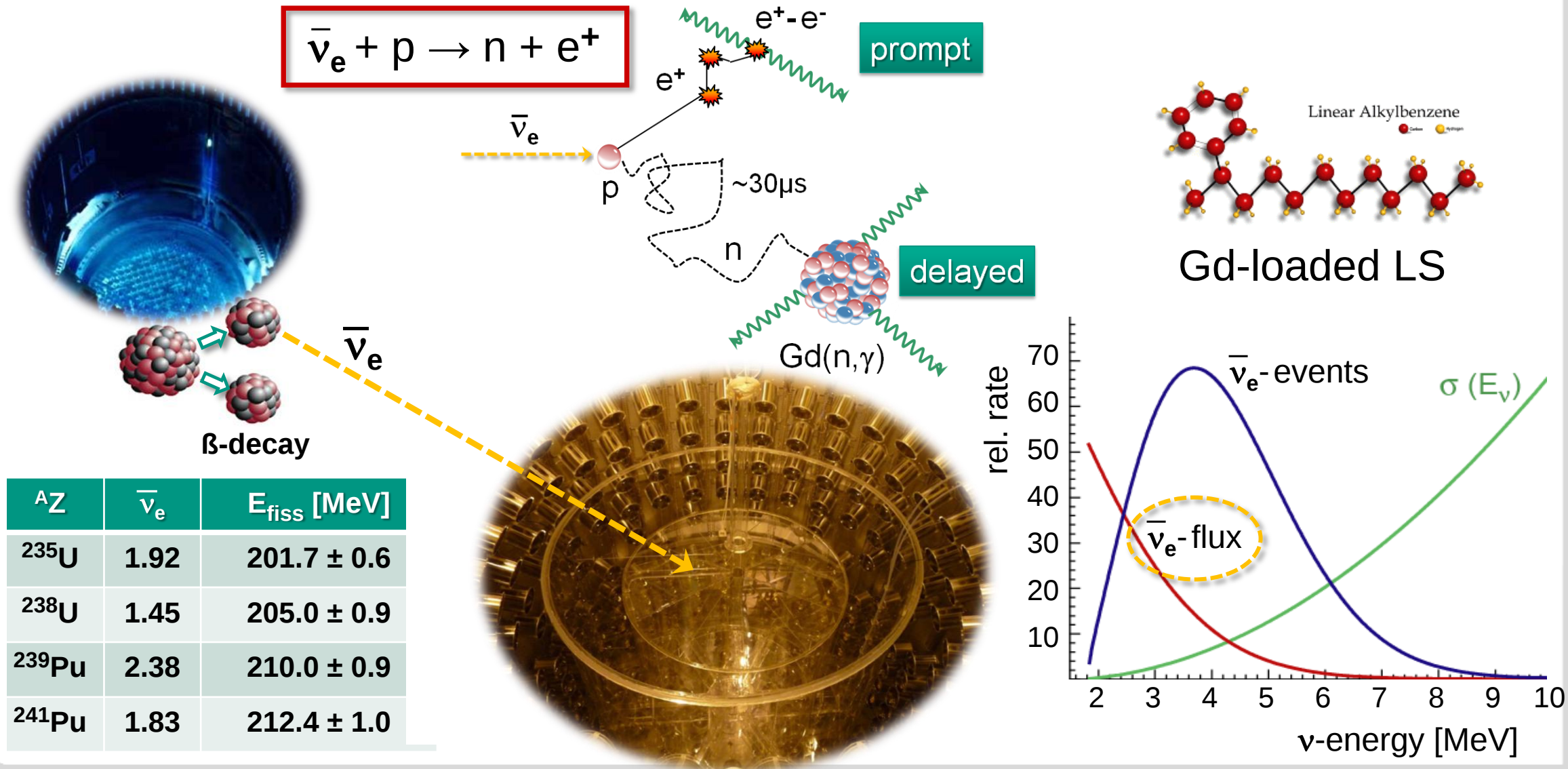
$$\sin^2 \theta_{13} < 0.044 \quad (3 \sigma \text{ bound})$$

Schwetz, Tortola, Valle, 1103.0734

Th. Schwetz, Neutrino Telescopes 2011

# reactor $\nu$ -experiments: principle

- detailed calculations of  $\nu$ -flux &  $\nu$ -energies from  $\beta$ -decay of fission products of elements  $^{235,238}\text{U}$ ,  $^{239,241}\text{Pu}$  in fuel rods (burn-up!)



# reactor oscillation anomaly

- recent update of reactor  $\nu$ -fluxes (major changes still possible in 2011!!)

- **reactor neutrino normalisation is increased by +3.5%**  
new calculations of weak magnetism, Coulomb corrections  
for fission product  $\beta$ -decays

**2% flux uncertainty from ILL electron energy spectra**

- update of cross section for  $\bar{\nu}_e + p \rightarrow e^+ + n$   
new PDG value for  $\tau_n$  (decrease by few %), ...
- ↪ update of predicted cross section per fission  $\sigma_f$  [ $10^{-43}$  cm<sup>2</sup>/fission],  
i.e. the **reference value for single detector experiments**

| <sup>A</sup> Z    | $\Delta\Phi(\nu)$ |
|-------------------|-------------------|
| <sup>235</sup> U  | + 2.5 %           |
| <sup>238</sup> U  | + 9.8 %           |
| <sup>239</sup> Pu | + 3.1 %           |
| <sup>241</sup> Pu | +3.7 %            |

| <sup>A</sup> Z    | $\sigma_f$ (old) | $\sigma_f$ (new) |
|-------------------|------------------|------------------|
| <sup>235</sup> U  | 6.39             | 6.61             |
| <sup>238</sup> U  | 9.21             | 10.1             |
| <sup>239</sup> Pu | 4.19             | 4.34             |
| <sup>241</sup> Pu | 5.73             | 5.97             |

if 19 experimental results compared to  
new higher theoretical prediction for  $\sigma_f$ :

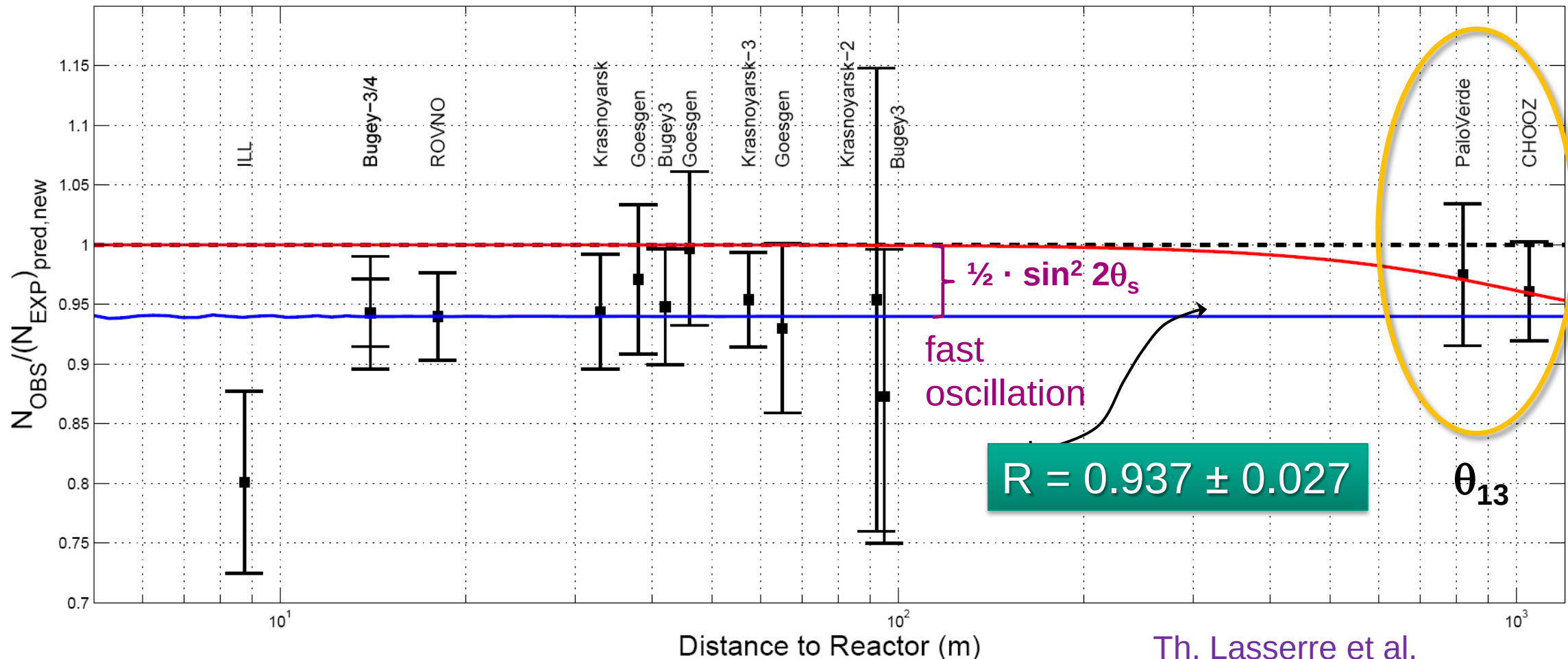
$$R = 0.937 \pm 0.027$$

~2  $\sigma$  deficit of observed  $\bar{\nu}_e$  !

↪ **reactor neutrino anomaly (2011)**

# reactor oscillation anomaly

- 2011: **reactor neutrino normalisation is increased by +3.5%**
- Do reactor  $\bar{\nu}_e$  data & new prediction point to new physics (sterile  $\nu$ 's) ?
  - 3 active  $\nu$ 's + 0 sterile  $\nu$  ( $\sin^2 2\theta_{13} = 0.06$ )
  - 3 active  $\nu$ 's + 1 sterile  $\nu$   $|\Delta m^2_s| > 1.5 \text{ eV}^2$ ,  $\sin^2 2\theta_s = 0.16$  ( $\sin^2 2\theta_{13} = 0$ )



# reactor $\nu$ -experiments for $\theta_{13}$

- 3 experiments with two / multi detector set-ups to reduce systematics
  - near detector** (290-410 m): high statistics (400-1600  $\nu$ 's/d), 'no' oscillation
  - far detector** (1050-1985 m): smaller statistics (50-110  $\nu$ 's/d),  $\theta_{13}$  oscillation
  - near – far ratio**: understand inter-detector systematics to  $< 1\%$ !

| set-up       | $P_{th}$ [GW] | near/far [m]            | depth [mwe] | mass [t] | start   | $\sin^2(2\theta_{13})$ |
|--------------|---------------|-------------------------|-------------|----------|---------|------------------------|
| Double Chooz | 8.7           | 410 / 1050              | 115 / 300   | 8.3/8.3  | 2011/12 | 0.03                   |
| Daya Bay     | 17.4          | 360 (500) / 1985 (1613) | 260 / 910   | 80       | 2011/12 | 0.01                   |
| RENO         | 17.3          | 290 / 1380              | 120 / 450   | 15.4     | 5/2011  | 0.02                   |



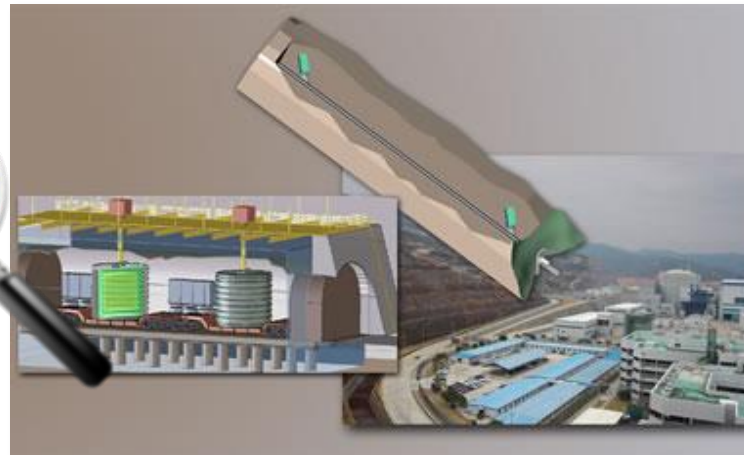
near: 400  $\nu$ 's / d  
far: 50  $\nu$ 's / d



near: 1580  $\nu$ 's / d  
far: 90  $\nu$ 's / d

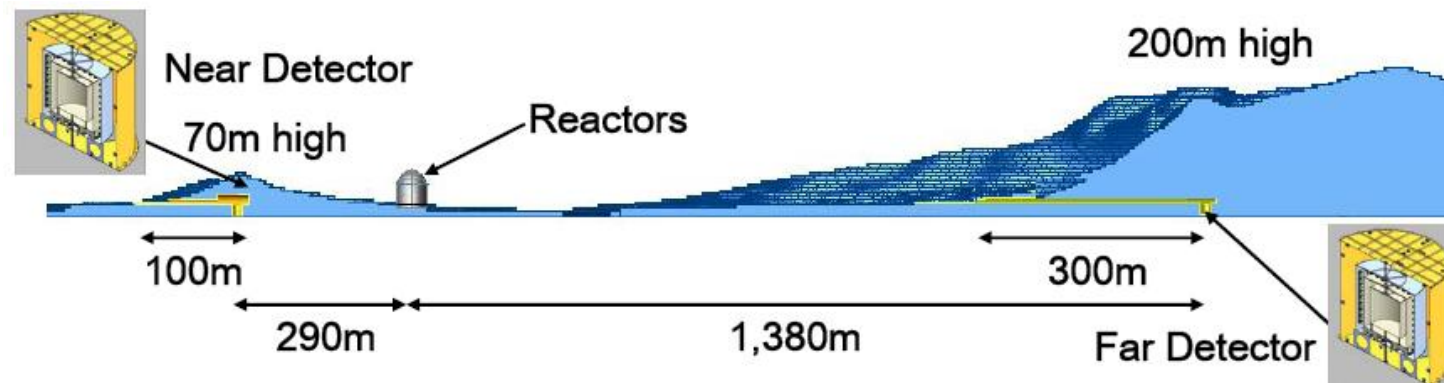


near: 1280  $\nu$ 's / d  
far: 114  $\nu$ 's / d



# RENO experiment

- **status:** construction of near and far detector is basically completed  
ready to fill detector with scintillator (Gd-loaded LAB scintillator)  
short-term scintillator stability o.k.



- **sensitivity:**

$$\sin^2 2\theta_{13} = 0.02 \quad (90\% \text{ CL})$$
$$= 0.035 \quad (3 \sigma)$$

- **expected uncertainties:**

- stat. error: 0.3%
- syst. error: < 0.5%

YongGwang : 靈光 (glorious light)



6 reactor cores 16.4 GW<sub>therm</sub>



near detector

1280  $\nu$ 's / day  
 $\sim 10^6 \nu$ 's / 3 a

far detector

114  $\nu$ 's / day  
 $\sim 10^5 \nu$ 's / 3 a

# Daya Bay experiment

- **overview:** aim for 'ultimate' configuration with emphasis on systematics
- 6 reactor cores at 3 sites (three 'doublets' of cores)
  - 1 far site with 4 detectors, 2 near sites, each with 2 detectors
  - 8 identical detectors for cross check (2 already completed)



■ **status:** near site data taking starting summer 2011

**full data taking (near/far) in 2012**

near detector

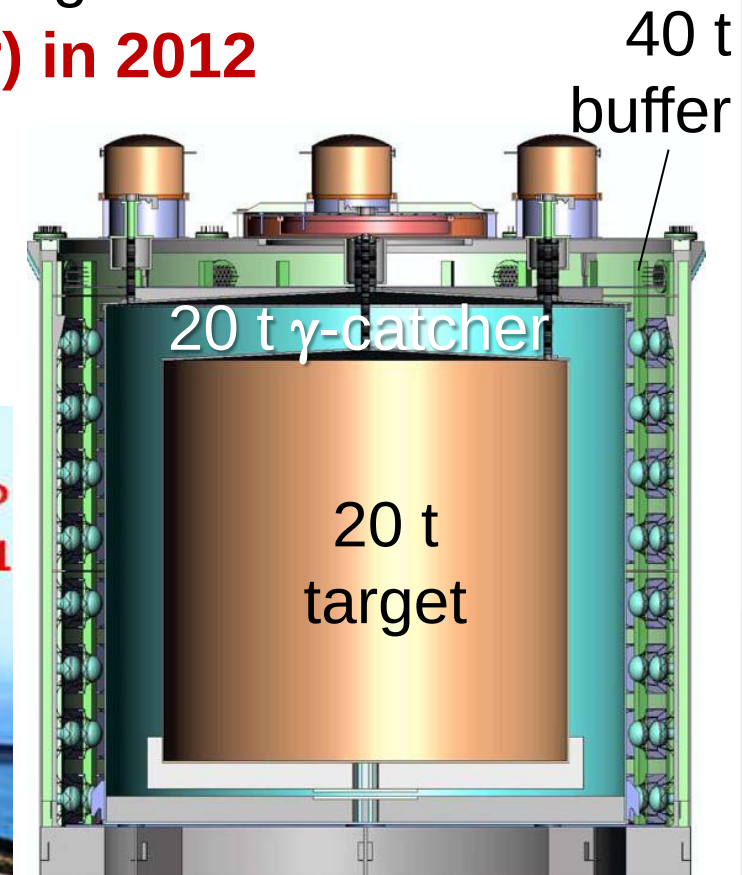
DB: 840  $\nu$ 's / d

LA: 740  $\nu$ 's / d

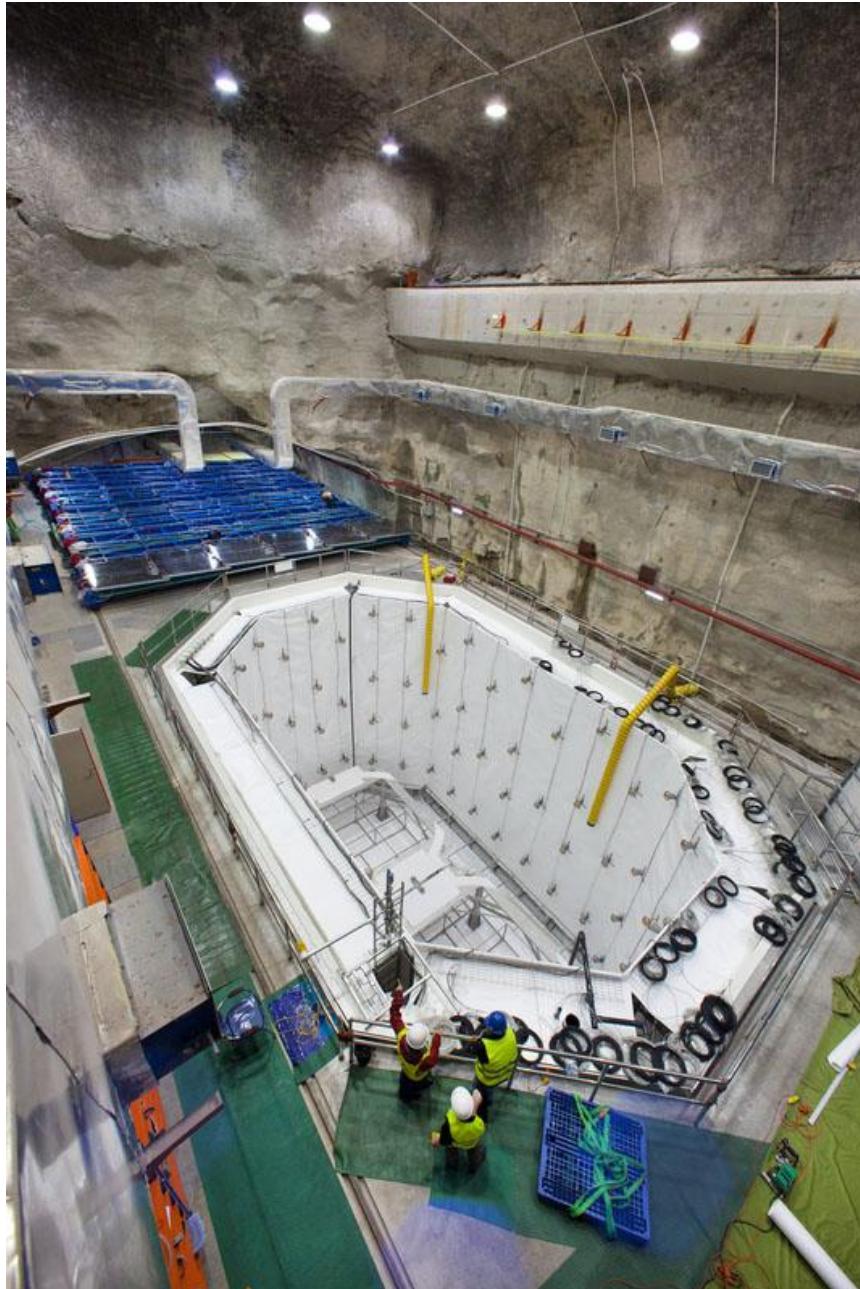
far detector

90  $\nu$ 's / day

from DB+LA



# Daya Bay experiment

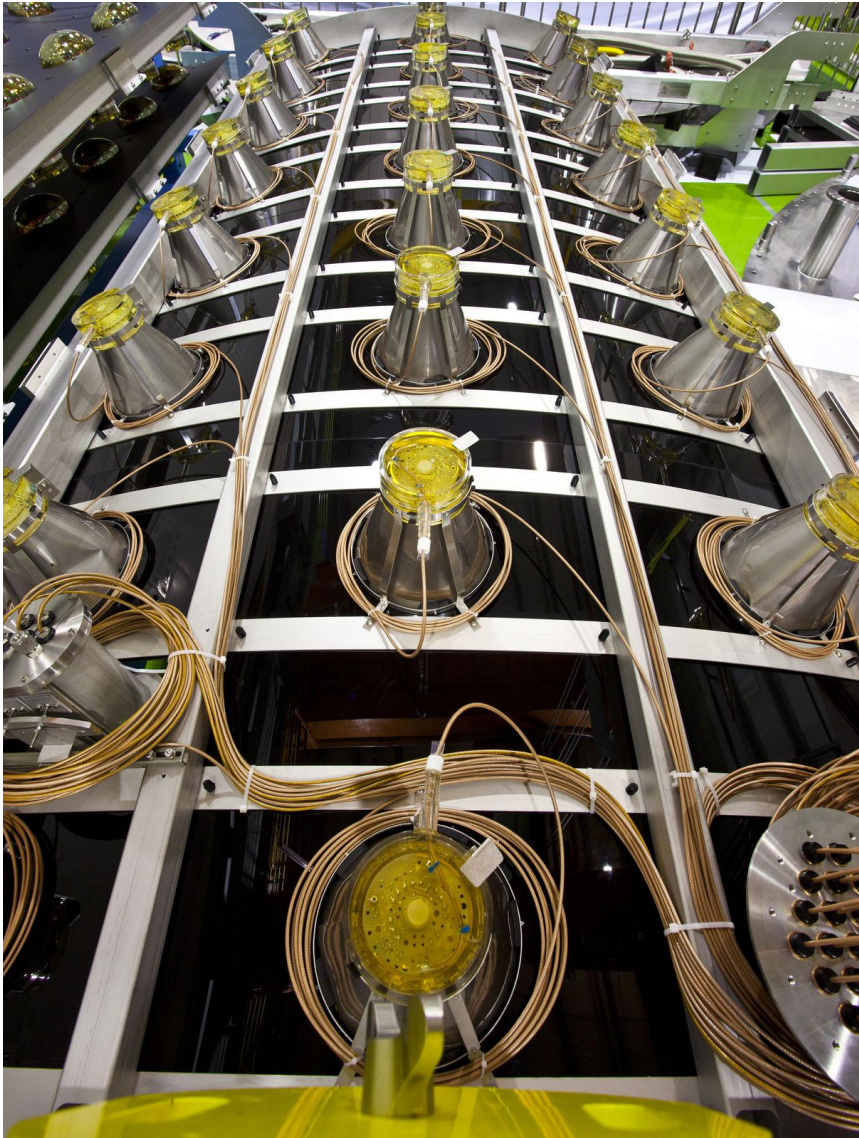


near hall

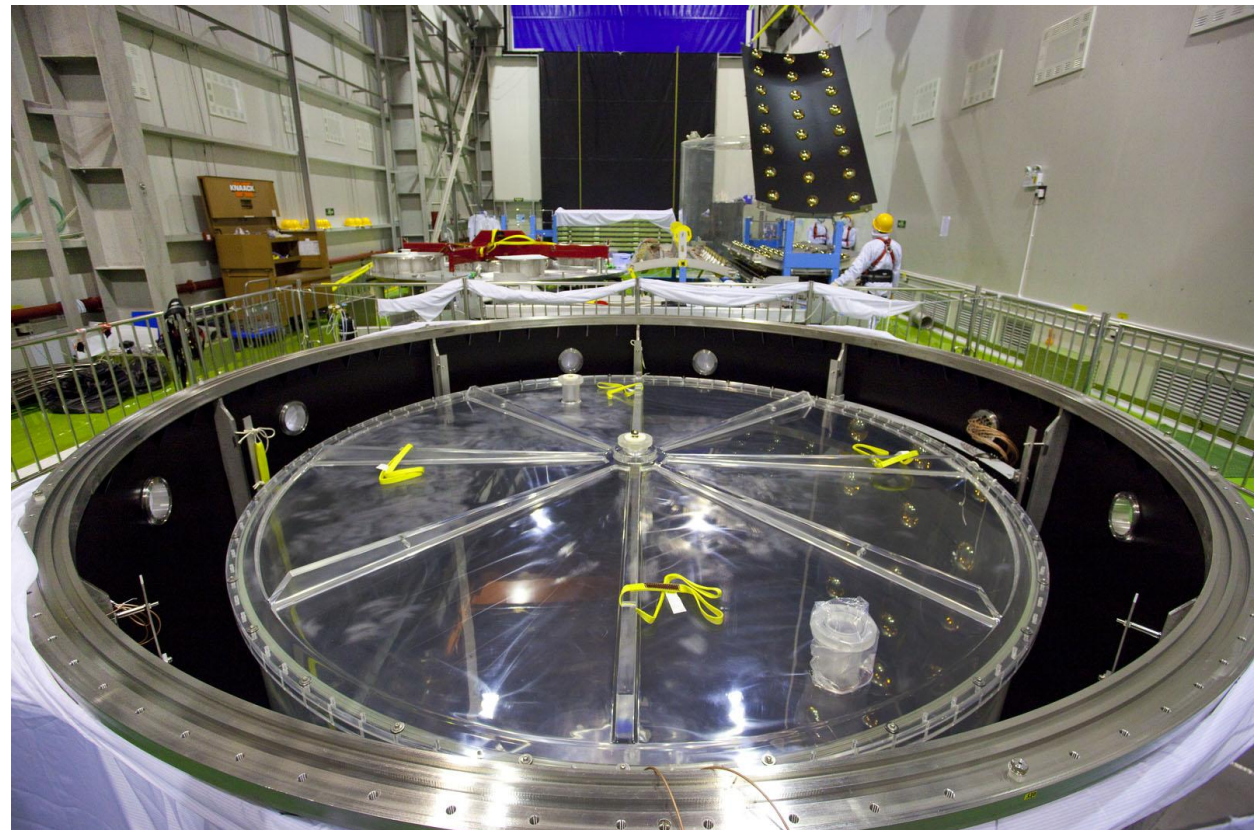
far hall: to be completed in August 2011  
expected begin of data taking: summer 2012



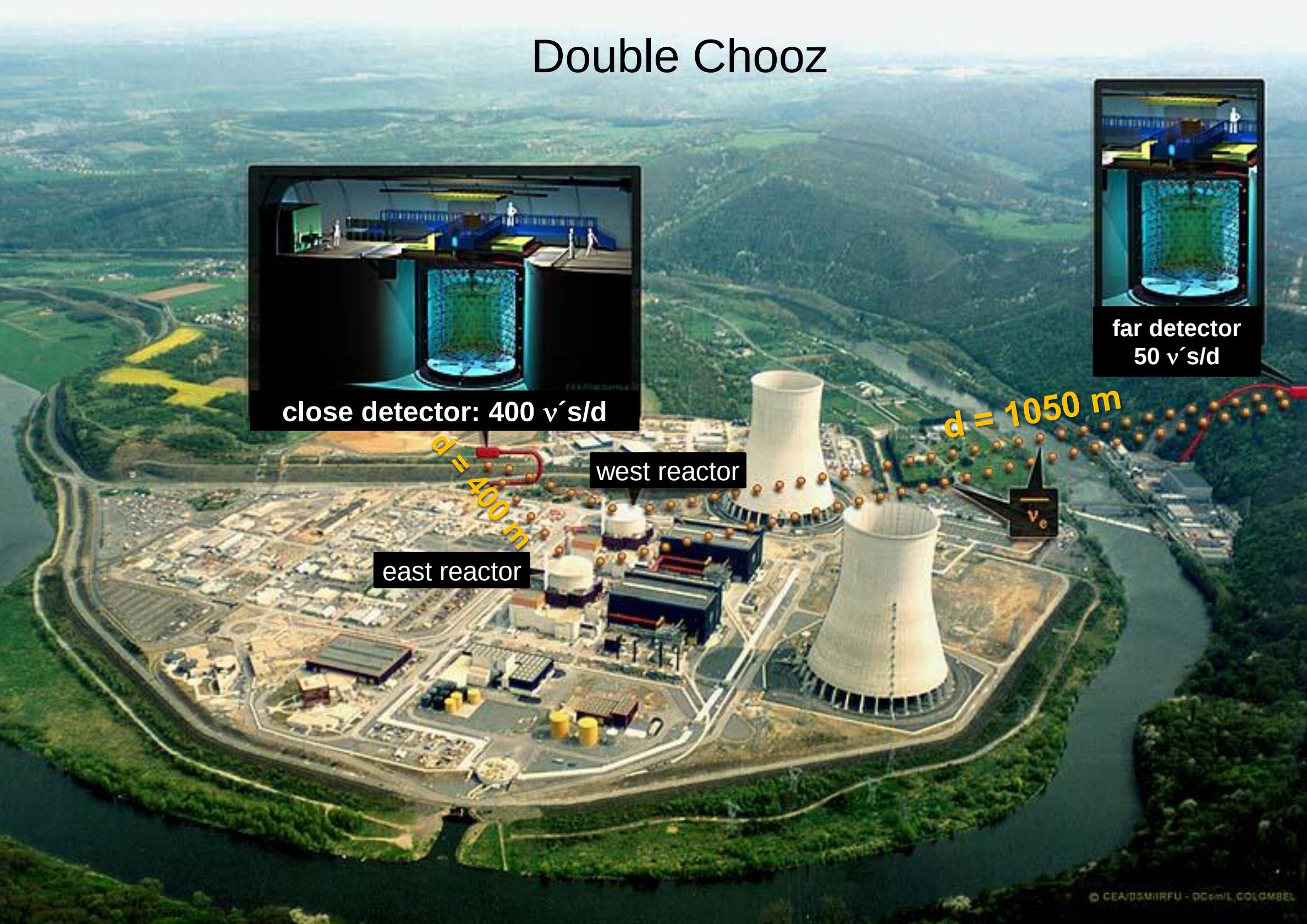
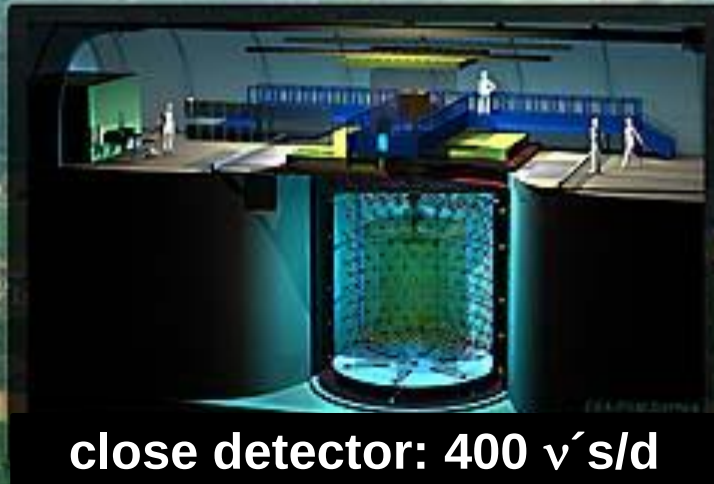
# Daya Bay experiment



nearly finished “dry”  
antineutrino detector

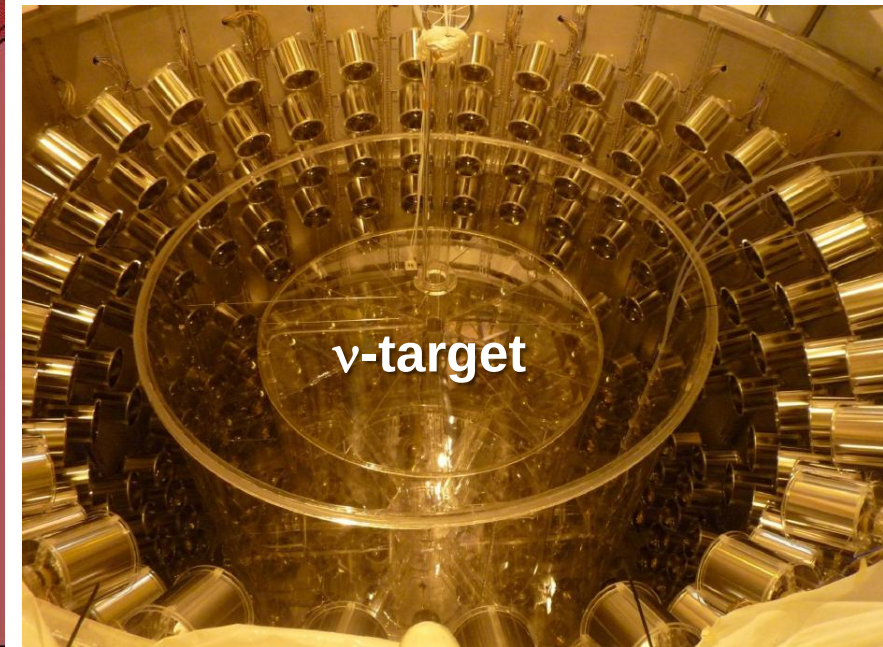
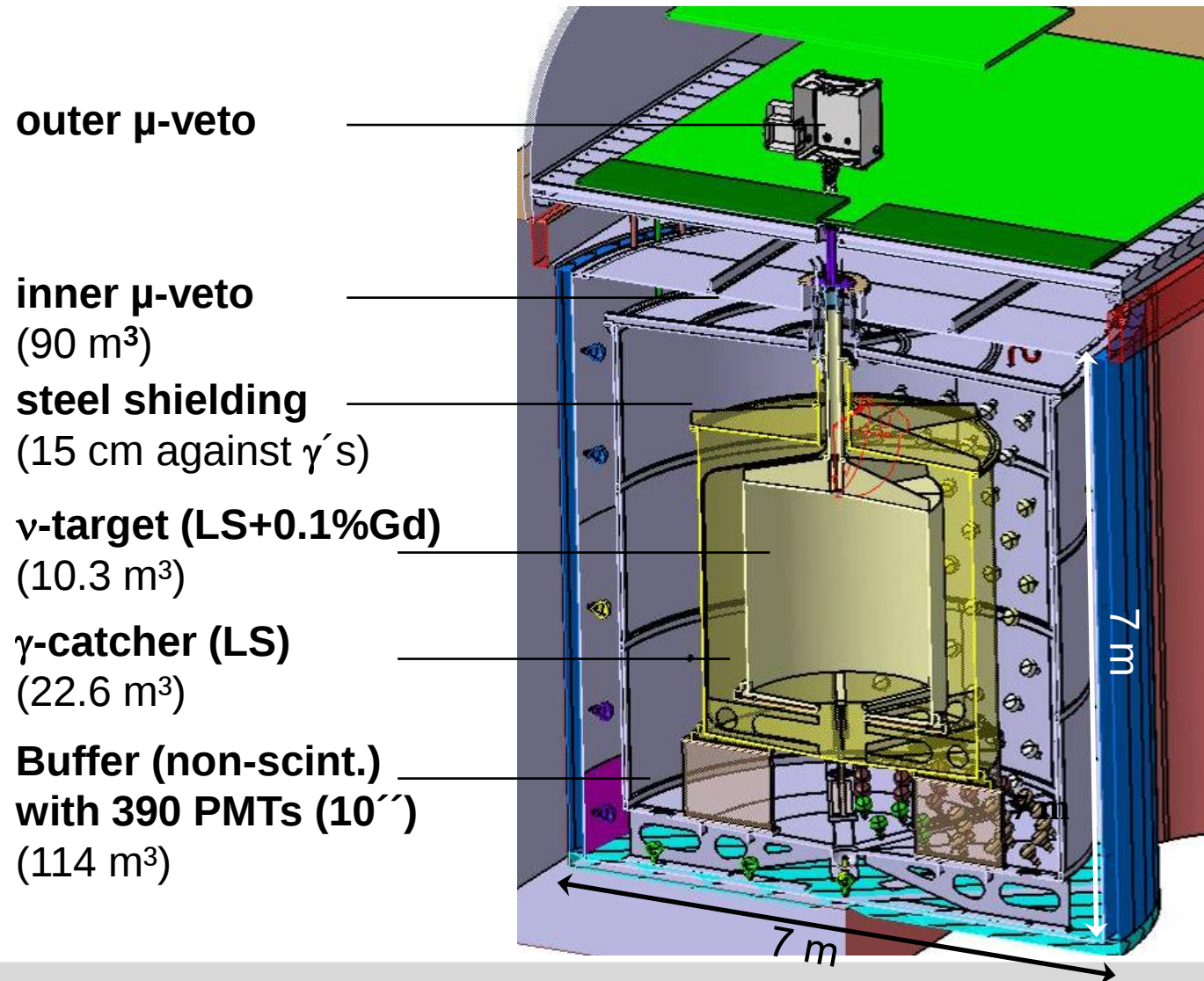


# Double Chooz



# Double Chooz - overview

- two identical detectors at near ( $L = 410$  m) and far ( $L = 1050$  m) site,
  - 3-fold inner structure:  $\nu$ -target –  $\gamma$ -catcher – buffer volume
  - 3-fold veto structure: inner  $\mu$ -veto – steel shielding – outer  $\mu$ -veto



3 fold inner structure DC far detector

# Double Chooz – status

## ■ 2009 milestones:

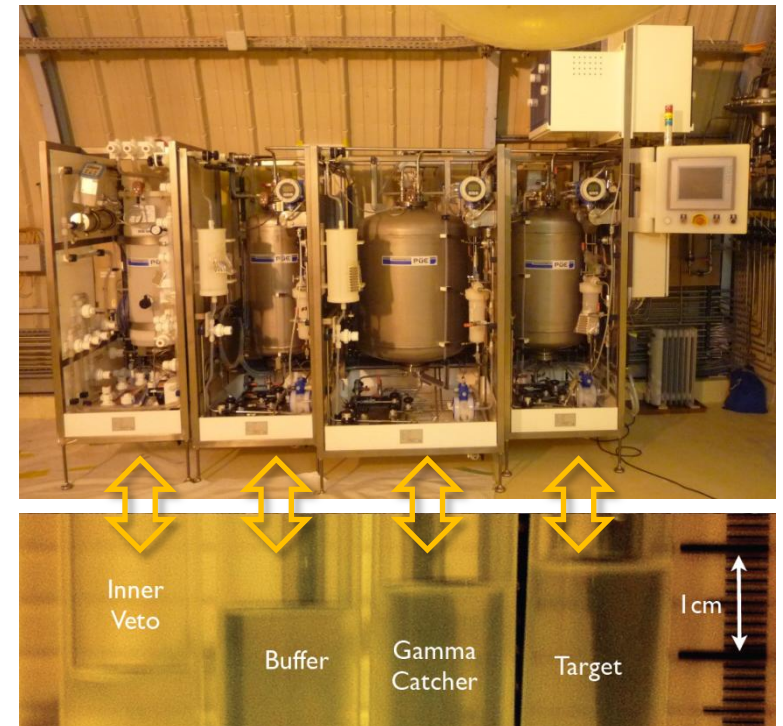
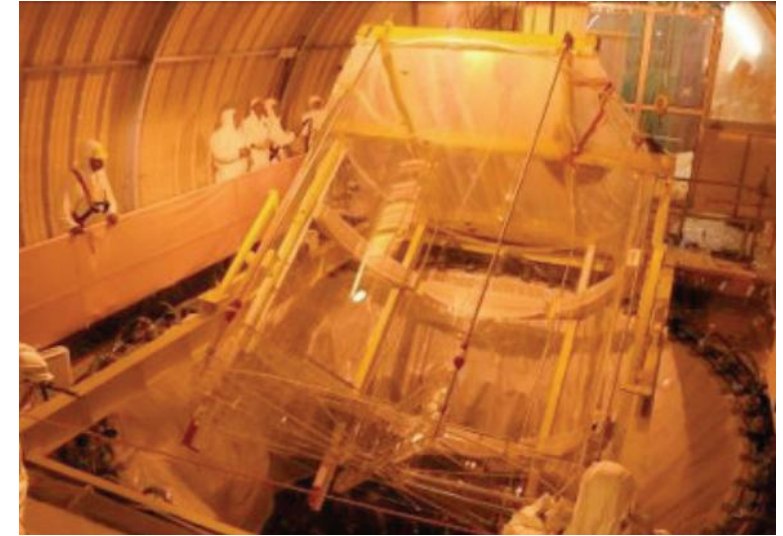
- 02/09: outer veto installed
- 04/09: buffer tank installed
- 07/09: PMTs mounted

## 2010 milestones

- 05/10: acrylic  $\gamma$ -catcher installation
- 10/10: start of filling operations –  
4 operating modules, control of  
pressure:  $\Delta p \sim 0.1$  mbar  
liquid level:  $\Delta h \sim 1$  cm  
density:  $\Delta \rho \sim 1$  g/l
- 12/20: detector filled successfully  
top shield installed

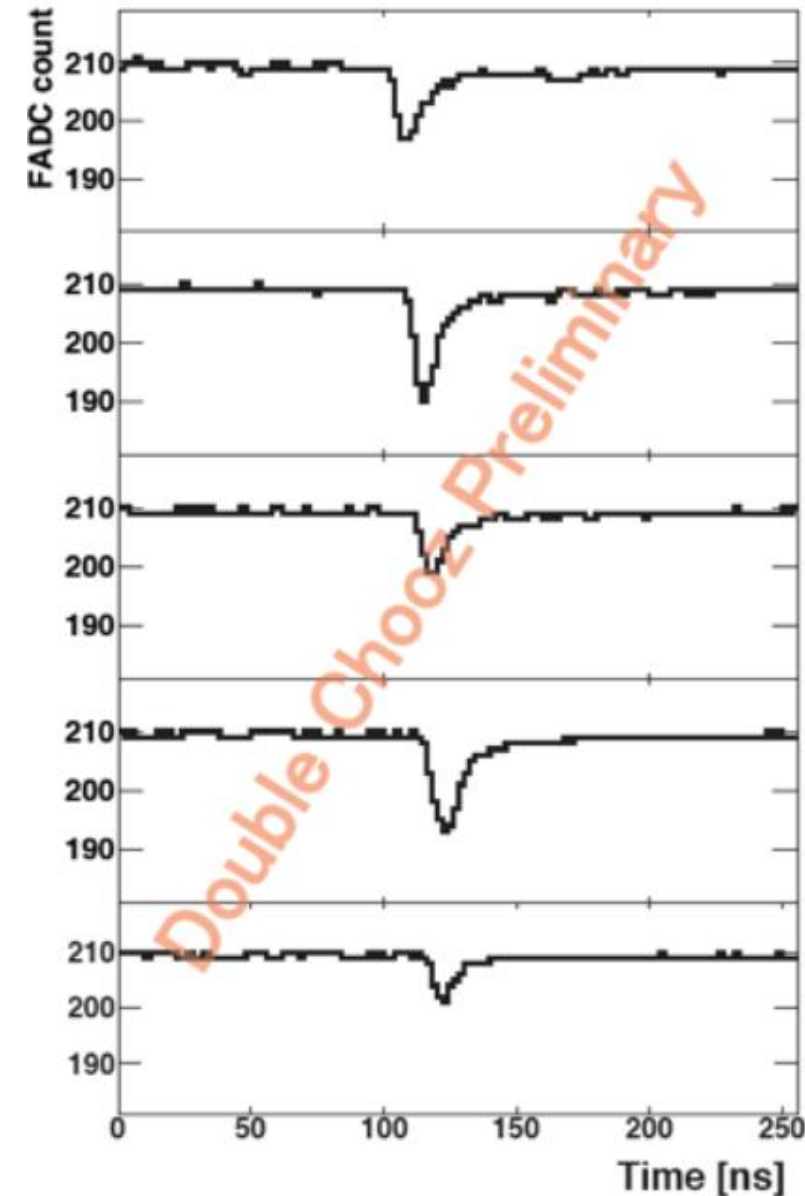
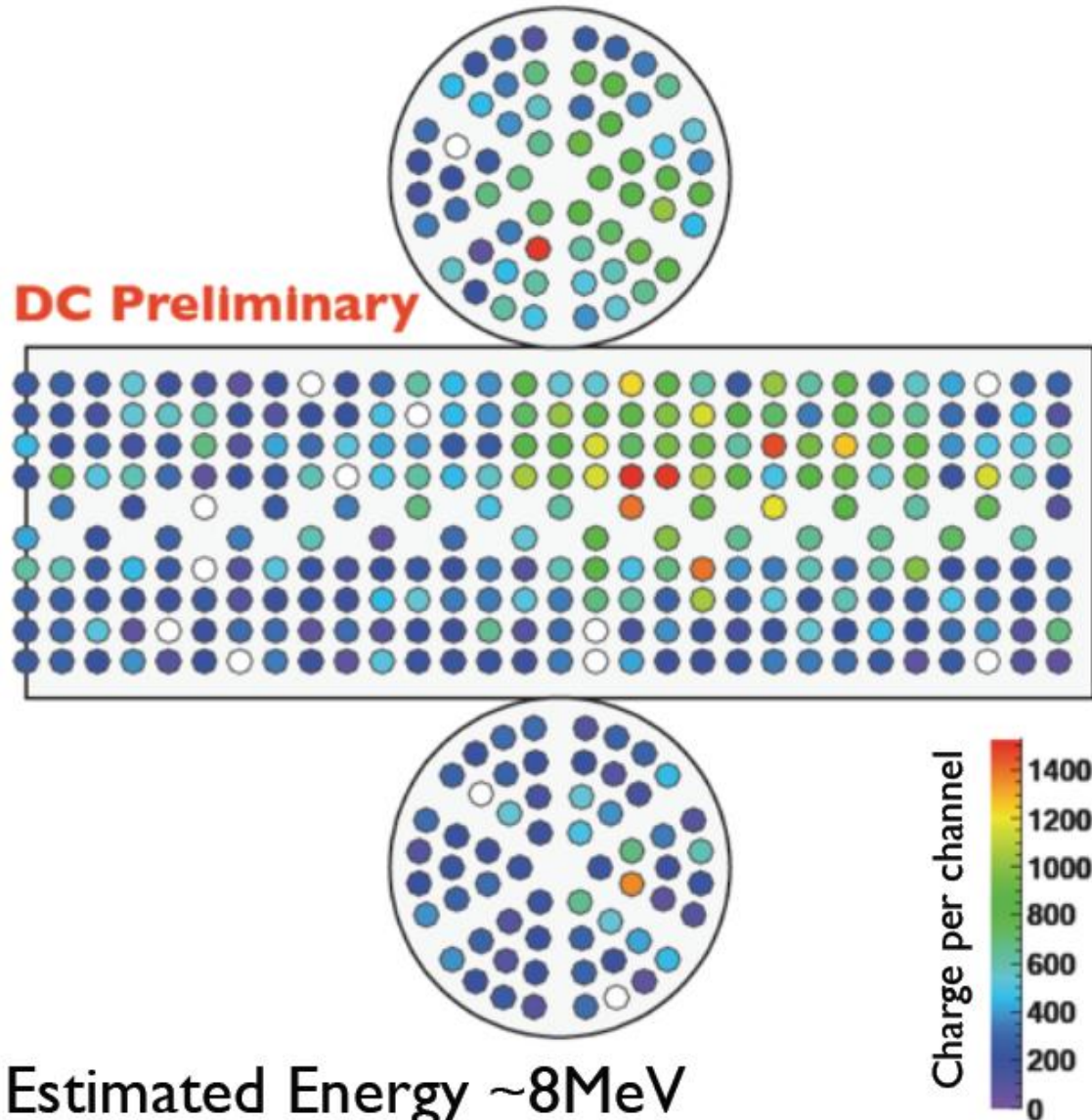
## 2011 milestones

- commissioning works: **excellent performance, high-quality data**
- installation outer  $\mu$ -veto & glove box, **imminent  $\nu$ -data taking !**



# Double Chooz – first events

- **contained event** from the early DC commissioning period (Jan-Mar 2011)



A. Cabrera, Neutrino Telescopes 2011

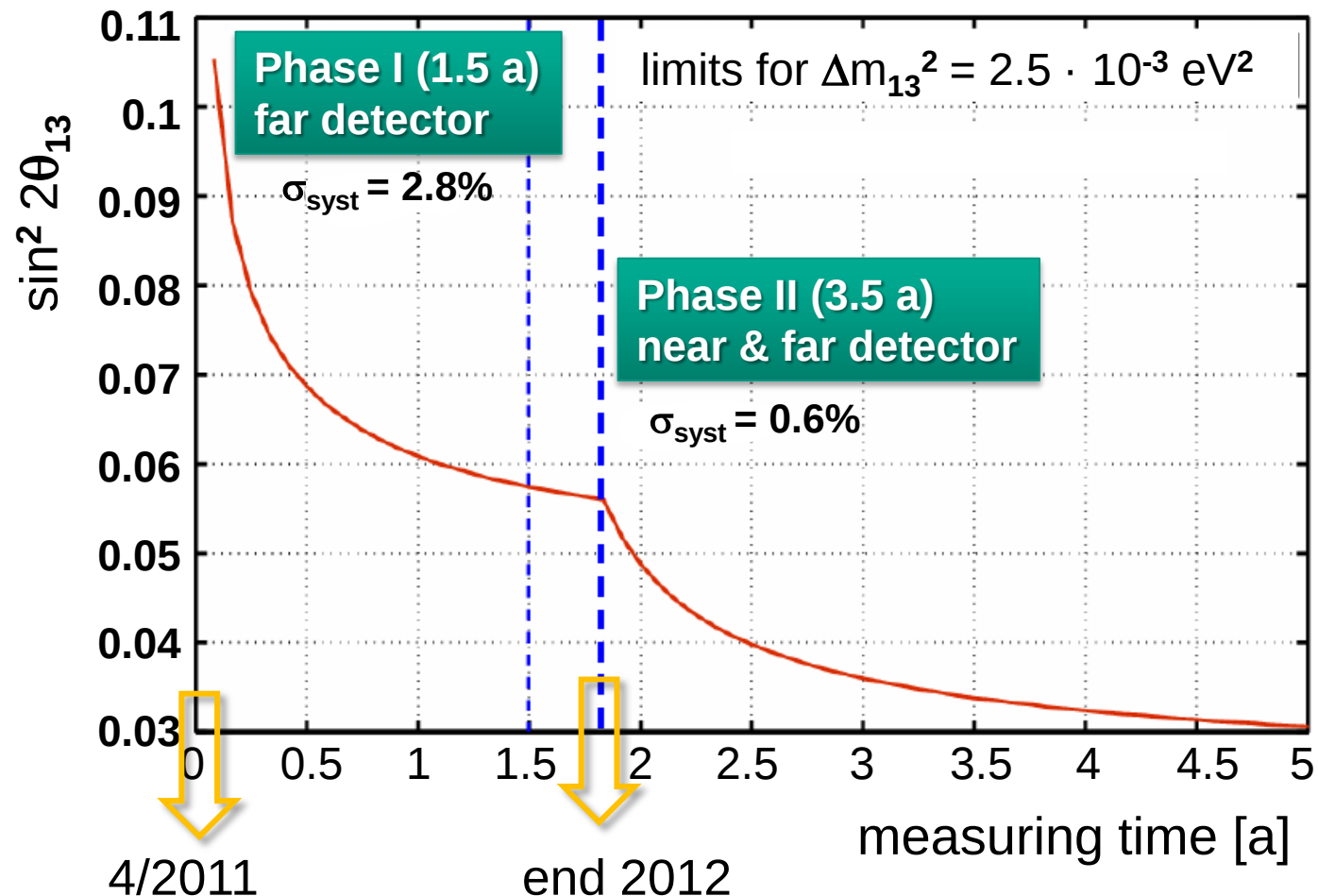
# Double Chooz – expected sensitivity

## ■ DC – Phase I (far detector only)

- accumulate  $10 \times$  more statistics than CHOOZ in 1.5 a
- sensitivity limited by reactor flux uncertainties (rate and shape),
- expected:  $\sigma_{\text{syst}} = 2.8 \%$  (old fluxes)
- **$\sin^2 2\theta_{13} < 0.054$  (90% CL.)**
- flux normalisation strategy for FD under discussion

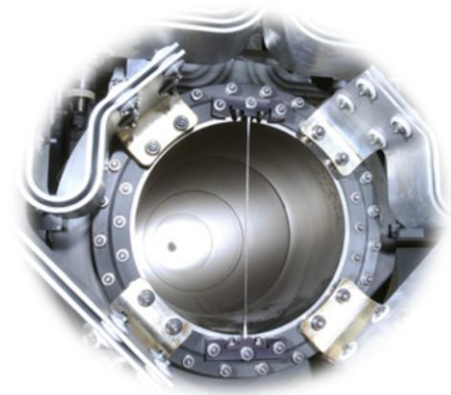
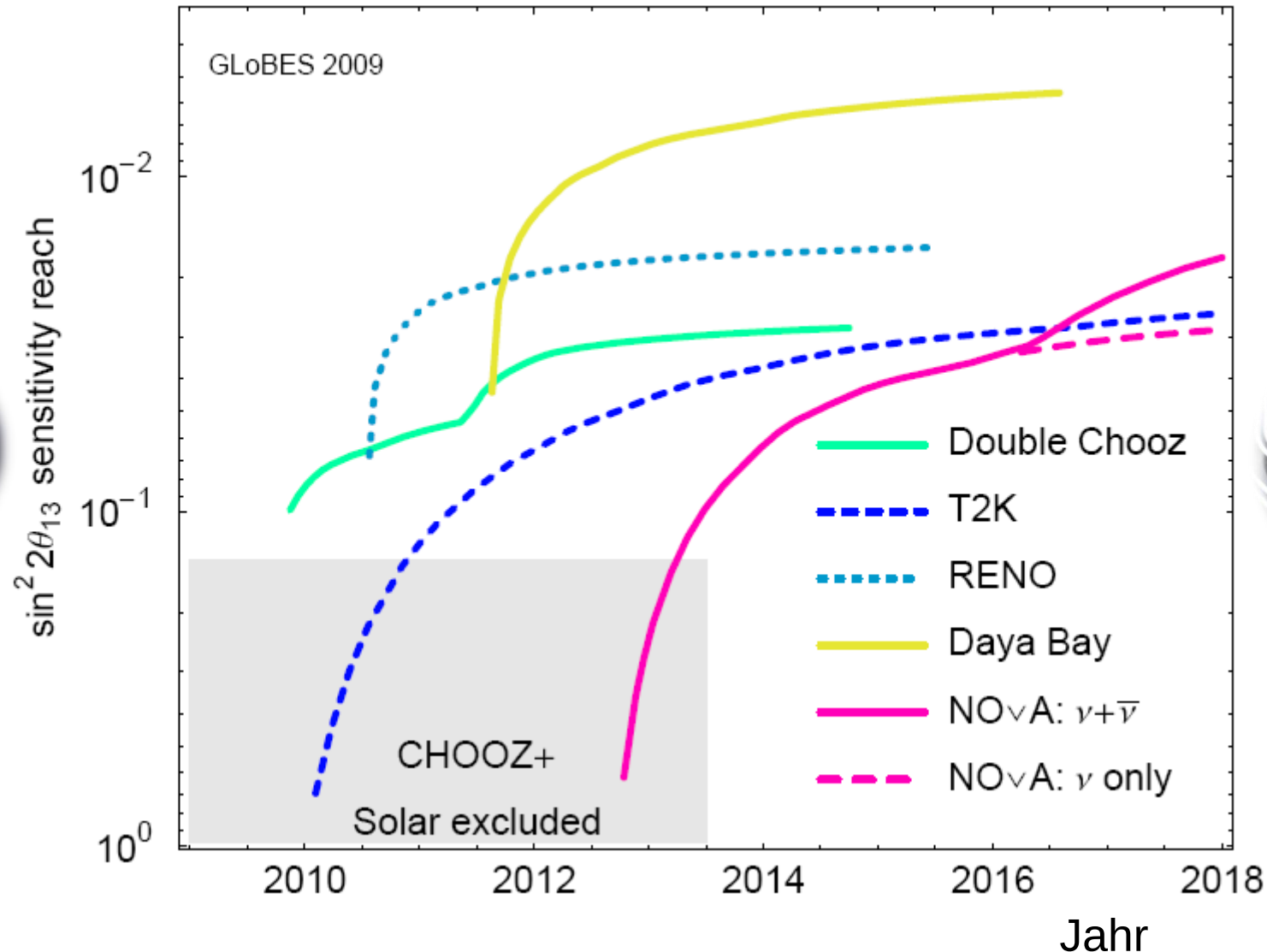
## ■ DC – Phase II (near+far)

- use near/far ratio (robust)
- sensitivity limited by inner detector normalisation
- expected:  $\sigma_{\text{syst}} = 0.6 \%$
- **$\sin^2 2\theta_{13} < 0.03$  (90% CL.)**
- **$\sin^2 2\theta_{13} > 0.05$**   
**(3  $\sigma$  discovery)**



# $\theta_{13}$ – experimental sensitivities

- **sensitivity limits (90% CL)** for  $\sin^2 2\theta_{13}$  (expected)  
comparison of reactor and accelerator experiments

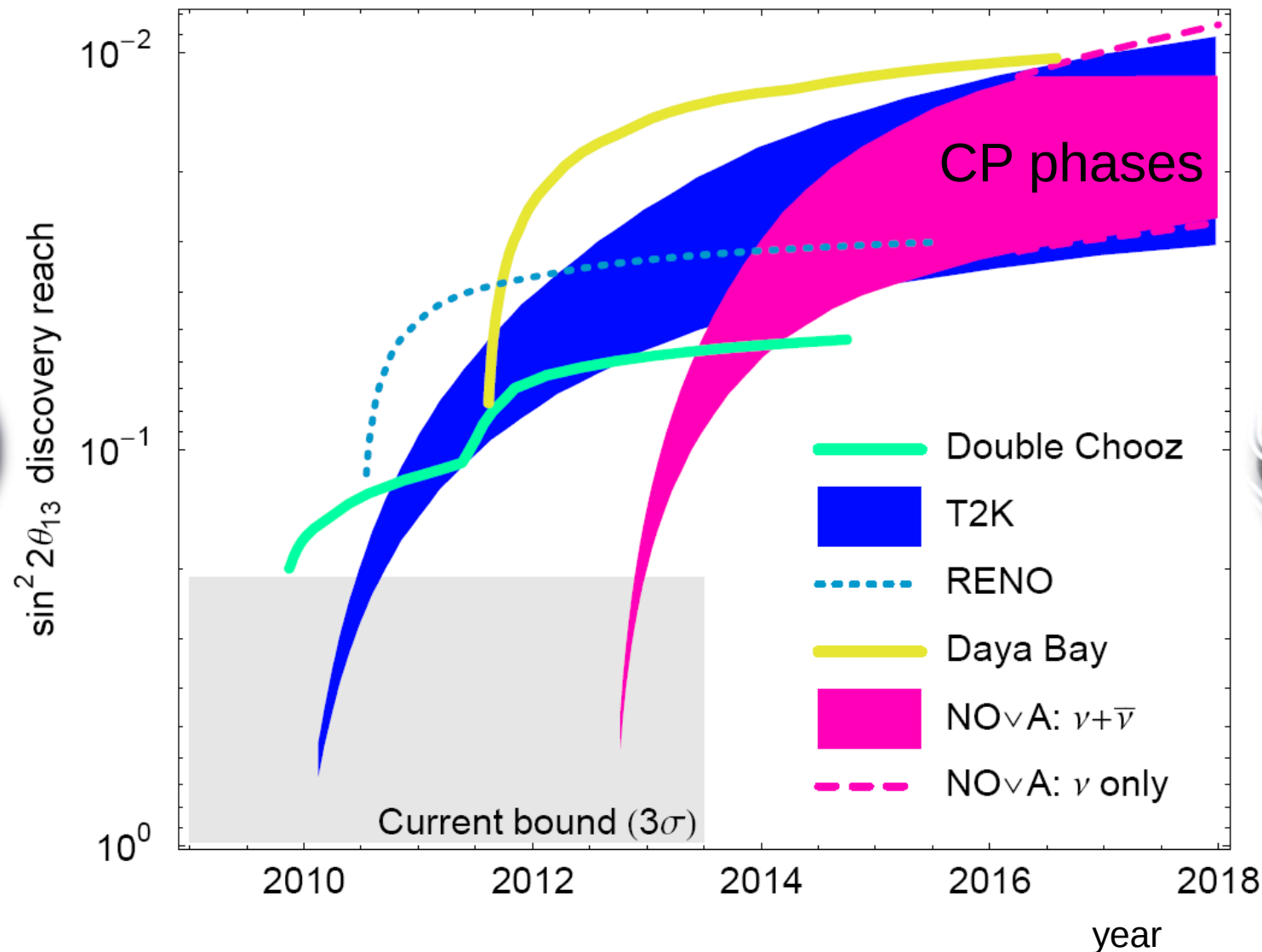


Huber, Lindner  
2009

# $\theta_{13}$ – experimental sensitivities

■ comparison of discovery potentials for  $\theta_{13}$

normal hierarchy ( $3\sigma$  CL)



Huber, Lindner  
2009

# additional slides



# reactor neutrinos – recent updates

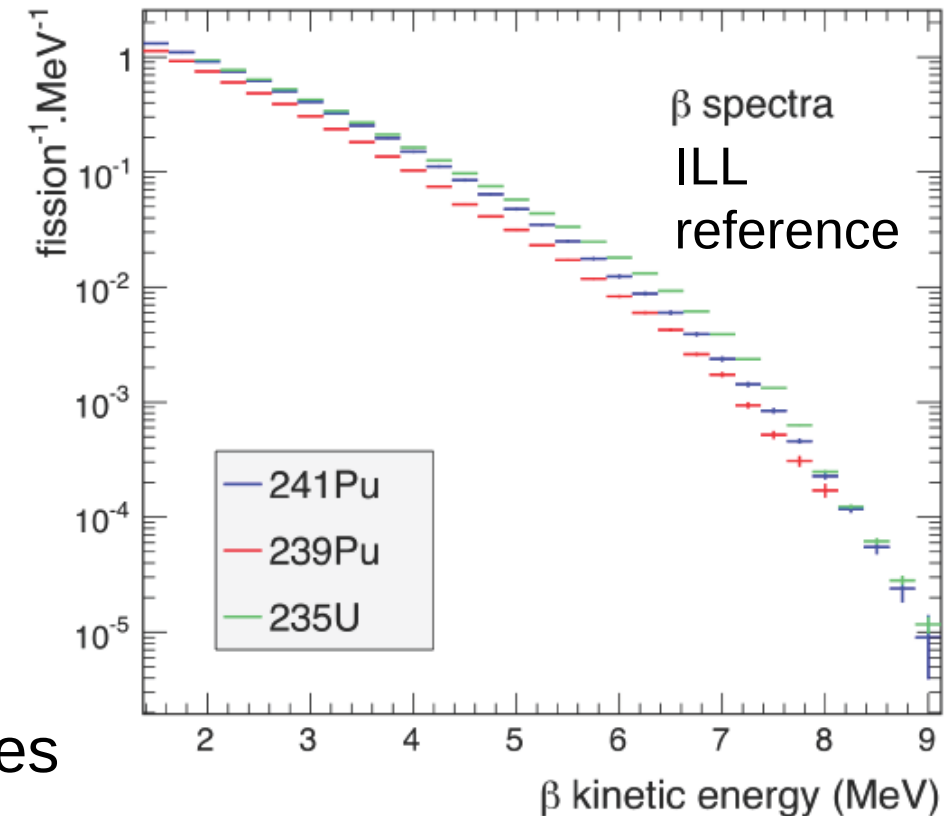
## ■ recent update of reactor $\bar{\nu}_e$ fluxes

*Th. A. Mueller, D. Lhuiller et al., arXiv:1101.2663*

$$\Phi_{\nu}(E, t) = \frac{\dot{P}_{th}(t)}{\sum_k \alpha_k(t) E_k} \times \sum_k \alpha_k(t) S_k(E)$$

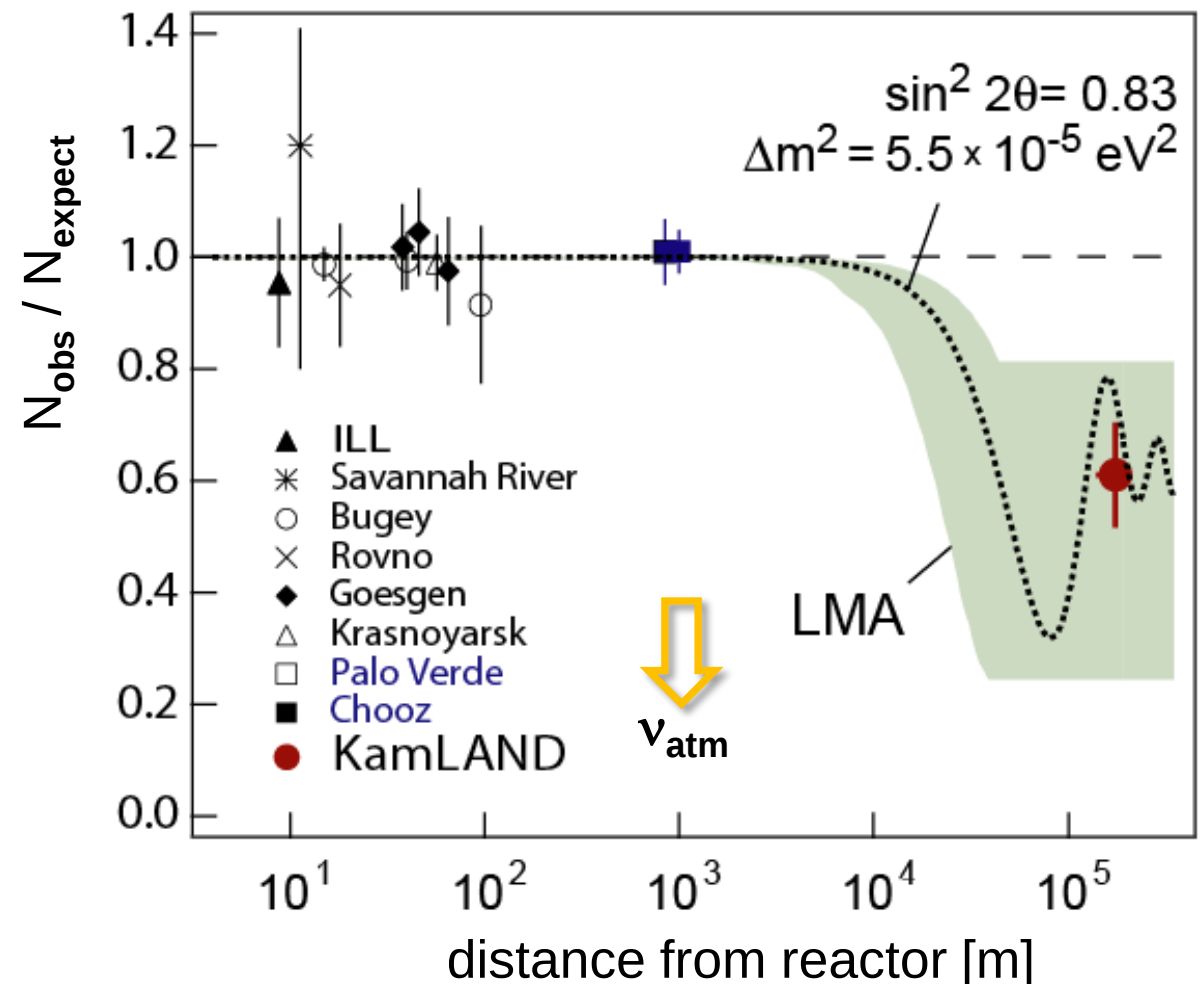
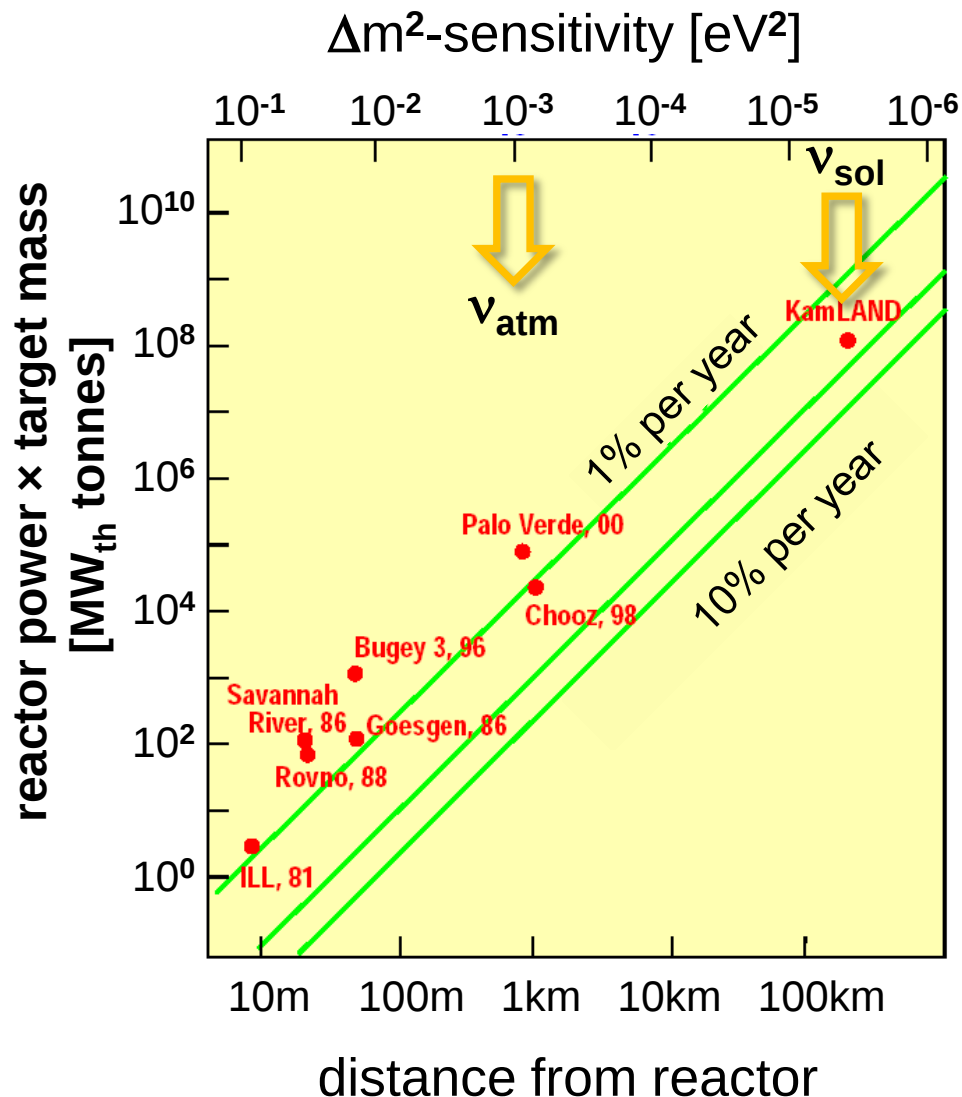
Diagram illustrating the calculation of reactor neutrino flux  $\Phi_{\nu}(E, t)$ . The equation is enclosed in a box. Above the box, 'thermal power' points to  $\dot{P}_{th}(t)$  and 'core burn-up' points to  $\alpha_k(t)$ . Below the box, 'fission database' points to  $E_k$  and 'ν-spectra' points to  $S_k(E)$ .

- generation of ν-spectra (previously)
  - exp. reference: ILL **electron spectra**
  - spectra fitted with 30 effective branches
  - conversion of branches to ν-spectra
- generation of ν-spectra (new 'mixed' approach)
  - exp. reference: ILL **electron spectra**
  - 'ab initio' calculations (database: 800 nuclei & 10.000 β-branches)  
reproduce ~ 90% of ILL data, remaining 10%: five effective branches



# results from reactor- $\nu$ -experiments

- ratio of observed to calculated reactor neutrino events as a function of the distance from the reactor core (10 m – 100 km) & scaling

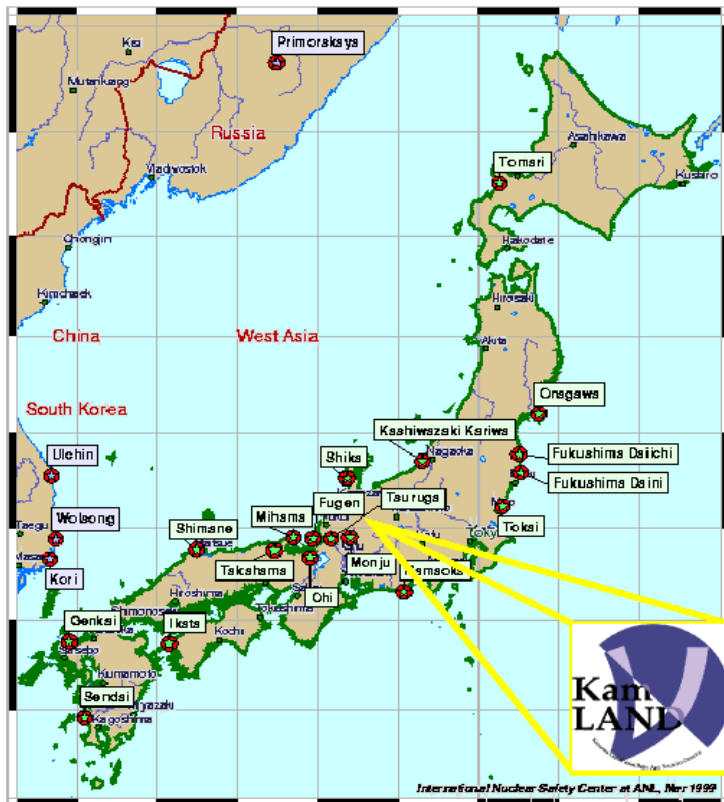


# KamLAND detector

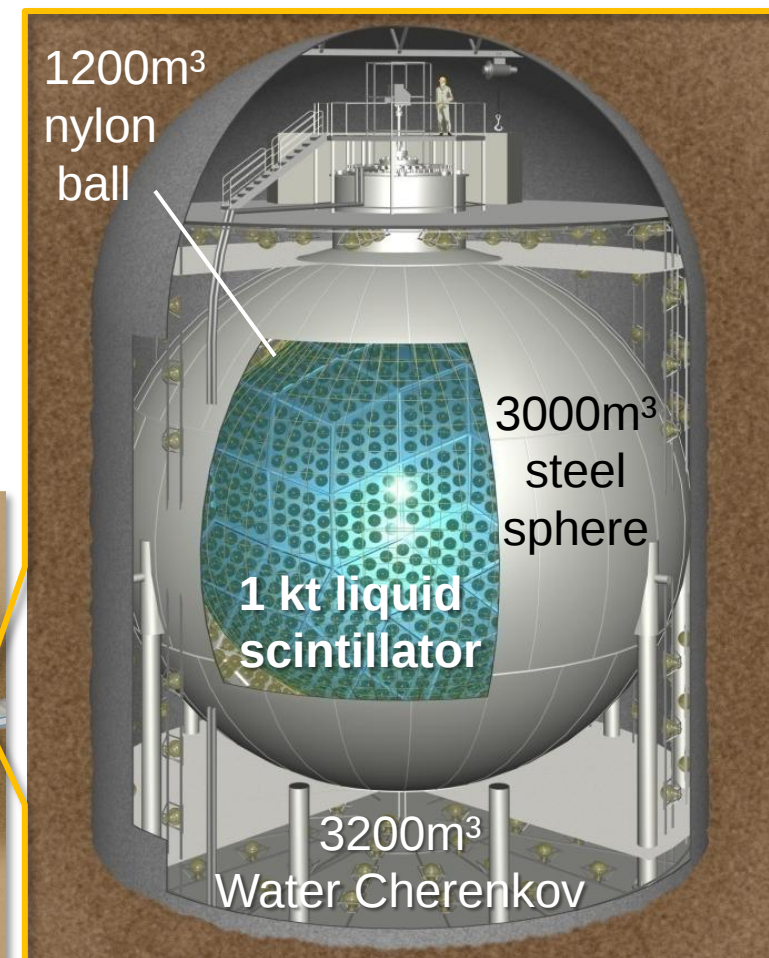
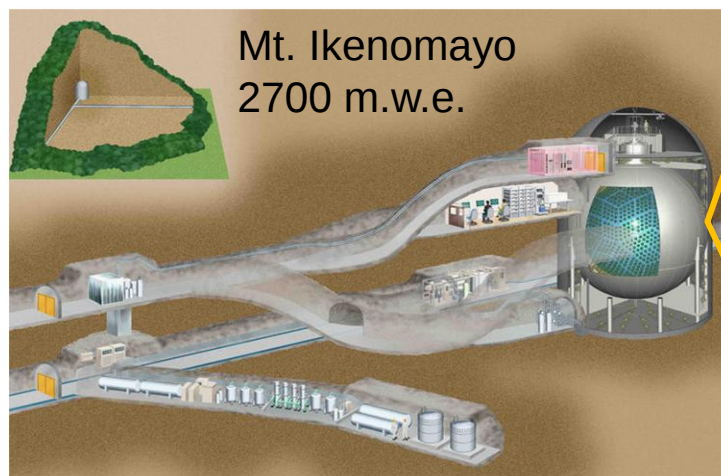


**Kamioka Liquid Scintillator Anti-Neutrino Detector** (Kamioka mine)

J-US-long-baseline-reactor experiment: **test of the LMA parameter space**  
disappearance oscillation of  $\bar{\nu}_e$  from all J nuclear reactors ( $\Phi_{\nu} \sim 6 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )  
total power: 70 GW ( $\sim 7 \%$  of the world energy!)  
**distance  $d = 130 - 220 \text{ km}$ ,  $\langle E_{\nu} \rangle \sim 2\text{-}3 \text{ MeV}$**



inverse  $\beta$ -decay



# KamLAND – results

- clear & unambiguous evidence for disappearance of reactor neutrinos
- spectrum is fitted with components: reactor- $\bar{\nu}$ 's, geo- $\bar{\nu}$ 's, background

$$\Delta m^2 = (7.58 \pm 0.14_{\text{stat}} \pm 0.15_{\text{syst}}) \times 10^{-5} \text{ eV}^2$$
$$\tan^2 \theta = 0.56 \pm 0.10 \pm 0.10$$

KamLAND parameter

## KamLAND results

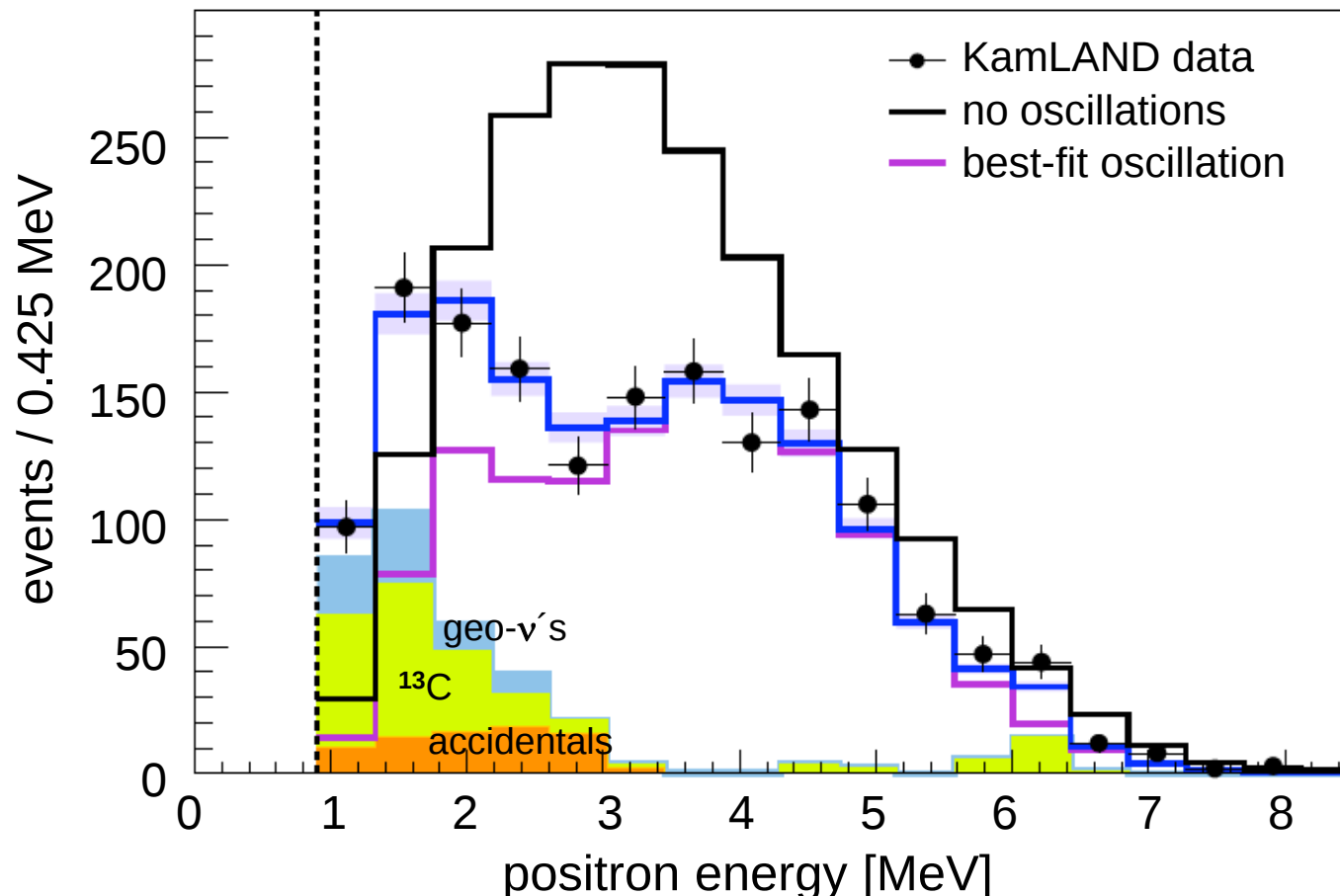
|                |                  |
|----------------|------------------|
| observed       | 1609             |
| Background     | $276.1 \pm 23.5$ |
| no oscillation | $2179 \pm 89$    |



KamLAND and solar  $\bar{\nu}$ 's:  
same parameter space

solar  $\bar{\nu}$ 's: MSW effect (matter)  
reactor- $\bar{\nu}$ 's: vacuum oscillations

**CPT symmetry** ✓

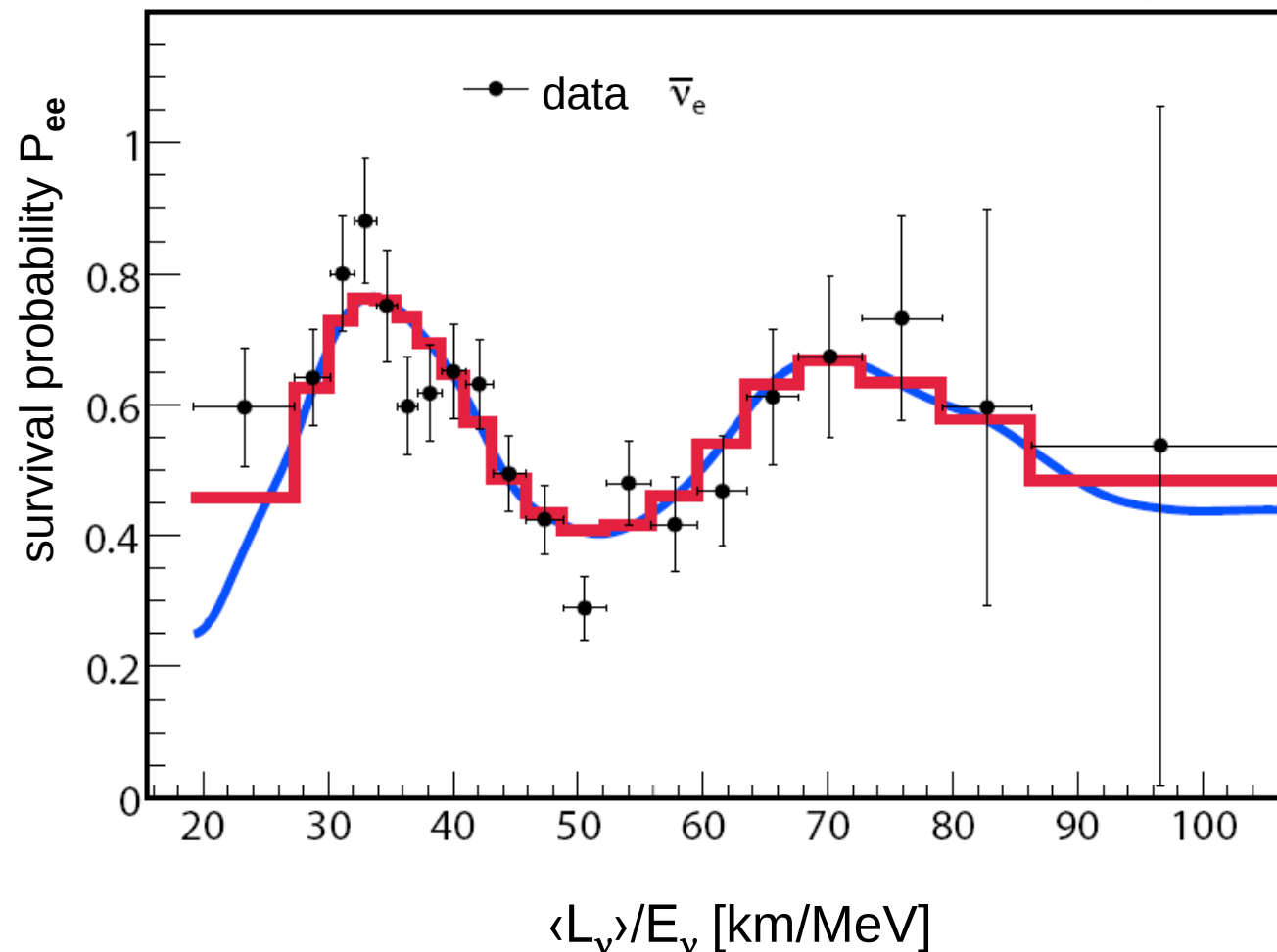


# KamLAND results

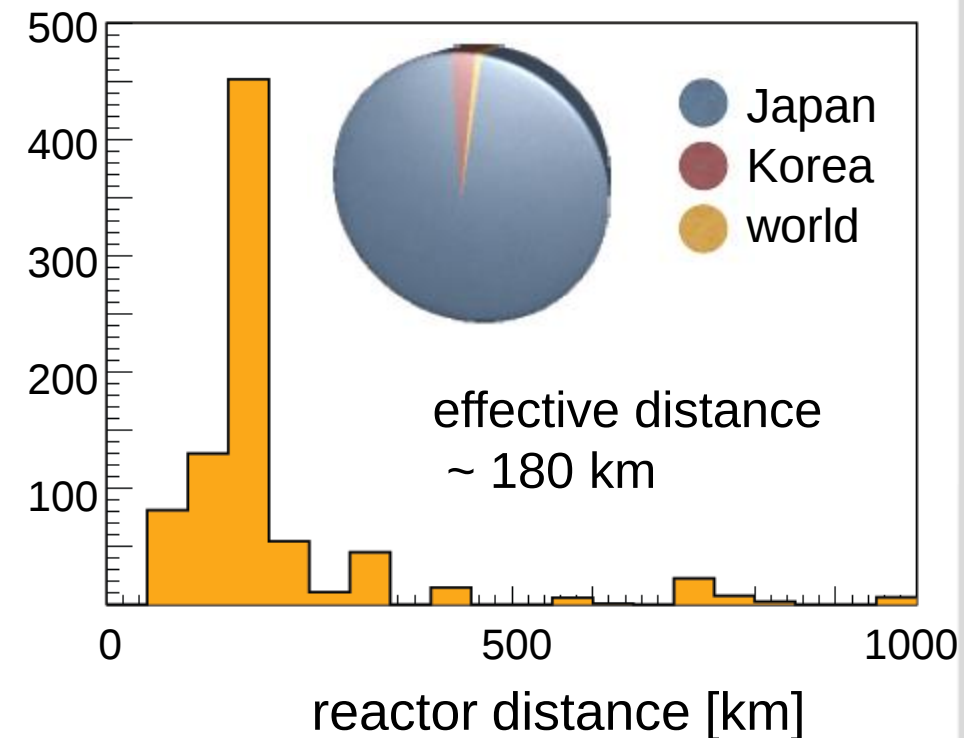
$L_\nu/E_\nu$  – analysis from KamLAND:  $L_\nu$  not measurable, effective distance 180 km

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta \cdot \sin^2 \left( 1.27 \cdot \Delta m^2 \cdot \frac{L_\nu}{E_\nu} \right)$$

$\bar{\nu}_e$ -disappearance



observation of two  
oscillation cycles

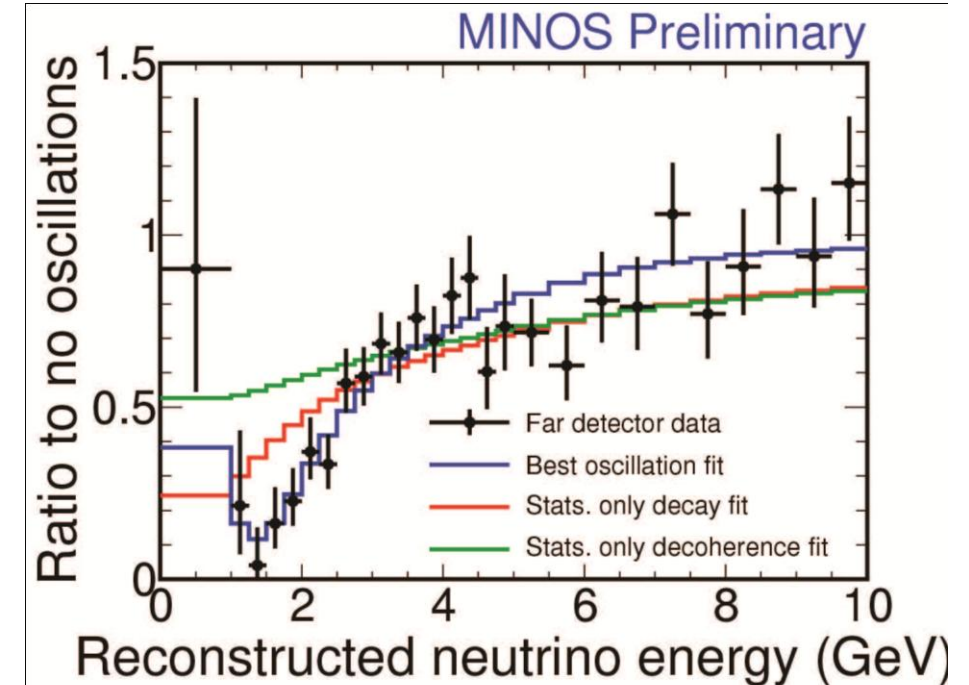
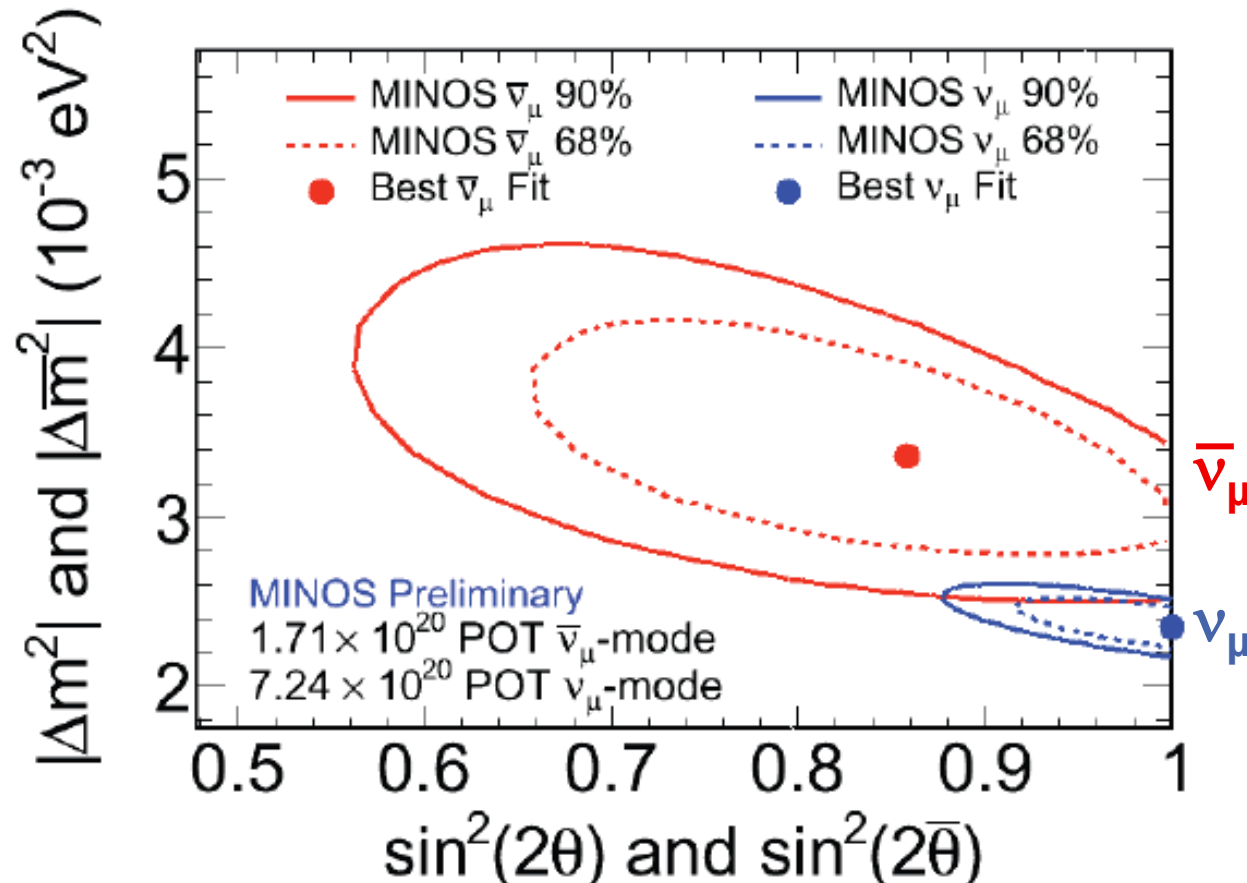


# MINOS: hint of CPT violation, $\nu_e$ appearance?

## ■ surprise: different mixing parameters for $\nu$ and $\bar{\nu}_\mu$ ?

2  $\sigma$  discrepancy at present

- limited statistics in  $\bar{\nu}_\mu$  channel, so far
- CPT? sterile neutrinos? systematics?



$$\Delta m^2 = (3.36 \pm 0.40^{45}) \times 10^{-3} \text{ eV}^2$$

$$\Delta m^2 = (2.35 \pm 0.08^{11}) \times 10^{-3} \text{ eV}^2$$

## ■ $\nu_\mu - \nu_e$ appearance

- 54  $\nu_e$  events

-  $(49.1 \pm 7.0 \pm 2.7)$  expected

0.7  $\sigma$